

Lecture 1. SUBMODULES OF FREE MODULES OVER A PID

The objective here is to prove that, over a principal ideal domain, every submodule of a free is also a free module and that the rank of a free submodule is always at least as large of the ranks of its submodules.

So let \mathbf{R} be a (nontrivial) principal ideal domain. We know that \mathbf{R} is a free \mathbf{R} -module of rank 1. What about the submodules of \mathbf{R} ? Suppose \mathbf{E} is such a submodule. It is clear that E is an ideal and, in fact, that the ideals of \mathbf{R} coincide with the submodules of \mathbf{R} . In case \mathbf{E} is trivial (that is the sole element of E is 0) we see that \mathbf{E} is the free \mathbf{R} -module of rank 0. So consider the case that \mathbf{E} is nontrivial. Since \mathbf{R} is a principal ideal domain we pick $w \neq 0$ so that \mathbf{E} is generated by w . That is $E = \{rw \mid r \in R\}$. Since we know that \mathbf{R} has $\{1\}$ as a basis, we see that the map that sends 1 to w extends to a unique module homomorphism from \mathbf{R} onto \mathbf{E} . Indeed, notice $h(r \cdot 1) = r \cdot h(1) = rw$ for all $r \in R$. But the homomorphism h is also one-to-one since

$$\begin{aligned} h(r) &= h(s) \\ rh(1) &= sh(1) \\ rw &= sw \\ r &= s \end{aligned}$$

where the last step follows because integral domains satisfy the cancellation law and $w \neq 0$. In this way we see that \mathbf{E} is isomorphic to the free \mathbf{R} -module of rank 1. We also see that $\{w\}$ is a basis for \mathbf{E} .

So we find that at least all the submodules of the free \mathbf{R} -module of rank 1 are themselves free and have either rank 0 or rank 1. We can also see where the fact that \mathbf{R} is a principal ideal domain came into play.

The Freedom Theorem for Modules over a PID.

Let \mathbf{R} be a principal ideal domain, let \mathbf{F} be a free \mathbf{R} -module and let \mathbf{E} be a submodule of \mathbf{F} . Then \mathbf{E} is a free \mathbf{R} -module and the rank of \mathbf{E} is no greater than the rank of \mathbf{F} .

Proof. Since trivial modules (those whose only element is 0) are free modules of rank 0, we suppose below that \mathbf{E} is a nontrivial module. This entails that \mathbf{F} is also nontrivial.

Let B be a basis for \mathbf{F} and $C \subseteq B$. Because \mathbf{F} is not the trivial module, we see that B is not empty. Let \mathbf{F}_C be the submodule of \mathbf{F} generated by C . Let $\mathbf{E}_C = \mathbf{E} \cap \mathbf{F}_C$. Evidently, C is a basis for \mathbf{F}_C . To see that \mathbf{E}_C is free we will have to find a basis for it.

Suppose, for a moment, that C has been chosen so that \mathbf{E}_C is known to be free and that $w \in B$ with $w \notin C$. Put $D := C \cup \{w\}$. Consider the map defined on D into R that sends all the elements of C to 0 and that sends w to 1. This map extends uniquely to a homomorphism φ from \mathbf{F}_D onto \mathbf{R} and it is easy to check (as hardworking graduate student will) that the kernel of φ is just F_C . By the Homomorphism Theorem, we draw the conclusion that \mathbf{F}_D/F_C is isomorphic to \mathbf{R} and that it is free of rank 1. What about \mathbf{E}_D/E_C ? Observe that $E_C = E \cap F_C = E \cap F_D \cap F_C = E_D \cap F_C$. So we can apply the Second Isomorphism Theorem:

$$\mathbf{E}_D/E_C = \mathbf{E}_D/E_D \cap F_C \cong \mathbf{E}_D + \mathbf{F}_C/F_C.$$

But $\mathbf{E}_D + \mathbf{F}_C/F_C$ is a submodule of \mathbf{F}_D/F_C . This last is a free \mathbf{R} -module of rank 1. We saw above that every submodule of a free \mathbf{R} -module of rank 1 must be itself a free \mathbf{R} -module and have rank either 0 or 1. In this way, we find that either $\mathbf{E}_D = \mathbf{E}_C$ (in the rank 0 case) or else \mathbf{E}_D/E_C is a free \mathbf{R} -module of rank 1. Let us take up this latter case. Let X be a basis for \mathbf{E}_C , which we assumed, for the moment, was free. Pick $u \in E_D$ so that $\{u/E_C\}$ is a basis for \mathbf{E}_D/E_C .

We contend that $X \cup \{u\}$ is a basis for \mathbf{E}_D . To establish linear independence, suppose x_0, \dots, x_{n-1} are distinct element of X , that $r_0, \dots, r_n \in R$ and that

$$0 = r_0x_0 + \dots + r_{n-1}x_{n-1} + r_nu.$$

First notice that

$$r_n(u/E_C) = r_nu/E_C = (r_0x_0 + \dots + r_{n-1}x_{n-1} + r_nu)/E_C = 0/E_C.$$

Since $\{u/E_C\}$ is a basis for \mathbf{E}_D/E_C , we must have $r_n = 0$. This leads to

$$0 = r_0x_0 + \dots + r_{n-1}x_{n-1}.$$

But now since X is a basis for \mathbf{E}_C we see that $0 = r_0 = \dots = r_{n-1}$. So we find that $X \cup \{u\}$ is linearly independent.

To see that $X \cup \{u\}$ generates E_D , pick $z \in E_D$. Since $\{u/E_C\}$ is a basis for \mathbf{E}_D/E_C , pick $r \in R$ so that

$$z/E_C = ru/E_C.$$

This means that $z - ru \in E_C$. But X is a basis of \mathbf{E}_C . So pick $x_0, \dots, x_{n-1} \in X$ and $r_0, \dots, r_{n-1} \in R$ so that

$$z - ru = r_0x_0 + \dots + r_{n-1}x_{n-1}.$$

Surely this is enough to see that z is in the submodule generated by $X \cup \{u\}$. So this set generates \mathbf{E}_D and we conclude that it must be a basis of \mathbf{E}_D .

In this way we see that for $C \subseteq D \subseteq B$ where D arises from adding an element to C , if E_C is free, then so is \mathbf{E}_D and that either $E_D = E_C$ or a basis for \mathbf{E}_D can be produced by adding just one element to a basis for \mathbf{E}_C .

With this in mind, we can envision a procedure for showing that \mathbf{E} is free and its rank cannot be larger than that of \mathbf{F} . Notice that $E = E \cap F = E \cap F_B$. So $\mathbf{E} = \mathbf{E}_B$. The idea is simple. We will start with $\emptyset \subseteq B$. We observe that $\mathbf{F}_\emptyset = \mathbf{E}_\emptyset$ is the module whose sole element is 0. It is free of rank 0. Next we select an element $w \in B$ and form $\emptyset \cup \{w\} = \{w\}$. We find that $\mathbf{E}_{\{w\}}$ is free of rank 0 or rank 1. We select another element and another and another. . . until finally all the elements of B have been selected. At this point we would have E_B is free and its rank can be no more than the total number of elements we selected, namely $|B|$ which is the rank of \mathbf{F} .

To carry out this program, in case B were finite or even countable, we could mount a proof by induction. You can probably see how it might be done. But we want to prove this for arbitrary sets B . We could still pursue this inductive strategy openly by well-ordering B and using transfinite induction. By using the well-ordering we would always know what was meant by “pick the next element of B .”

Instead, we will invoke Zorn’s Lemma to short-circuit this rather long induction.

Let $\mathcal{F} = \{f \mid f \text{ is a function with } \text{dom } f \subseteq B \text{ and } \text{range } f \text{ a basis for } \mathbf{E}_{\text{dom } f}\}$. Recalling that functions are certain kinds of sets of order pairs, we see that \mathcal{F} is paritally ordered by set inclusion. Maybe it helps to realize that to assert $f \subseteq g$ is the same as asserting that g extends f . We note that \mathcal{F} is not empty since the empty function (the function with empty domain) is a member of \mathcal{F} . To invoke Zorn’s Lemma, let \mathcal{C} be any chain included in \mathcal{F} . Let $h = \bigcup \mathcal{C}$. Evidently $f \subseteq h$ for all $f \in \mathcal{C}$. So h is an upper bound of \mathcal{C} . We contend that $h \in \mathcal{F}$. We ask the hard-working graduate students to check that the union of any chain of functions is itself a function. Once you do that bit of work, it should be evident that $\text{dom } h = \bigcup \{\text{dom } f \mid f \in \mathcal{C}\}$ and that $\text{range } h = \bigcup \{\text{range } f \mid f \in \mathcal{C}\}$. So it remains to show that $\text{range } h$ is a basis for $E_{\text{dom } h}$. To see that $\text{range } h$ is a generating set, let z be an arbitrary element of $E_{\text{dom } h} = E \cap F_{\text{dom } h}$. Hence z must be generated by some finitely many elements belong in $\text{dom } h$. This means there are finitely many functions $f_0, \dots, f_{n-1} \in \mathcal{C}$ so that z is generated by finitely many elements of $\text{dom } f_0 \cup \dots \cup \text{dom } f_{n-1}$. But $\text{dom } f_0, \dots, \text{dom } f_{n-1}$, rearranged in some order, forms a chain under inclusion. So $z \in F_{\text{dom } f_\ell}$ for some $\ell < n$. Hence

$z \in E_{\text{dom } f_\ell}$. But $\text{range } f_\ell$ is a basis for $\mathbf{E}_{\text{dom } f_\ell}$. Because $\text{range } f_\ell \subseteq \text{range } h$ we find that $\text{range } h$ has enough elements to generate z . Since z was an arbitrary element of $E_{\text{dom } h}$ we conclude that $\text{range } h$ generates $E_{\text{dom } h}$. It remains to show that $\text{range } h$ is linearly independent. But $\text{range } h$ is the union of the chain $\{\text{range } f \mid f \in \mathcal{C}\}$. I ask the hard-working graduate students to prove that the union of any chain of linearly independent sets must also be linearly independent. Once you have done this you will be certain that h belongs to \mathcal{F} . By Zorn, let g be a maximal element of \mathcal{F} .

We would be done if $\text{dom } g = B$, since then $E = E \cap F = E \cap F_B = E_B = E_{\text{dom } g}$. In which case, $\text{range } g$ would be a basis for \mathbf{E} and $\text{rank } \mathbf{E} = |\text{range } g| \leq |\text{dom } g| = |B| = \text{rank } \mathbf{F}$.

Consider the possibility that $\text{dom } g$ is a proper subset of B . Put $C = \text{dom } g$ and put $X = \text{range } g$. Let $w \in B$ with $w \notin \text{dom } g$. Put $D = C \cup \{w\}$. As we have seen above, either $E_D = E_C$ or $X \cup \{u\}$ is a basis for \mathbf{E}_D , for some appropriately chosen u . We can now extend g to a function g' by letting $g'(w)$ be any element of $\text{range } g$ in the case when $E_D = E_C$ and by letting $g'(w) = u$ in the alternative case. In this way, $g' \in \mathcal{F}$, contradicting the maximality of g . So we reject this possibility.

This completes the proof. □

Corollary 0. *Let \mathbf{R} be a principal ideal domain. Every submodule of a finitely generated \mathbf{R} -module must itself be finitely generated.*

Proof. Suppose \mathbf{M} is an \mathbf{R} -module generated by n elements. Let \mathbf{N} be a submodule of \mathbf{M} .

Now let \mathbf{F} be the free \mathbf{R} -module with a basis of n elements. There is a function that matches this basis with the generating set of \mathbf{M} . So, appealing to freeness, there is a homomorphism h from \mathbf{F} onto \mathbf{M} . Let $E = \{v \mid v \in F \text{ and } h(v) \in N\}$. It is straightforward to check (will you do it?) that E is closed under the module operations. So we get a submodule \mathbf{E} of \mathbf{F} . Moreover, the restriction of h to E is a homomorphism from \mathbf{E} onto \mathbf{N} . But by our theorem \mathbf{E} is generated by a set with no more than n elements. Since the image, under a homomorphism, of any generating set for \mathbf{E} must be a generating set of \mathbf{N} (can you prove this?), we find that \mathbf{N} is finitely generated. □