

The computational complexity of deciding  
whether a finite algebra generates a minimal  
variety.

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Conference on  
Universal Algebra and Lattice Theory  
In Honor of Béla Csákany  
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## Béla and a former student



# Outline

## Computational Problems About Finite Algebras

### The Minimal Variety Problem

An Upper Bound

A Lower Bound

### A Conjecture and a Problem

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**Input:** *A finite algebra  $\mathbf{A}$  of finite signature.*

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In 1955, Dana Scott observed that there is a brute force algorithm to decide this problem.

## THE TARSKI'S FINITE BASIS PROBLEM

**Input:** *A finite algebra  $\mathbf{A}$  of finite signature.*

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**Way too hard!** McKenzie showed in 1993 that there is no algorithm for deciding this

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FOR A FINITE ALGEBRA  $\mathbf{B}$  OF FINITE SIGNATURE

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In 1998, Zoltan Székely devised a seven-element algebra  $\mathbf{B}$  for which this problem is NP-complete.

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In 2000, Cliff Bergman and Giora Słutzki found Kalicki's algorithm is in 2EXPTIME.

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In 2004, Marcel Jackson and Ralph McKenzie devised a finite semigroup  $\mathbf{B}$  for which this problem is NP-complete.

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In 2009, Marcin Kozik devised a finite algebra  $\mathbf{B}$  for which this problem is  $2\text{EXPTIME}$ -complete

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# Dana Scott's Brute Force Algorithm

Let  $\mathbf{A}$  be a nontrivial finite algebra of finite signature. To decide whether  $\text{HSP } \mathbf{A}$  is a minimal variety

Step I Make a list  $\mathbf{B}_0, \mathbf{B}_1, \dots$ , up to isomorphism, of all the 2-generated algebras in  $\text{HSP } \mathbf{A}$ .

Step II For each algebra  $\mathbf{B}_i$  on the list decide whether  $\text{HSP } \mathbf{B}_i = \text{HSP } \mathbf{A}$ .

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## Kearnes and Szendrei Offer an Alternative

Let  $\mathbf{A}$  be a nontrivial finite algebra of finite signature. To decide whether  $\text{HSP } \mathbf{A}$  is a minimal variety

Step I Construct a minimal nontrivial subalgebra  $\mathbf{S}$  of  $\mathbf{A}$ .

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Step III Determine if  $\mathbf{A} \in \text{HSP } \mathbf{S}$ . If not, punt.

Step IV Determine if every strictly simple algebra in  $\text{HSP } \mathbf{S}$  is isomorphic to  $\mathbf{S}$ . If so, then  $\mathbf{A}$  generates a minimal variety. If not, punt.

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How hard can that be?

A Theorem of Keith and Ágnes, more or less

The Minimal Variety Problem can be settled in  $2^{\text{EXPTIME}}$ .

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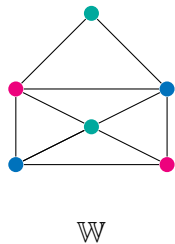
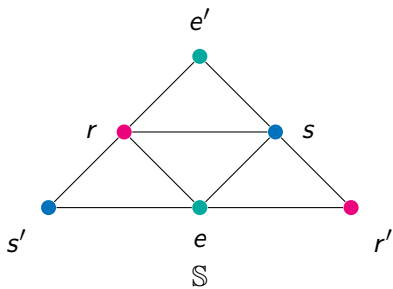
## Theorem

The Minimal Variety Problem is NP-hard.

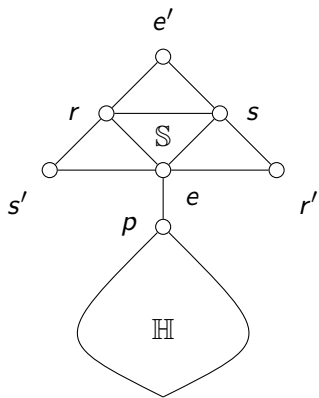
## Theorem

The Minimal Variety Problem is NP-hard.

The proof reduces the minimal variety problem to the 3-colorability problem for finite connected graphs.



The Graphs  $\mathbb{S}$  and  $\mathbb{W}$



The Graph  $S_H$



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$0, c_e, c_r, c_s, c_{e'}, c_{r'},$  and  $c_{s'}$

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The universe of  $\mathbf{S}^\circ$  is  $S^\circ = S \cup \{0\}$ , where 0 is not in  $S$ .

The signature of  $\mathbf{S}^\circ$  has 8 binary operation symbols:

$$\cdot, \wedge, Q_e, Q_r, Q_s, Q_{e'}, Q_{r'}, \text{ and } Q_{s'}$$

to name the Shallon graph algebra operation, a height 1 meet operation, and the Pigozzi operations.

## The Operations of $\mathbf{S}^\circ$

The Shallon operation:

$$u \cdot v = \begin{cases} u & \text{if there is an edge joining } u \text{ and } v \\ 0 & \text{Otherwise} \end{cases}$$

for all  $u, v \in S^\circ$ .

## The Operations of $\mathbf{S}^\circ$

The height 1 meet:

$$u \wedge v = \begin{cases} u & \text{if } u = v \\ 0 & \text{Otherwise} \end{cases}$$

for all  $u, v \in S^\circ$ .

## The Operations of $\mathbf{S}^\circ$

The Pigozzi operation  $Q_e$ :

$$Q_e(u, v) = \begin{cases} v & \text{if } e = u \\ 0 & \text{Otherwise} \end{cases}$$

for all  $u, v \in S^\circ$ .

## The Algebra $\mathbf{S}_{\mathbb{H}}^{\circ}$

This algebra has the same signature as  $\mathbf{S}^{\circ}$ . Its universe is  $S_{\mathbb{H}} \cup \{0\}$  and its operations are defined just as those for  $\mathbf{S}^{\circ}$ . In particular, there are only 7 constant symbols and they still name the elements of  $S^{\circ}$ . Notice that  $\mathbf{S}^{\circ}$  is a subalgebra of  $\mathbf{S}_{\mathbb{H}}^{\circ}$ .

## Plan of the Proof

We will prove that for any finite connected graph  $\mathbb{H}$

$\mathbb{H}$  is 3-colorable

if and only if

$\mathbf{S}_{\mathbb{H}}^{\circ}$  generates a minimal variety.



## Plan of the Proof

We do this in three stages:

1.  $\mathbf{S}^\circ$  generates a minimal variety.
2.  $\mathbf{S}_{\mathbb{H}}^\circ \in \text{HSP } \mathbf{S}^\circ$  if and only if there is a natural number  $t$  and an embedding  $\varphi : \mathbb{S}_{\mathbb{H}} \rightarrow \mathbb{S}^t$  with the property that  $\varphi(a) = \langle a, \dots, a \rangle$  for each  $a \in S$ .
3. There is a natural number  $t$  and an embedding  $\varphi : \mathbb{S}_{\mathbb{H}} \rightarrow \mathbb{S}^t$  with the property that  $\varphi(a) = \langle a, \dots, a \rangle$  for each  $a \in S$  if and only if  $\mathbb{H}$  is 3-colorable.

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Then

$$\begin{aligned} \mathbf{S}_{\mathbb{H}}^\circ \text{ generates a minimal variety} &\Leftrightarrow \mathbf{S}_{\mathbb{H}}^\circ \in \mathbf{HSP} \mathbf{S}^\circ \\ &\Leftrightarrow \mathbb{H} \text{ is 3-colorable.} \end{aligned}$$

## Step 1: Listen to Don Pigozzi

For this step show

$\mathbf{S}^\circ$  generates a minimal variety.

The idea is to show that  $\mathbf{S}^\circ$  can be embedded into every nontrivial algebra  $\mathbf{B} \in \mathbf{HSP} \mathbf{S}^\circ$  via the map that sends each element of  $S^\circ$  to the element of  $\mathbf{B}$  named by the corresponding constant symbol. The only real issue is to show that this map is one-to-one. It is the Pigozzi operations that save the day.

## Step 2: Listen to Zoltan Székely Invoke Ralph McKenzie

For this step show

$\mathbf{S}_{\mathbb{H}}^{\circ} \in \text{HSP } \mathbf{S}^{\circ}$  if and only if there is a natural number  $t$  and an embedding  $\varphi : \mathbf{S}_{\mathbb{H}} \rightarrow \mathbf{S}^t$  with the property that  $\varphi(a) = \langle a, \dots, a \rangle$  for each  $a \in S$ .

For the right-to-left direction consider the subalgebra of  $(S^{\circ})^t$  generated by the image of  $\mathbf{S}_{\mathbb{H}}$ . A glance at the operations reveals that this subalgebra consists of the elements of the image, all of which are proper  $t$ -tuples, as well as some improper  $t$ -tuples. The equivalence relation that lumps together the improper elements and isolates the proper elements is a congruence. The quotient algebra is isomorphic to  $\mathbf{S}_{\mathbb{H}}^{\circ}$ .

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For the left-to-right direction observe that  $\mathbf{S}_{\mathbb{H}}^{\circ}$  is subdirectly irreducible, since  $\mathbb{S}_{\mathbb{H}}$  is connected. Ralph McKenzie showed us how to pick a natural number  $t$ , a subalgebra  $\mathbf{B}$  of  $(\mathbf{S}^{\circ})^t$ , a congruence  $\theta \in \text{Con } \mathbf{B}$  and a proper element  $p \in B$  so that

(a)  $\mathbf{S}_{\mathbb{H}}^{\circ} \cong \mathbf{B}/\theta$

(b) For all  $u, v \in B$  we have

$$u \theta v \text{ if and only if } \mu(u) = p \Leftrightarrow \mu(v) = p \text{ for all translations } \mu.$$

Take  $\mathbf{B}$  as small as possible.

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For the left-to-right direction:

Since translations of improper elements must be improper, we see that  $\theta$  puts all the improper elements into the same congruence class that we will call the *zero-block*. McKenzie also tells us that  $\theta$  isolates  $p$ . Using the Pigozzi operations we can show that none of the  $t$ -tuples like  $\langle e, \dots, e \rangle$  belong to the zero-block.

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For the left-to-right direction:

Let  $U$  be the complement of the zero-block and let  $\mathbf{B}'$  be the subalgebra of  $\mathbf{B}$  generated by  $U$  and let  $\theta'$  be the restriction of  $\theta$  to  $\mathbf{B}'$ . A glance at the operations reveals that  $\mathbf{B}'$  consists of the elements of  $U$  together with certain improper tuples. But this means  $\mathbf{B}/\theta \cong \mathbf{B}'/\theta'$ . So by the minimality of  $\mathbf{B}$  we see that  $\mathbf{B} = \mathbf{B}'$ .

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Let  $U$  be the complement of the zero-block and let  $\mathbf{B}'$  be the subalgebra of  $\mathbf{B}$  generated by  $U$  and let  $\theta'$  be the restriction of  $\theta$  to  $\mathbf{B}'$ . A glance at the operations reveals that  $\mathbf{B}'$  consists of the elements of  $U$  together with certain improper tuples. But this means  $\mathbf{B}/\theta \cong \mathbf{B}'/\theta'$ . So by the minimality of  $\mathbf{B}$  we see that  $\mathbf{B} = \mathbf{B}'$ .



## Step 2: Listen to Zoltan Székely Invoke Ralph McKenzie

For this step show

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This means that  $\mathbb{S}_{\mathbb{H}}$  is isomorphic to the subgraph of  $\mathbb{S}^t$  induced by the proper elements of  $B$  via an isomorphism  $\varphi$  with the property that  $\varphi(a) = \langle a, \dots, a \rangle$  for each  $a \in S$ .

## Step 3: Listen to Zoltan Székely (but recall William Wheeler)

For this step show

There is a natural number  $t$  and an embedding  $\varphi : \mathbb{S}_{\mathbb{H}} \rightarrow \mathbb{S}^t$  with the property that  $\varphi(a) = \langle a, \dots, a \rangle$  for each  $a \in S$  if and only if  $\mathbb{H}$  is 3-colorable.

For the left-to-right direction, the map  $\varepsilon \circ \pi \circ \varphi$  turns out to be a 3-coloring of  $\mathbb{S}_{\mathbb{H}}$ , where  $\pi$  can be any of the projection functions, and  $\varepsilon$  is the function erasing primes (e.g.,  $\varepsilon(e') = e = \varepsilon(e)$ ).

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For the right-to-left direction, we conceive of  $\varphi$  as given by an array with  $t$  columns and one row for each vertex of  $\mathbb{S}_{\mathbb{H}}$ .

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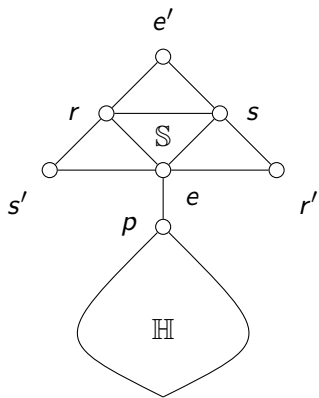
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The Graph  $S_H$



## Step 3: Listen to Zoltan Székely (but recall William Wheeler)

### The Constraints on the Array

- (a) No two rows are exactly alike.
- (b) The entries of the row associated with  $p$  are drawn from  $\{r, r', s, s'\}$  and all four of these values occur as entries in that row.
- (c) For each  $k < t$  the  $k^{\text{th}}$  column of the array is a 3-coloring of  $\mathbb{S}_{\mathbb{H}}$  once the primes are erased. (Well, . . .)
- (d) For each vertex  $q$  of  $\mathbb{H}$  other than  $p$  each of the values  $r', s'$ , and  $e'$  occur among the entries of the row associated with  $q$ .
- (e) For distinct vertices  $q$  and  $q'$  of  $\mathbb{H}$  that are not adjacent, there is a  $k < t$  so that in the  $k^{\text{th}}$  column the entries on the row associated with  $q$  and  $q'$  are members of  $\{r', s', e'\}$ .

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## How to handle the last constraint

Suppose that  $q$  and  $q'$  are vertices of  $\mathbb{H}$  that are not adjacent. Pick a 3-coloring, using  $r, s$ , and  $e$ , of  $\mathbb{H}$  that assigns  $r$  to the vertex  $p$ . Place this coloring in the column under construction.

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In  $\mathbb{S}$  the vertices  $r, s$ , and  $e$  are pairwise adjacent, so this column as it stands would not disrupt the adjacency of the images of  $q$  and  $q'$ .

## How to handle the last constraint

Modify the column by putting primes on the entries associated with  $q$  and  $q'$ . In  $\mathbb{S}$ , the no primed vertex is adjacent to a primed vertex, so this modified coloring entails that the images of  $q$  and  $q'$  will not be adjacent.

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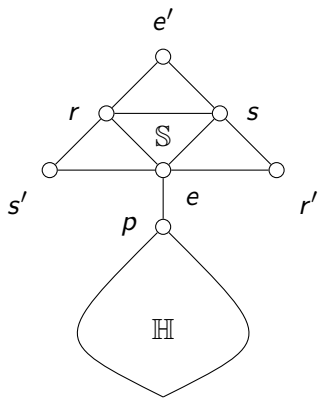
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Have other, needed, adjacency been disrupted? No. Suppose  $q$  was assigned the color  $s$ . Then the vertices adjacent to  $q$  must have been assigned colors from  $\{r, e\}$ . But in  $\mathbb{S}$  the vertex  $s'$  is adjacent to both the vertex  $r$  and the vertex  $e$ .



The Graph  $S_H$

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## Conjecture

The Minimal Variety Problem is 2EXPTIME complete.

THE CONGRUENCE DISTRIBUTIVE VARIETY  
PROBLEM

**Input:** *A finite algebra  $\mathbf{A}$  of finite signature.*

**Problem:** *Decide if the variety generated by  $\mathbf{A}$  is congruence distributive.*

What is the computational complexity of this problem?



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According to folklore (but probably Bjarni Jónsson is the folk mentioned), there is a brute force algorithm to decide this.

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In 2009, Ralph Freese and Matthew Valeriote proved that this problem, as well as several similar problems, is EXPTIME-complete.

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In 2002, Kalle Kaarli and Alden Pixley gave a not quite brute force algorithm to decide this problem.

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It should be a homework problem for Ralph Freese and Matthew Valeriote to show that this problem is actually EXPTIME-complete.

# Béla and a Buddy

