

Coloring Non-Uniform Hypergraphs

Red and Blue

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Hypergraphs

Hypergraph H :

- $V(H)$: the set of vertices.
- $E(H)$: the set of edges.
(A edge F is a subset of $V(H)$.)



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H is r -uniform if $|F| = r$ for every edge F of H .



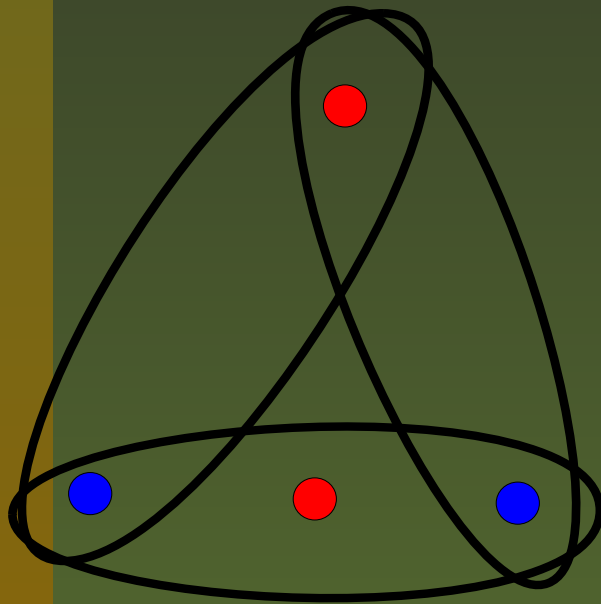
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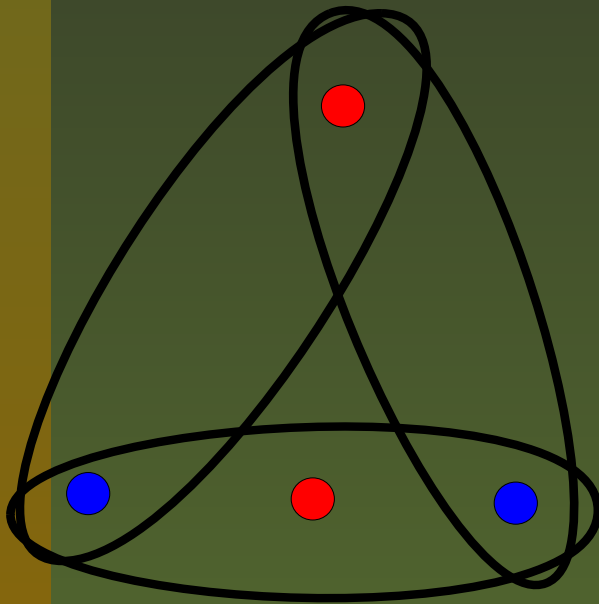


With Property B

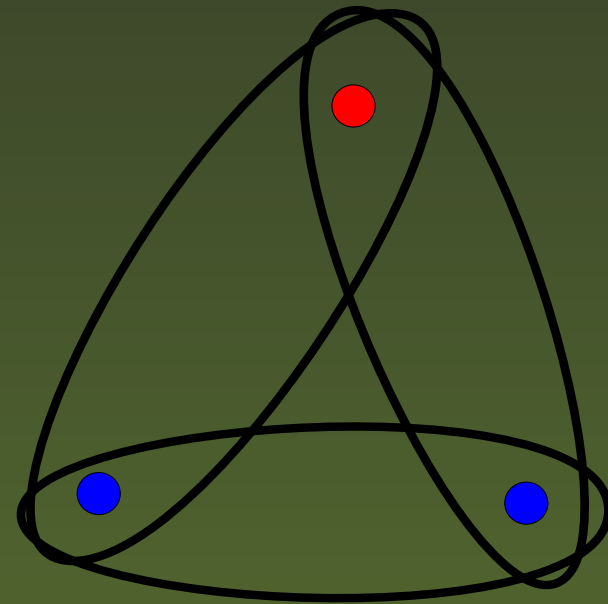


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With Property B



Without Property B



History

Property **B** is first introduced by Miller in 1937.

Bernstein (1908) proved: Suppose an infinite hypergraph H has countable edges and each edge has infinite vertices. Then H has Property B.



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Erdős (1963) asked:

“What is the minimum edge number $m_2(r)$ of a r -uniform hypergraph not having property B ?”



Edge cardinality matters!

- $m_2(1) = 1$:

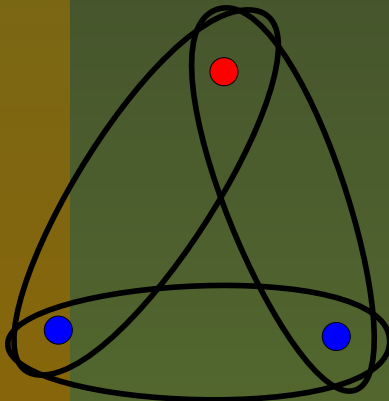


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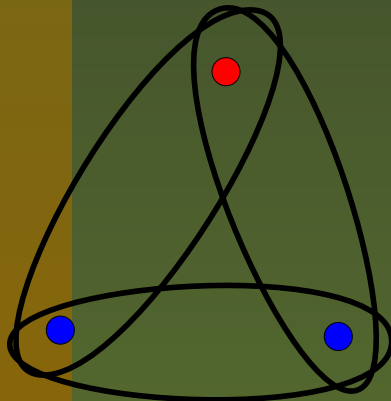


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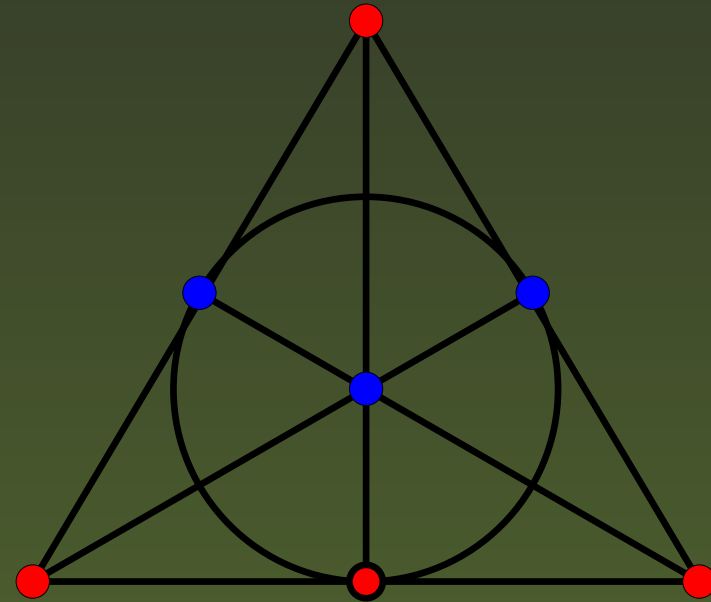
■ $m_2(1) = 1$:



■ $m_2(2) = 3$:



■ $m_2(3) = 7$:



Fano plane



Erdős and Lovász (1975)

Perhaps $r2^r$ is the correct order of magnitude of $m_2(r)$; it seems likely that

$$\frac{m_2(r)}{2^r} \rightarrow \infty.$$

A stronger conjecture would be: Let $E_{k=1}^m$ be a 3-chromatic (not necessarily uniform) hypergraph. Let

$$f(r) = \min \sum_{k=1}^m \frac{1}{2^{|E_k|}},$$

where the minimum is extended over all hypergraphs with $\min |E_k| = r$. We conjecture that $f(r) \rightarrow \infty$ as $r \rightarrow \infty$.



Previous results

- Erdős (1963)

$$2^{r-1} \leq m_2(r) \leq (1 + \epsilon) \frac{2 \ln 2}{4} r^2 2^r.$$



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$$m_2(r) > r^{\frac{1}{3} - o(1)} 2^r.$$

- Radhakrishnan and Srinivasan (2000)

$$m_2(r) > \left(\frac{\sqrt{2}}{2} - o(1) \right) \frac{\sqrt{r}}{\sqrt{\ln r}} 2^r.$$



Non-uniform hypergraphs

Let $g_0(x) = x$, $g_k(x) = \log_2(g_{k-1}(x))$ for $k \geq 1$. For all $x > 0$, let $\log^*(x) = \min\{k: g_k(x) \leq 1\}$.

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The function $\log^*(x)$ grows very slowly since it is the inverse function of the following tower function of height n

$$n \rightarrow \underbrace{2^{2^{\dots^2}}}_n.$$



Observation

- In Beck's paper, the gap between the lower bound of $f(r)$ and the lower bound of $\frac{m_2(r)}{2^r}$ is huge.



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- Using probabilistic method, Spencer simplified Beck's proof for the uniform case, but not for the non-uniform case.



Main result

Theorem (Lu) For any $\epsilon > 0$, there is an $r_0 = r_0(\epsilon)$, for all $r > r_0$, we have

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An obvious upper bound:

$$f(r) \leq \frac{m_2(r)}{2^r} \leq (1 + \epsilon) \frac{2 \ln 2}{4} r^2.$$



Recoloring method

Theorem (Beck 1978) Any r -hypergraph H with at most $r^{1/3-o(1)}2^r$ edges has Property B.



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Spencer's Proof:

- Randomly and independently color each vertex red and blue with probability $\frac{1}{2}$.
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Observation: With positive probability, the recoloring process destroys all monochromatic edges and does not create any new monochromatic edge.



Type I: a red edge survives.

Let $h = |E(H)|2^{-r}$ be the expected number of red edges.

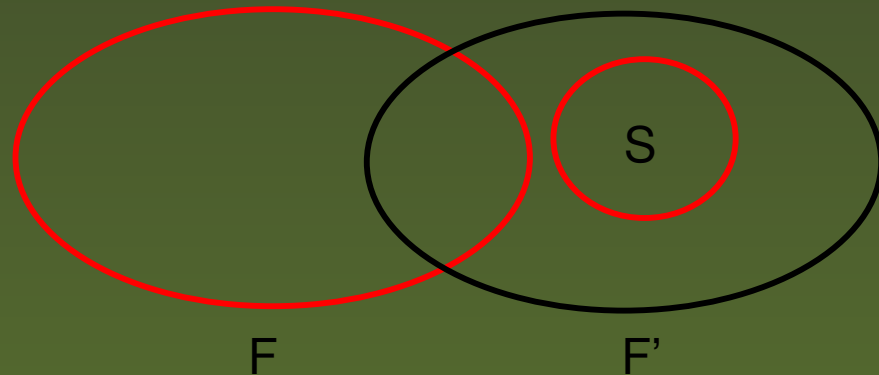
The probability of this event is

$$|E(H)|2^{-r}(1-p)^r \leq he^{-rp}.$$



Type II: a blue edge is created.

$$\begin{aligned} & \sum_{i \geq 1} \sum_{|F \cap F'|=i} 2^{-2r+i} \sum_{s \geq 0} \binom{r-i}{s} p^{i+s} \\ &= 2^{-2r} \sum_{i \geq 1} (2p)^i \sum_{|F \cap F'|=i} (1+p)^{r-i} \\ &\leq 2^{-2r} (1+p)^r \frac{2p}{1+p} |E(H)|^2 \\ &\leq 2ph^2 e^{pr}. \end{aligned}$$



Put together

H has Property B if

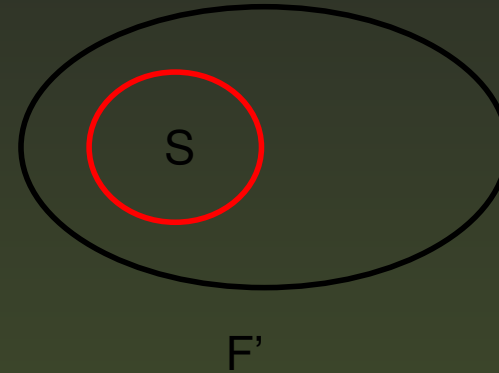
$$2he^{-rp} + 4ph^2e^{pr} < 1.$$

Choose $h = r^{(1-\epsilon)/3}$ and $p = \frac{(1+\epsilon)\ln h}{r}$. Done!



The difficulty

A critical case:

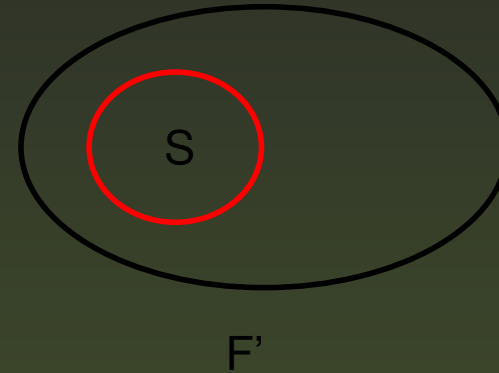


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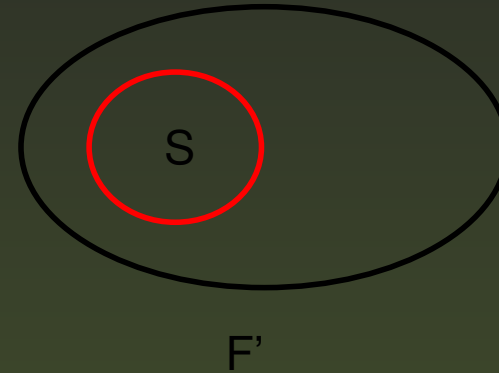


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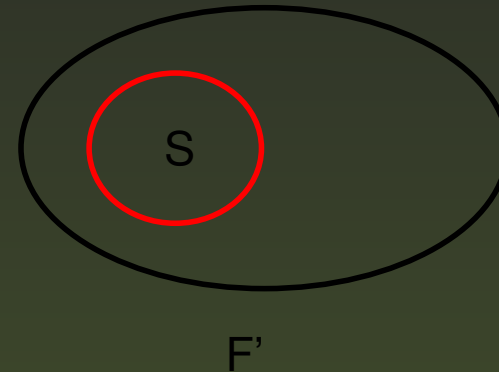


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The difficulty

A critical case:



- S is red while $F' \setminus S$ is blue.
- For any $v \in S$, there exists a red edge F containing v .
- The size of F' is unbounded.
- There are too many choices of S .



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- Introduce a new concept “twin-hypergraph”.
- Adapt the recoloring method to twin-hypergraphs.
- Reduce the problem using irreducible core.
- Carefully separate independence relations between random variables.



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The twin-hypergraph (H_1, H_2) is said *to have Property B* if there exists a red-blue vertex-coloring satisfying

- H_1 has no red edge.
- H_2 has no blue edge.



Residue twin-hypergraphs

Let C be a coloring of $H = (H_1, H_2)$.

The **red residue** $R_C(H)$ is a twin-hypergraph (H'_1, H'_2) satisfying

- $V(H'_1) = V(H'_2) = R$: the set of vertices lying in red edges of H_1 .



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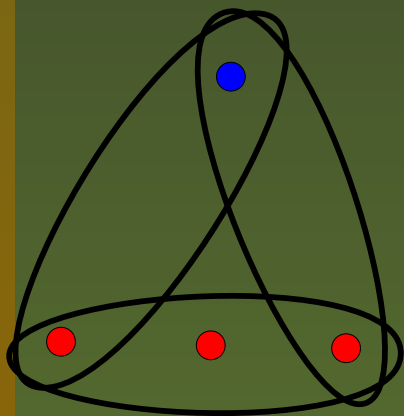


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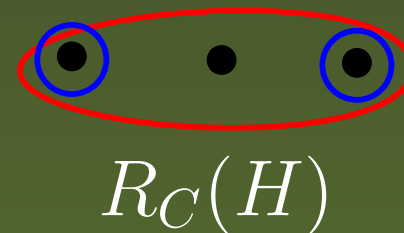
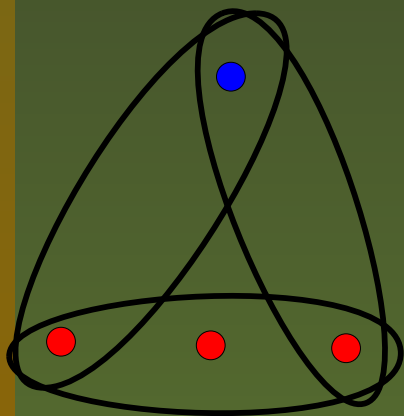


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Recoloring Lemma

Blue residue $B_C(H)$ is defined similarly.

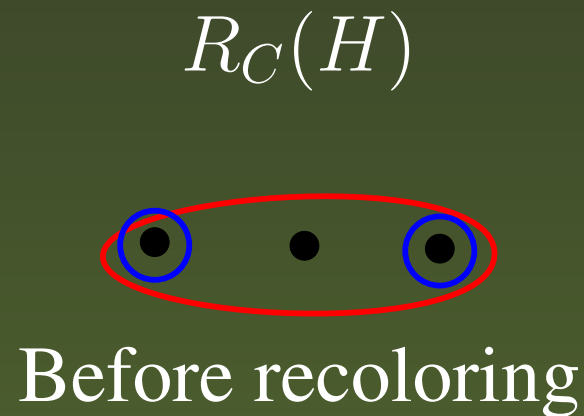
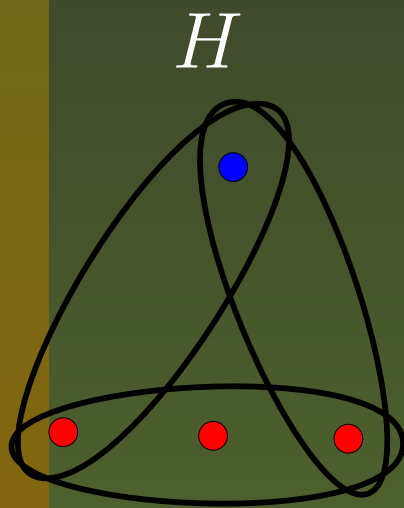
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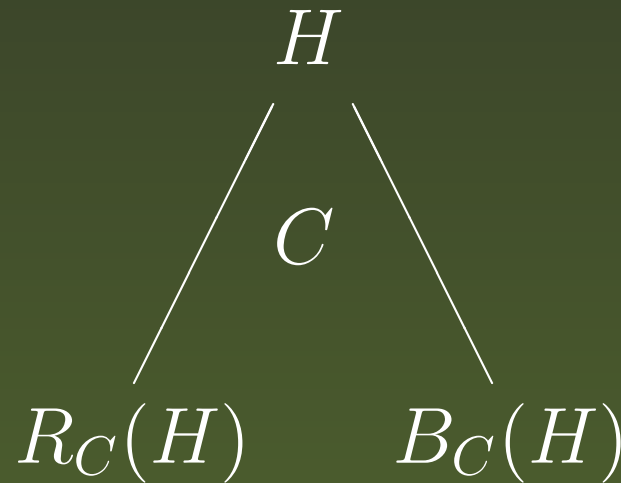
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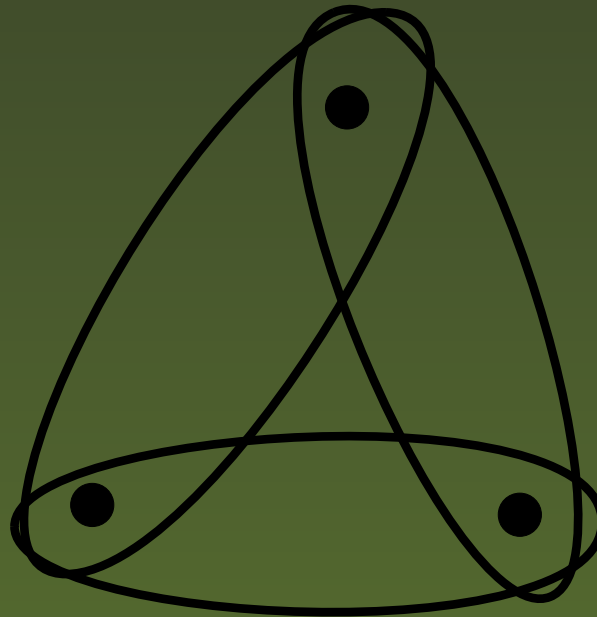
Can not apply it recursively unless one of the residues is empty.



Irreducibility

A twin-hypergraph $H = (H_1, H_2)$ is called *irreducible* if

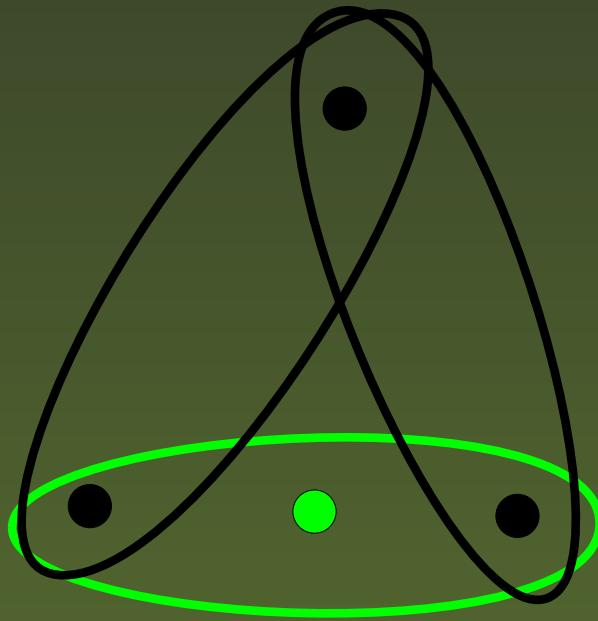
1. $\forall F_1 \in E(H_1)$ and $v \in F_1, \exists F_2 \in E(H_2)$ such that $F_1 \cap F_2 = \{v\}$.
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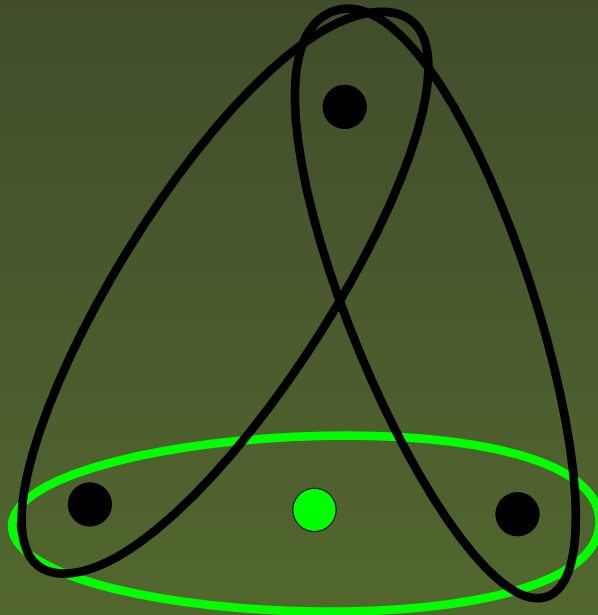
1. $v \in F$, and $F \in E(H_i)$ for $i = 1$ or 2 .
2. $\forall F' \in E(H_{3-i})$, if $v \in F'$ then $|F \cap F'| \geq 2$.



Reducing twin-hypergraphs

If H is reducible, there is an evidence (F, v) . Removing F from H we get a twin-hypergraph with one edge less. Repeat this process until an irreducible twin-hypergraph is reached.

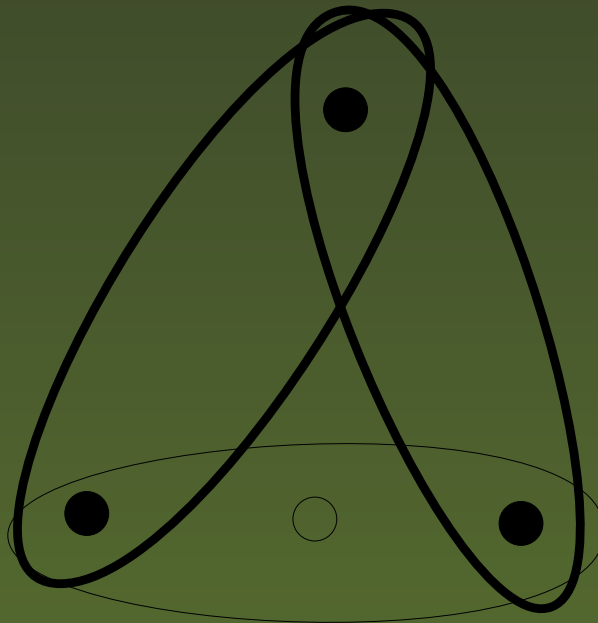
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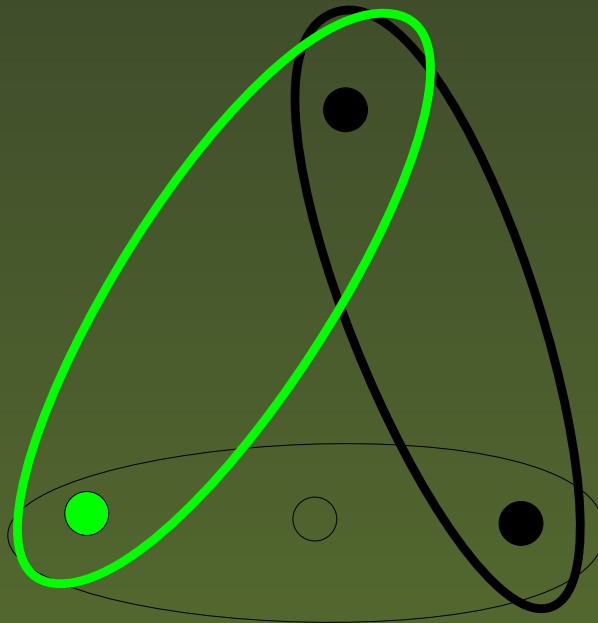
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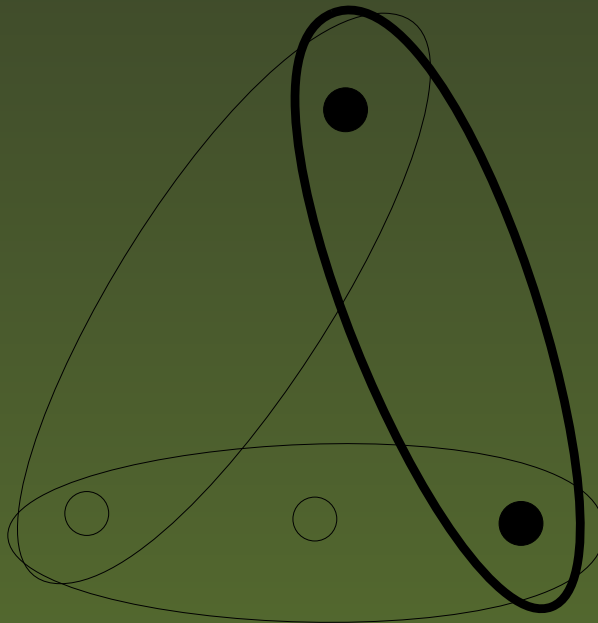
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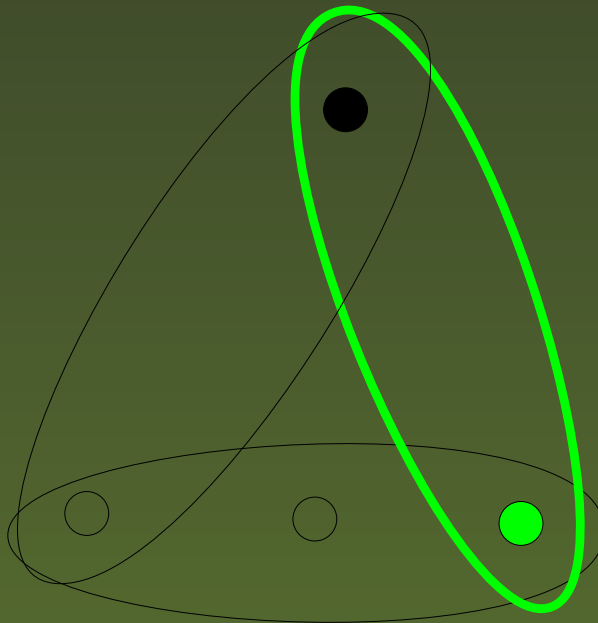
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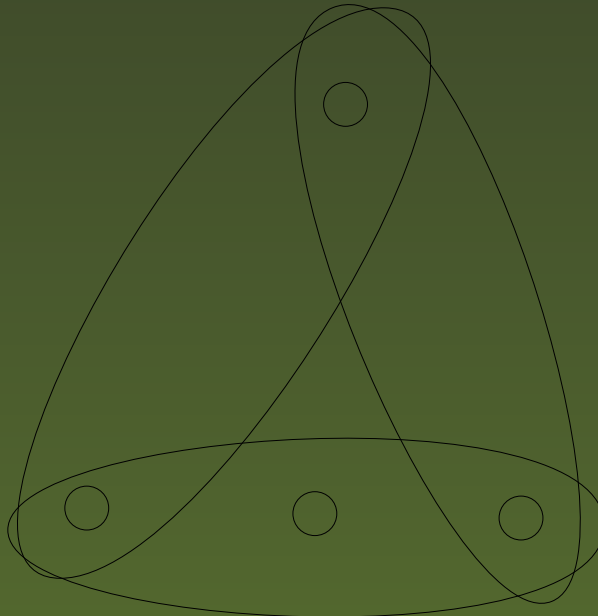
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Such a unique H^s is called the irreducible core of H .



Lemma on irreducible core

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Proof: It suffices to add a removed edge F back.

- If F is not monochromatic, do nothing.
- Otherwise, flip the color of v . For any F' containing v , F' contains another vertex of F . Thus, F' is not monochromatic.



Randomized testing algorithm

- Let $C: V(H) \rightarrow red, blue$: (independently)

$$\Pr(C(v) = red) = \frac{1}{2}, \quad \Pr(C(v) = blue) = \frac{1}{2}$$



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- Abort the program if a red edge survives or a blue edge is created.



Claims

- If the program succeeds, then H has Property B.



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- If the program succeeds, then H has Property B.
- Suppose a twin-hypergraph $H = (H_1, H_2)$ with minimum edge-cardinality r satisfies

$$h_i \stackrel{\text{def}}{=} \sum_{F \in E(H_i)} \frac{1}{2^{|F|}} \leq \left(\frac{1}{16} - o(1) \right) \frac{\ln r}{\ln \ln r}$$

for $i = 1, 2$. Then the program succeeds with positive probability.



Random variables

- $X_{F'} = \#\{F \in E(H_1) \mid |F \cap F'| = 1, F \setminus F' \text{ is red.}\}$



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Early Termination Condition

Lemma 4 With probability at least $1 - \frac{1}{M}$, we have

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Sketch of Proof:

- $\sum_{i \geq r} \frac{X_{F'}^{(i)}}{i} \leq \sum_{i \geq r} \frac{X^{(i)}}{i} = X.$
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- Markov's inequality.



Type I: a red edge survives.

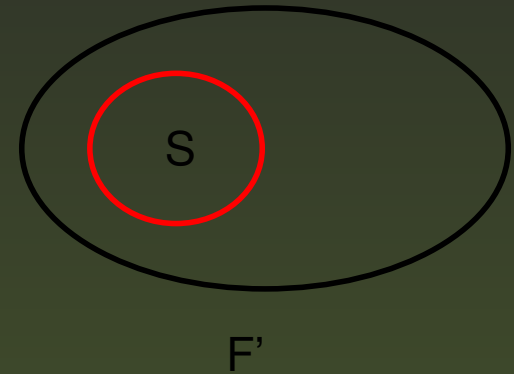
The failure probability of type I event is at most

$$\begin{aligned} & \sum_{F \in E(H_1)} \frac{1}{2^{|F|}} \left(1 - \frac{q}{r 2^{\lfloor \log_2 \frac{|F|}{r} \rfloor}}\right)^{|F|} \\ & \leq \sum_{F \in E(H_1)} \frac{1}{2^{|F|}} \left(1 - \frac{q}{|F|}\right)^{|F|} \\ & \leq \sum_{F \in E(H_1)} \frac{1}{2^{|F|}} e^{-q} \\ & = h_1 e^{-q}. \end{aligned}$$



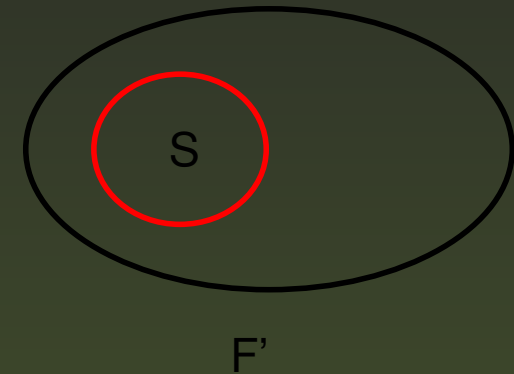
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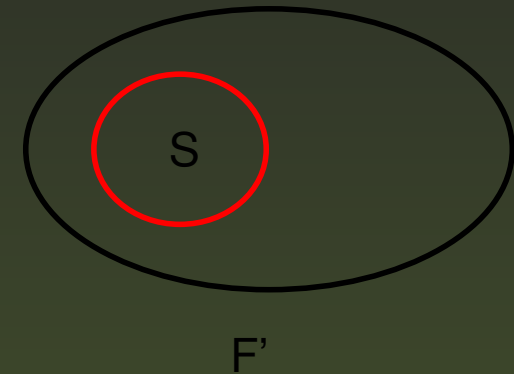
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- S is **red** while $F' \setminus S$ is **blue** in C .
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Type II: a blue edge is created.

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- For any $v \in S$, $\exists F_v$ such that $F_v \cap F = \{v\}$. Moreover, F_v survives until v is recolored into blue.



- All vertices in S are changed into blue eventually. Let x be the rank of F_v . For any $v \in S$,

$$\begin{aligned} \Pr(v \text{ is recolored into blue}) &< \sum_{s=x}^{\infty} \frac{q}{r2^{s-1}} \\ &= \frac{4q}{r2^x} < \frac{4q}{|F_v|}. \end{aligned}$$



Random variable Z

Let $\mathcal{F}_v = \{F \mid F \cap F' = \{v\}, F \setminus \{v\} \text{ is red}\}$.

$$\begin{aligned} Z &\stackrel{\text{def}}{=} \sum_{\substack{S \subset F' \\ |S| \geq 1}} \prod_{v \in S} \sum_{F \in \mathcal{F}_v} \frac{4q}{|F|} \\ &= \prod_{v \in F'} \left(1 + \sum_{F \in \mathcal{F}_v} \frac{4q}{|F|} \right) - 1 \\ &\leq e^{\sum_{v \in F'} \sum_{F \in \mathcal{F}_v} \frac{4q}{|F|}} - 1 \\ &= e^{4q \sum_{i \geq r} \frac{X_{F'}^{(i)}}{i}} - 1. \end{aligned}$$



Upper-bound Z over $A_{F'} = (\sum_{i \geq r} \frac{X_{F'}^{(i)}}{i} \leq 2Mh_1)$

$$\begin{aligned}
 \mathbf{1}_{A_{F'}} Z &= \mathbf{1}_{A_{F'}} \frac{e^{4q \sum_{i \geq r} \frac{X_{F'}^{(i)}}{i}} - 1}{\sum_{i \geq r} \frac{X_{F'}^{(i)}}{i}} \sum_{i \geq r} \frac{X_{F'}^{(i)}}{i} \\
 &\leq \frac{e^{8Mh_1q} - 1}{2Mh_1} \sum_{i \geq r} \frac{X_{F'}^{(i)}}{i} \\
 &\leq \frac{e^{8Mh_1q}}{2Mh_1} \frac{1}{r} \sum_{i \geq r} X_{F'}^{(i)} \\
 &= \frac{e^{8Mh_1q}}{2Mh_1 r} X_{F'}.
 \end{aligned}$$



Probability of type II event

$$\begin{aligned} \sum_{F' \in E(H_2)} \mathbb{E}(\mathbf{1}_A Z) \frac{1}{2^{|F'|}} &\leq \sum_{F' \in E(H_2)} \frac{1}{2^{|F'|}} \mathbb{E}\left(\frac{e^{8Mh_1q}}{2Mh_1r} X_{F'}\right) \\ &= \sum_{F' \in E(H_2)} \frac{1}{2^{|F'|}} \frac{e^{8Mh_1q}}{2Mh_1r} \mathbb{E}(X_{F'}) \\ &\leq h_2 \frac{e^{8Mh_1q}}{2Mh_1r} 2h_1 \\ &= \frac{h_2 e^{8Mh_1q}}{Mr}. \end{aligned}$$



Put together

The probability of success is at least

$$1 - \frac{2}{M} - 2he^{-q} - \frac{2he^{8Mhq}}{Mr}.$$

Choose $M = 2(1 + \epsilon)$, $q = \ln \ln r$, and $h = \frac{1-\epsilon}{16} \frac{\ln r}{\ln \ln r}$.

The above probability is

$$\frac{\epsilon}{1 + \epsilon} - \frac{2h}{\ln r} - \frac{2h}{Mr^{\epsilon^2}} > 0$$

for sufficiently large r .

Therefore, H has Property B.



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- Is it true $f(r) = \frac{m_2(r)}{2^r}$?



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- Prove or disprove Erdős-Lovász's stronger conjecture $m_2(r) = \Theta(r2^r)$.



The End

