

Laplacian of Random Hypergraphs

Linyuan Lu

University of South Carolina

Collaborator: Xing Peng

Selected Topics on Spectral Graph Theory (V)
Nankai University, Tianjin, June 12, 2014



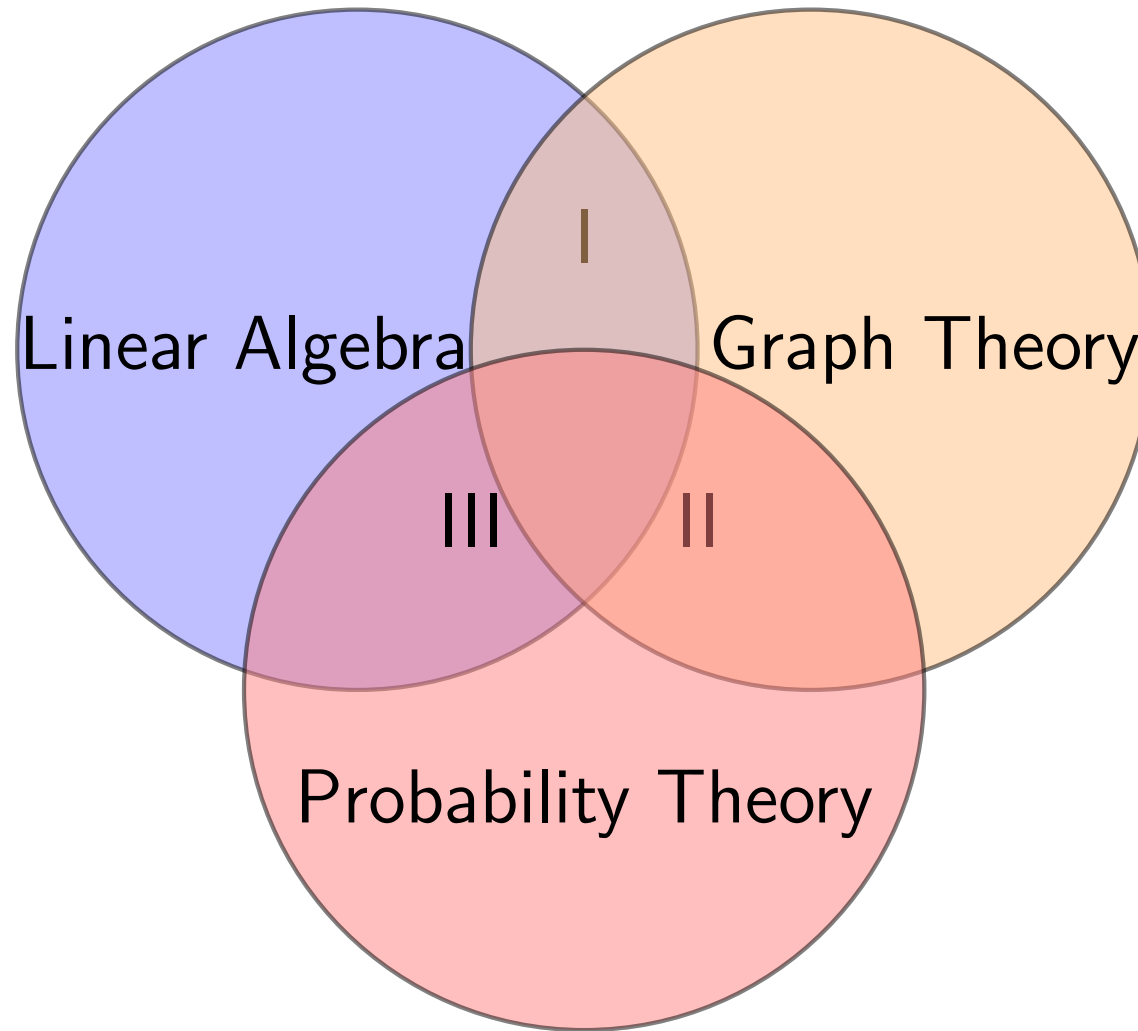
Five talks

Selected Topics on Spectral Graph Theory

1. Graphs with Small Spectral Radius
Time: Friday (May 16) 4pm.-5:30p.m.
2. Laplacian and Random Walks on Graphs
Time: Thursday (May 22) 4pm.-5:30p.m.
3. Spectra of Random Graphs
Time: Thursday (May 29) 4pm.-5:30p.m.
4. Hypergraphs with Small Spectral Radius
Time: Friday (June 6) 4pm.-5:30p.m.
5. Laplacian of Random Hypergraphs
Time: Thursday (June 12) 4pm.-5:30p.m.



Backgrounds



I: Spectral Graph Theory

II: Random Graph Theory

III: Random Matrix Theory



The Laplacians of graphs

- $G = (V, E)$: a weighted graph; each edge xy is associated with a positive integer weight $w(x, y)$. ($w(x, y) = 0$ if $xy \notin E(G)$.)



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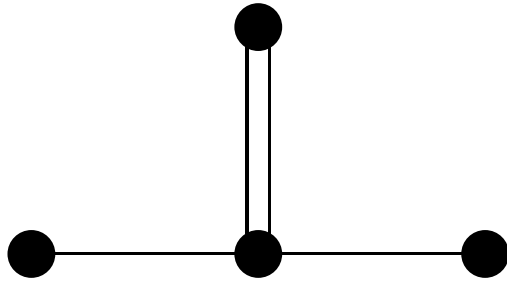
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- Laplacian spectrum: $LSP(G) := \{\lambda_0, \dots, \lambda_{n-1}\}$

$$0 = \lambda_0 \leq \lambda_1 \leq \dots \leq \lambda_{n-1} \leq 2.$$



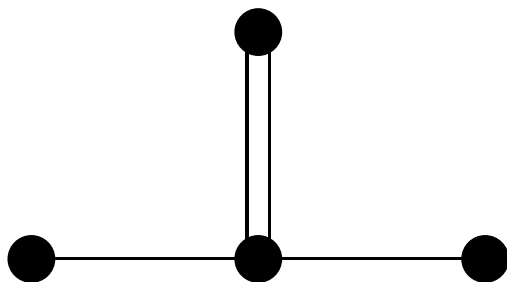
An example



$$A = \begin{pmatrix} 0 & 2 & 1 & 1 \\ 2 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$$



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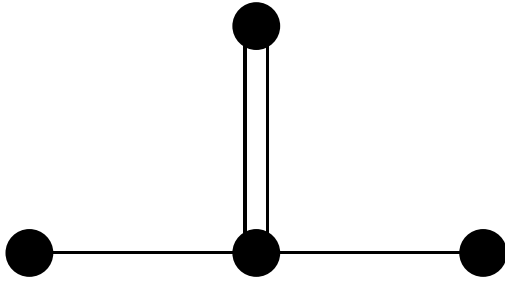


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Laplacian eigenvalues: $\lambda_0 = 0$, $\lambda_1 = \lambda_2 = 1$, $\lambda_3 = 2$



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- Rayleigh quotients :

$$\lambda_1 = \inf_{f \perp T\mathbf{1}} \frac{\sum_{x \sim y} (f(x) - f(y))^2 w(x, y)}{\sum_x f(x)^2 d_x},$$

$$\lambda_{n-1} = \sup_{f \perp T\mathbf{1}} \frac{\sum_{x \sim y} (f(x) - f(y))^2 w(x, y)}{\sum_x f(x)^2 d_x}.$$



An important parameter

λ_1 is related to

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- many other applications.



Random walks

A walk on a graph is a sequence of vertices together a sequence of edges:

$$v_0, v_1, v_2, v_3, \dots, v_k, v_{k+1}, \dots$$

$$v_0v_1, v_1v_2, v_2v_3, \dots, v_kv_{k+1}, \dots$$



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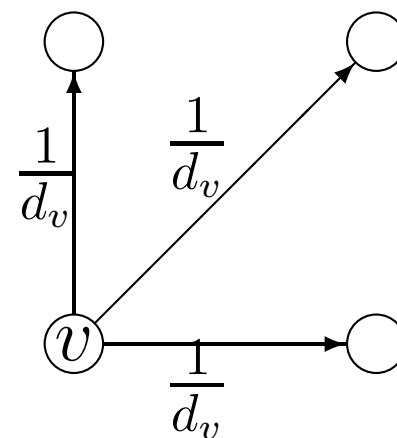
Random walks on a graph G :

$$f_{k+1} = f_k T^{-1} A.$$

$$\|(f_k - \pi) T^{-1/2}\| \leq \bar{\lambda}^k \|(f_0 - \pi) T^{-1/2}\|.$$

$$T^{-1} A \sim T^{-1/2} A T^{-1/2} = I - \mathcal{L}.$$

$\bar{\lambda}$ determines the mixing rate of random walks.



α -lazy random walks

For $0 \leq \alpha \leq 1$, at time t , with probability α , stay at the current vertex; with probability $1 - \alpha$, move to a neighbor vertex randomly.



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Proof

Theorem:

$$\|(f_k - \pi)T^{-1/2}\| \leq \bar{\lambda}_\alpha^k \|(f_0 - \pi)T^{-1/2}\|.$$

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Diameter

Theorem [Chung (1989)]

If G is not a complete weighted graph, then we have

$$\text{diam}(G) \leq \left\lceil \frac{\log(\text{vol}(G)/\delta)}{\log \frac{\lambda_{n-1} + \lambda_1}{\lambda_{n-1} - \lambda_1}} \right\rceil,$$

where δ is the minimum degree of G .



Edge discrepancy

For any two subsets X and Y , we have

$$\left| |E(X, Y)| - \frac{\text{vol}(X)\text{vol}(Y)}{\text{vol}(G)} \right| \leq \bar{\lambda} \frac{\sqrt{\text{vol}(X)\text{vol}(Y)\text{vol}(\bar{X})\text{vol}(\bar{Y})}}{\text{vol}(G)}.$$

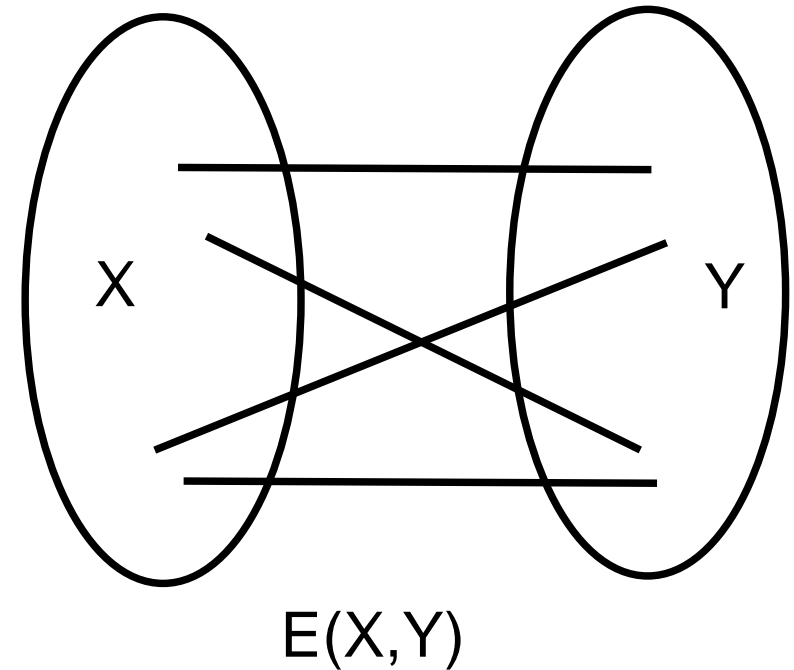
where

$$\text{vol}(X) = \sum_{x \in X} d_x$$

$$\text{vol}(G) = \sum_{x \in V(G)} d_x$$

$$\text{vol}(\bar{X}) = \text{vol}(G) - \text{vol}(X)$$

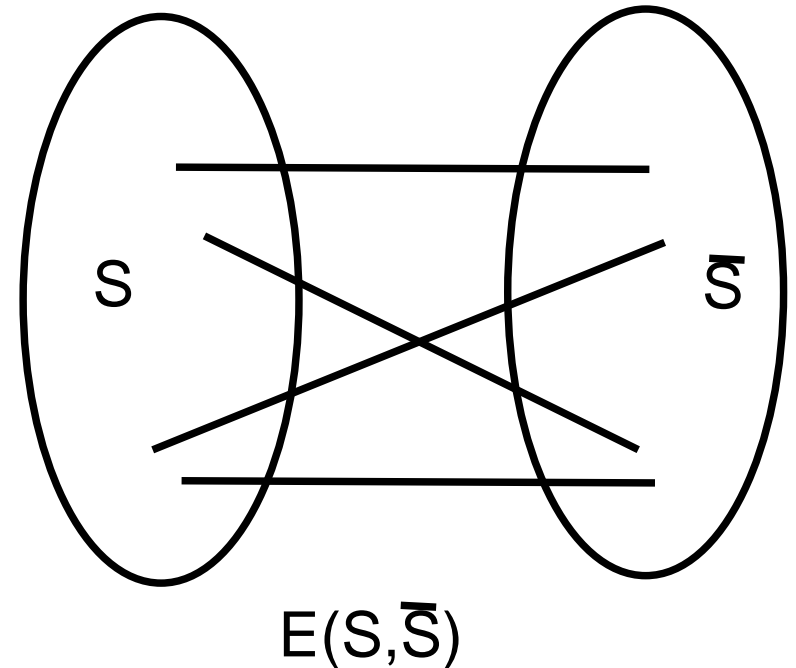
$$\bar{\lambda} = \max\{|1 - \lambda_1|, |\lambda_{n-1} - 1|\}.$$



Cheeger's Constant

$$h(S) := \frac{|E(S, \bar{S})|}{\min\{\text{vol}(S), \text{vol}(\bar{S})\}}.$$

$$h_G := \min_{S \subset V(G)} h(S).$$



Cheeger's inequality

$$2h_G \geq \lambda_1 \geq \frac{h_G^2}{2}.$$



Eulerian directed graphs

A directed graph D is *Eulerian* if the in-degree equals the out-degree at any vertex x . ($d_x^+ = d_x^- = d_x$)

- Any weak connected component in D is also a strongly connected component.



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Chung [2005] defined the Laplacian of Eulerian directed graphs.

$$\mathcal{L} = \frac{\vec{\mathcal{L}} + \vec{\mathcal{L}}'}{2}.$$



α -lazy random walks on D

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- Let f_k be the distribution at time k .

Theorem: $\|(f_k - \pi)T^{-1/2}\| \leq \sigma_\alpha^k \|(f_0 - \pi)T^{-1/2}\|$.

Here $\sigma_\alpha := \max_{f \perp \phi'_0} \frac{\|\vec{\mathcal{L}}_\alpha f\|}{\|f\|}$ is the second largest singular value of $\vec{\mathcal{L}}_\alpha$.



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Lemma:

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Choosing α to minimize σ_α , we get

$$\min_{0 \leq \alpha < 1} \{\sigma_\alpha\} \leq \begin{cases} \sigma_0 & \text{if } \lambda_1 \leq 1 - \sigma_0^2; \\ \sqrt{1 - \frac{\lambda_1^2}{2\lambda_1 + \sigma_0^2 - 1}} & \text{otherwise.} \end{cases}$$



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In particular, $\min_{0 \leq \alpha < 1} \{\sigma_\alpha\} \leq \sqrt{1 - \frac{\lambda_1}{2}}$.



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Theorem [Chung 2005]:

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We improved it into

Theorem [Lu-Peng 2011]:

$$\text{diam}(D) \leq \left\lceil \frac{\log(\text{vol}(D)/\delta)}{\log \sigma_\alpha} \right\rceil,$$

for any $0 < \alpha < 1$.



Edge expansion in D

Theorem [Lu-Peng 2011]: Let D be a Eulerian directed graph. If X and Y are two subsets of $V(D)$, then we have

$$\left| \frac{|E(X, Y)| + |E(Y, X)|}{2} - \frac{\text{vol}(X)\text{vol}(Y)}{\text{vol}(D)} \right| \leq \bar{\lambda} \frac{\sqrt{\text{vol}(X)\text{vol}(Y)\text{vol}(\bar{X})\text{vol}(\bar{Y})}}{\text{vol}(D)}.$$



Hypergraphs

$H = (V, E)$ is an r -uniform hypergraph.

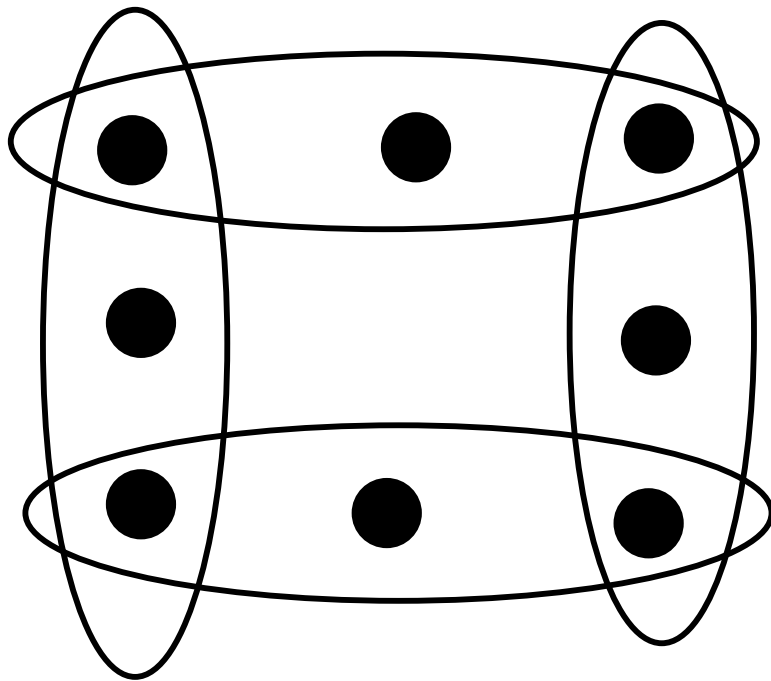
- V : the set of vertices
- E : the set of edges, each edge has cardinality r .



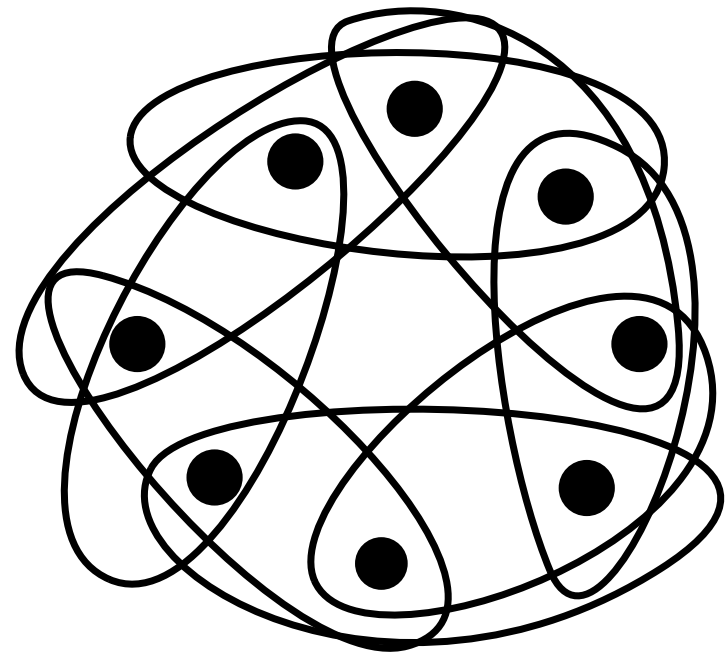
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A 3-uniform loose cycle



A 3-uniform tight cycle



Notations on hypergraphs

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- S : an s -subset of V
- Degree d_S : the number of edges passing through S .

$$\sum_{S \in \binom{V}{s}} d_S = \binom{r}{s} |E(H)|.$$



s -walks on hypergraphs

For $1 \leq s \leq r - 1$, an s -walk on H consists of

- a vertex sequence: $v_1, v_2, \dots, v_{(k-1)(r-s)+r}$
- an edge sequence: F_1, F_2, \dots, F_k satisfying
 $F_i = \{v_{(r-s)(i-1)+1}, v_{(r-s)(i-1)+2}, \dots, v_{(r-s)(i-1)+r}\}$ for
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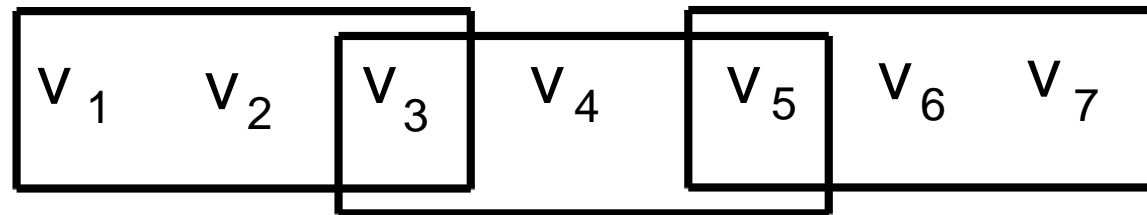


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$$|F_i \cap F_{i+1}| = s$$



A 1-walk in a 3-graph

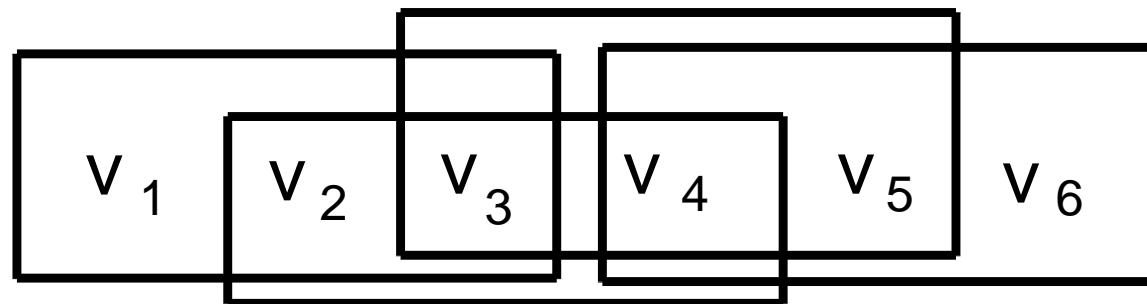


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A 2-walk in a 3-graph

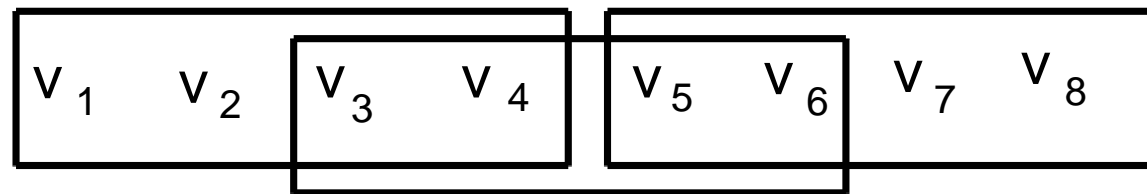


s -walks on hypergraphs

For $1 \leq s \leq r - 1$, an s -walk on H consists of

- a vertex sequence: $v_1, v_2, \dots, v_{(k-1)(r-s)+r}$
- an edge sequence: F_1, F_2, \dots, F_k satisfying
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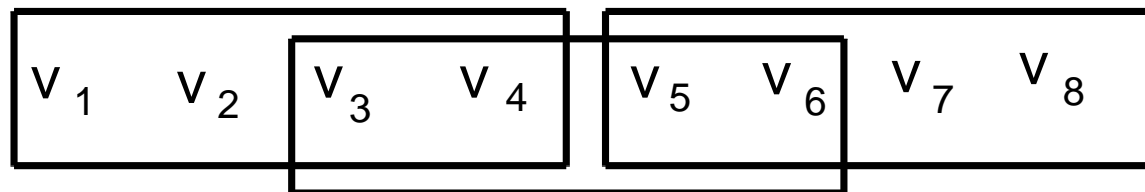


A 2-walk in a 4-graph



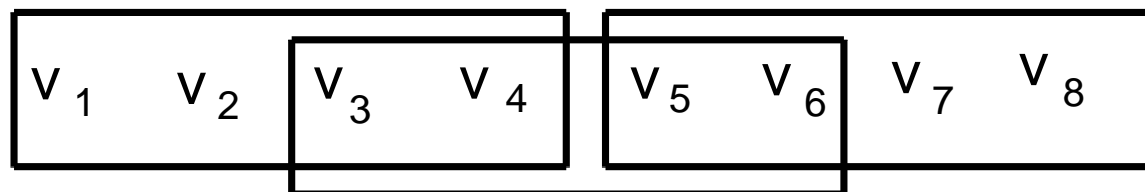
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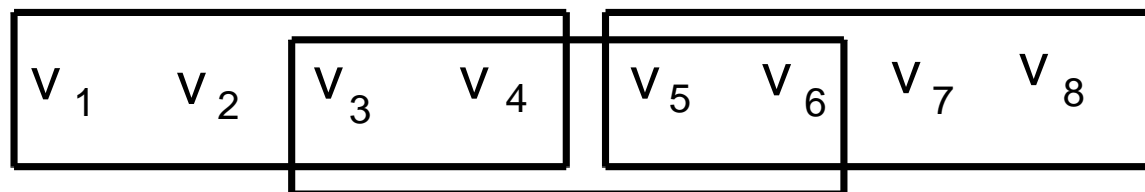


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$$w(S, T) = \begin{cases} 0 & \text{if } [S] \cap [T] \neq \emptyset \\ d_{[S] \cup [T]} & \text{if } [S] \cap [T] = \emptyset. \end{cases}$$



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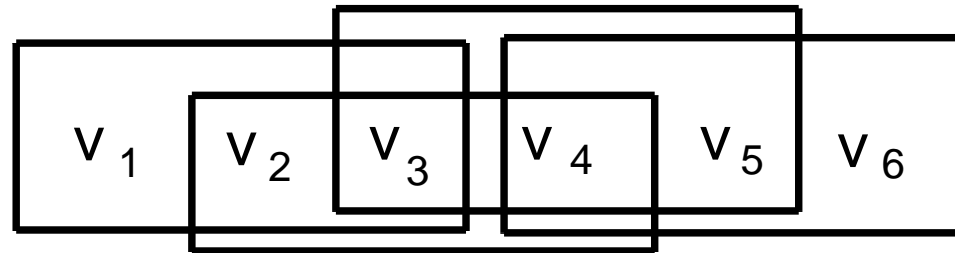
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$\mathcal{L}^{(1)}$ is the same as the Laplacian of hypergraph introduced by **Rodríguez [2009]**.



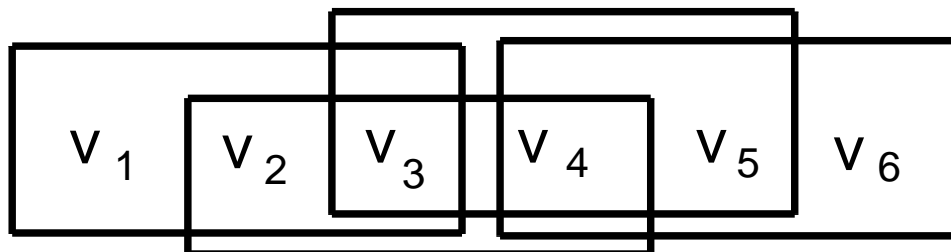
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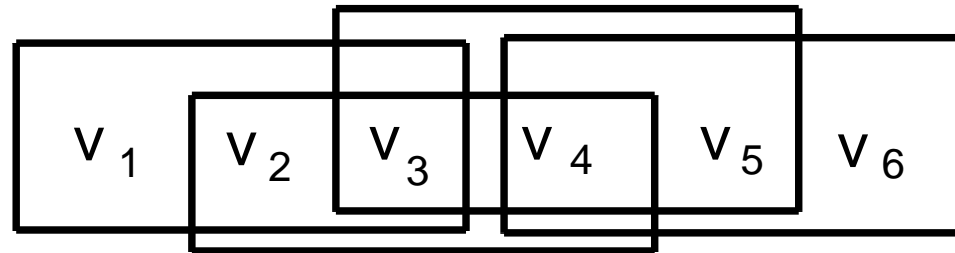


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Observation: an s -th random walk on H is “essentially” a random walk on an auxiliary directed graph $D^{(s)}$.

- Vertex set $V(G^{(s)}) = V^s$
- For $x = (x_1, \dots, x_s)$ and $y = (y_1, \dots, y_s)$, xy is a directed edge if
 - $x_{r-s+j} = y_j$ for $1 \leq j \leq 2s - r$.
 - $\{x_1, \dots, x_s, y_{2s-r+1}, y_s\}$ is an edge of H .



Laplacians of hypergraph (II)

For $r/2 < s \leq r - 1$, the s -th Laplacian of H , denoted by $\mathcal{L}^{(s)}$, is defined as the Laplacian of $D^{(s)}$.



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$\lambda_1^{(s)}$, $\lambda_{max}^{(s)}$, and $\bar{\lambda}^{(s)}$ are defined in the same way.



Examples

Some eigenvalues of Laplacians of complete hypergraph K_n^r :

H	$\lambda_1^{(4)}$	$\lambda_1^{(3)}$	$\lambda_1^{(2)}$	$\lambda_1^{(1)}$	$\lambda_{\max}^{(1)}$	$\lambda_{\max}^{(2)}$	$\lambda_{\max}^{(3)}$	$\lambda_{\max}^{(4)}$
K_6^3			$3/4$	$6/5$	$6/5$	$3/2$		
K_7^3			$7/10$	$7/6$	$7/6$	$3/2$		
K_6^4		$1/3$	$5/6$	$6/5$	$6/5$	$3/2$	1.76759	
K_7^4		$3/8$	$9/10$	$7/6$	$7/6$	$7/5$	$7/4$	
K_6^5	0.1464	$1/2$	$5/6$	$6/5$	$6/5$	$3/2$	$3/2$	1.809
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Applications

$\lambda_1^{(s)}$ (and/or) $\bar{\lambda}_{max}^{(s)}$ is related to

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Each application is divided into the loose case and the tight case.



Random walks (I)

Theorem [Lu-Peng 2011]: For $1 \leq s \leq r/2$, suppose that H is an s -connected r uniform hypergraph. For $0 \leq \alpha < 1$, the joint distribution f_k at the k -th stop of the α -lazy random walk at time k converges to the stationary distribution π in probability. In particular, we have

$$\|(f_k - \pi)T^{-1/2}\| \leq (\bar{\lambda}_\alpha^{(s)})^k \|(f_0 - \pi)T^{-1/2}\|,$$

where $\bar{\lambda}_\alpha^{(s)} = \max\{|1 - (1 - \alpha)\lambda_1^{(s)}|, |(1 - \alpha)\lambda_{\max}^{(s)} - 1|\}$, and f_0 is the probability distribution at the initial stop.



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Theorem [Lu-Peng 2011]: For $r/2 < s \leq r - 1$, suppose that H is an s -connected r uniform hypergraph. For $0 < \alpha < 1$, the joint distribution f_k at the k -th stop of the α -lazy random walk at time k converges to the stationary distribution π in probability. In particular, we have

$$\|(f_k - \pi)T^{-1/2}\| \leq (\sigma_\alpha^{(s)})^k \|(f_0 - \pi)T^{-1/2}\|,$$

where $\sigma_\alpha^{(s)} \leq \sqrt{1 - 2\alpha(1 - \alpha)\lambda_1^{(s)}}$, and f_0 is the probability distribution at the initial stop.



s -Diameter (I)

Theorem [Lu-Peng 2011]: Let H be a r -uniform hypergraph. For $1 \leq s \leq \frac{r}{2}$, if $\lambda_{\max}^{(s)} > \lambda_1^{(s)} > 0$, then the s -diameter of an r -uniform hypergraph H satisfies

$$\text{diam}^{(s)}(H) \leq \left\lceil \frac{\log \frac{\text{vol}(V^s)}{\delta^{(s)}}}{\log \frac{\lambda_{\max}^{(s)} + \lambda_1^{(s)}}{\lambda_{\max}^{(s)} - \lambda_1^{(s)}}} \right\rceil.$$

Here $\text{vol}(V^s) = \sum_{x \in V^s} d_x = |E(H)| \frac{r!}{(r-2s)!}$ and $\delta^{(s)}$ is the minimum degree in $G^{(s)}$.



s -Diameter (II)

Theorem [Lu-Peng 2011]: Let H be a r -uniform hypergraph. For $r/2 < s \leq r - 1$, if $\lambda_1^{(s)} > 0$, then the s -diameter of H satisfies

$$\text{diam}^{(s)}(H) \leq \left\lceil \frac{2 \log \frac{\text{vol}(V^s)}{\delta^{(s)}}}{\log \frac{2}{2 - \lambda_1^{(s)}}} \right\rceil.$$

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Edge expansion (I)

Theorem [Lu-Peng 2011]: For $1 \leq t \leq s \leq r - t$,
 $S \subseteq \binom{V}{s}$, and $T \subseteq \binom{V}{t}$, let

$$E(S, T) = \{F \in E(H) : \exists x \in S, \exists y \in T, x \cap y = \emptyset, \text{ and } x \cup y \subseteq V\}$$

Let $e(S) = \frac{\text{vol}(S)}{\text{vol}(\binom{V}{s})}$ and $e(S, T) = \frac{|E(S, T)|}{|E(\binom{V}{s}, \binom{V}{t})|}$.

$$|e(S, T) - e(S)e(T)| \leq \bar{\lambda}^{(s)} \sqrt{e(S)e(T)e(\bar{S})e(\bar{T})}.$$



Edge expansion (II)

Theorem [Lu-Peng 2011]: For

$1 \leq t < \frac{r}{2} < s < s + t \leq r$, $S \subseteq \binom{V}{s}$, and $T \subseteq \binom{V}{t}$, let

$e(S, T) = \frac{|E(S, T)|}{|E(\binom{V}{s}, \binom{V}{t})|}$. If $|x \cap y| \neq \min\{t, 2s - r\}$ for any

$x \in S$ and $y \in T$, then we have

$$\left| \frac{1}{2} e(S, T) - e(S)e(T) \right| \leq \bar{\lambda}^{(s)} \sqrt{e(S)e(T)e(\bar{S})e(\bar{T})}.$$



Edge expansion (III)

Theorem [Lu-Peng 2011]: Suppose $\frac{r}{2} < s \leq r - 1$. For $S, T \subseteq \binom{V}{s}$, let

$$E'(S, T) = \{F \in E(H) \mid \exists x \in S, \exists y \in T, F = x \cup y\}$$

and $e'(S, T) = \frac{|E'(S, T)|}{|E'(\binom{V}{s}, \binom{V}{s})|}$. We have

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Connections of different $\mathcal{L}^{(s)}$

Theorem [Lu, Peng 2011] We have the following inequalities for the “loose” Laplacian eigenvalues.

$$\lambda_1^{(1)} \geq \lambda_1^{(2)} \geq \dots \geq \lambda_1^{(\lfloor r/2 \rfloor)};$$
$$\lambda_{\max}^{(1)} \leq \lambda_{\max}^{(2)} \leq \dots \leq \lambda_{\max}^{(\lfloor r/2 \rfloor)}.$$



Reduced Laplacian (I)

For $1 \leq s \leq r/2$, let $G^{(s)'}$ be the weighted graph defined as

- Vertex set $V(G^{(s)'}) = \binom{V}{s}$
- Weight function $w: \binom{V}{s} \times \binom{V}{s} \rightarrow \mathbb{Z}$:

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Laplacian of $G^{(s)'}$ is called the s -th **reduced** Laplacian of H .



Complete hypergraph K_n^r

Theorem: For $1 \leq s \leq r/2$, the reduced s -th Laplacian eigenvalues of K_n^r is the eigenvalues of s -th reduced Laplacian of K_n^r are given by

$$1 - \frac{(-1)^i \binom{n-s-i}{s-i}}{\binom{n-s}{s}} \text{ with multiplicity } \binom{n}{i} - \binom{n}{i-1}$$

for $0 \leq i \leq s$.



Kneser graph $K(n, s)$

The Kneser graph $K(n, s)$ is a graph over the vertex set $\binom{[n]}{s}$; two s -sets S and T form an edge of $K(n, s)$ if and only if $S \cap T = \emptyset$.



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Note $K(n, s)$ is a regular graph; so the Laplacian eigenvalues can be determined from the eigenvalues of its adjacency matrix.



Proof

We observe that $G^{(s')}(K_n^r)$ is essentially the Kneser graph $K(n, s)$ with each edge associated with a weight $\binom{n-2s}{r-2s}$.

Note the multiplicative factor $\binom{n-2s}{r-2s}$ is canceled after normalization. The $\mathcal{L}^{(s)}$ (for K_n^r) is exactly the Laplacian of Kneser graph. Thus, the eigenvalues of s -th Laplacian of K_n^r are given by

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An application

Erdős-Ko-Rado Theorem If the $n \geq 2s$, then the size of the maximum intersecting family of s -sets in $[n]$ is at most $\binom{n-1}{s-1}$.



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Here we present a “new” proof using the s -th Laplacian eigenvalues of K_n^r . (Actually it is due to **Calderbank-Frankl [1992]**.)



Calderbank-Frankl's proof

It suffices to any intersecting family \mathcal{U} has size at most $\binom{n-1}{s-1}$.

Note U is an independent set in $G^{(s)'}(K_n^r)$. Let \mathcal{L} be the Laplacian of $G^{(s)'}(K_n^r)$. We have $\mathcal{L}|_U = I$. By Cauchy's interlace theorem, we have

$$\lambda_k^{(s)} \leq 1 \leq \lambda_{\binom{n}{s} - |U| + k}^{(s)}$$

for $0 \leq k \leq |U| - 1$.



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Let N^+ (or N^-) be the number of eigenvalues of $\mathcal{L}^{(s)}$ which is ≥ 1 (or ≤ 1) respectively. We have $|U| \leq N^+$ and $|U| \leq N^-$.



continue...

The eigenvalues of \mathcal{L} are

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for $0 \leq i \leq s$.

$$N^+ = \sum_{i=0}^{\lfloor (s-1)/2 \rfloor} \left(\binom{n}{2i+1} - \binom{n}{2i} \right)$$

$$N^- = \sum_{i=0}^{\lfloor s/2 \rfloor} \left(\binom{n}{2i} - \binom{n}{2i-1} \right).$$



continue...

We have

$$\begin{aligned} |U| &\leq \min\{N^+, N^-\} \\ &= \sum_{i=0}^{s-1} (-1)^{s-1-i} \binom{n}{i} \\ &= \binom{n}{s-1} - \binom{n}{s-2} + \binom{n}{s-3} - \binom{n}{s-4} + \dots \\ &= \binom{n-1}{s-1}. \end{aligned}$$



Random graphs

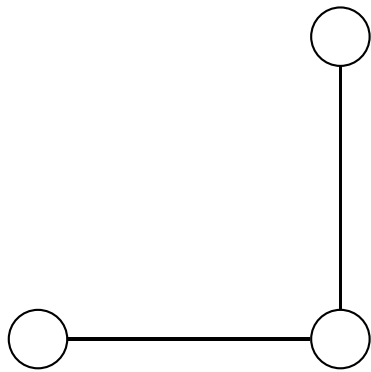
A random graph is a set of graphs together with a probability distribution on that set.



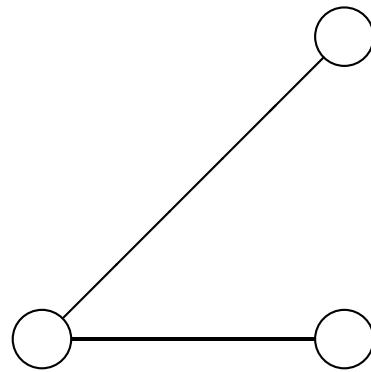
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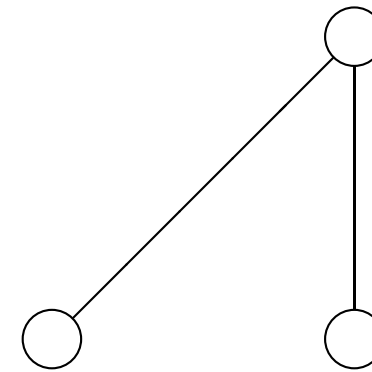
Example: A random graph on 3 vertices and 2 edges with the uniform distribution on it.



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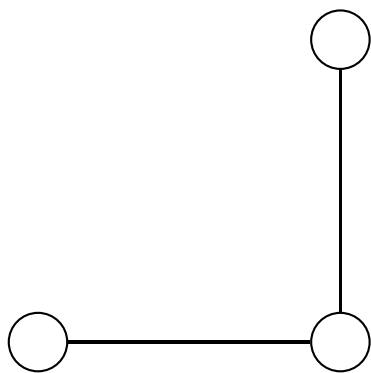
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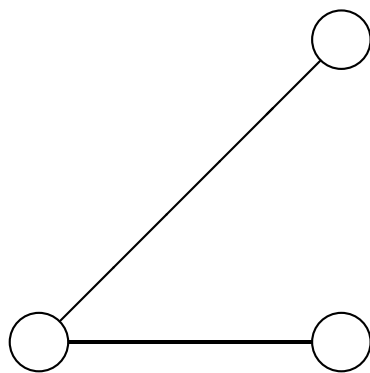
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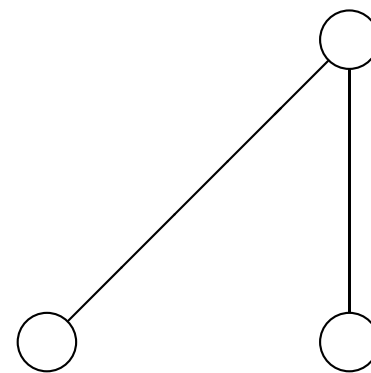
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A random graph G *almost surely* satisfies a property P , if

$$\Pr(G \text{ satisfies } P) \rightarrow 1 \text{ as } |V(G)| \rightarrow \infty.$$



Erdős-Rényi model $G(n, p)$

For each pair of vertices in the vertex set of size n , an edge is created independently with probability p .



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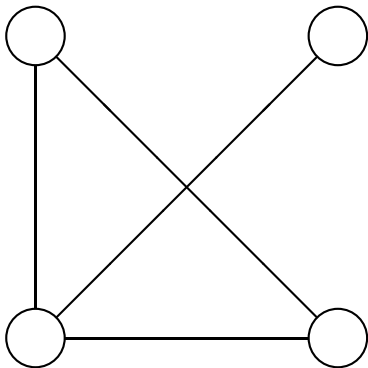
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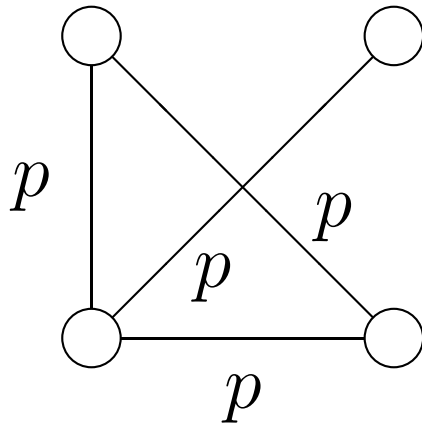
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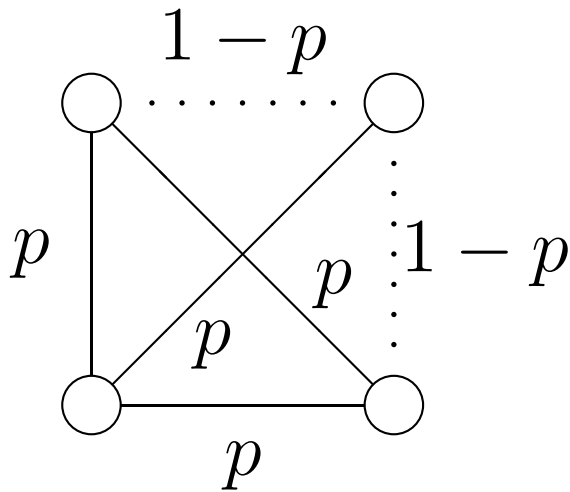
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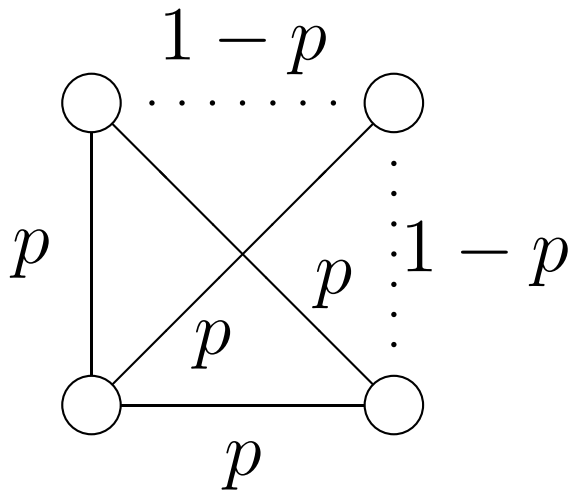
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The probability of this graph is

$$p^4(1 - p)^2.$$



The birth of random graph theory

ON THE EVOLUTION OF RANDOM GRAPHS

by

P. ERDÖS and A. RÉNYI

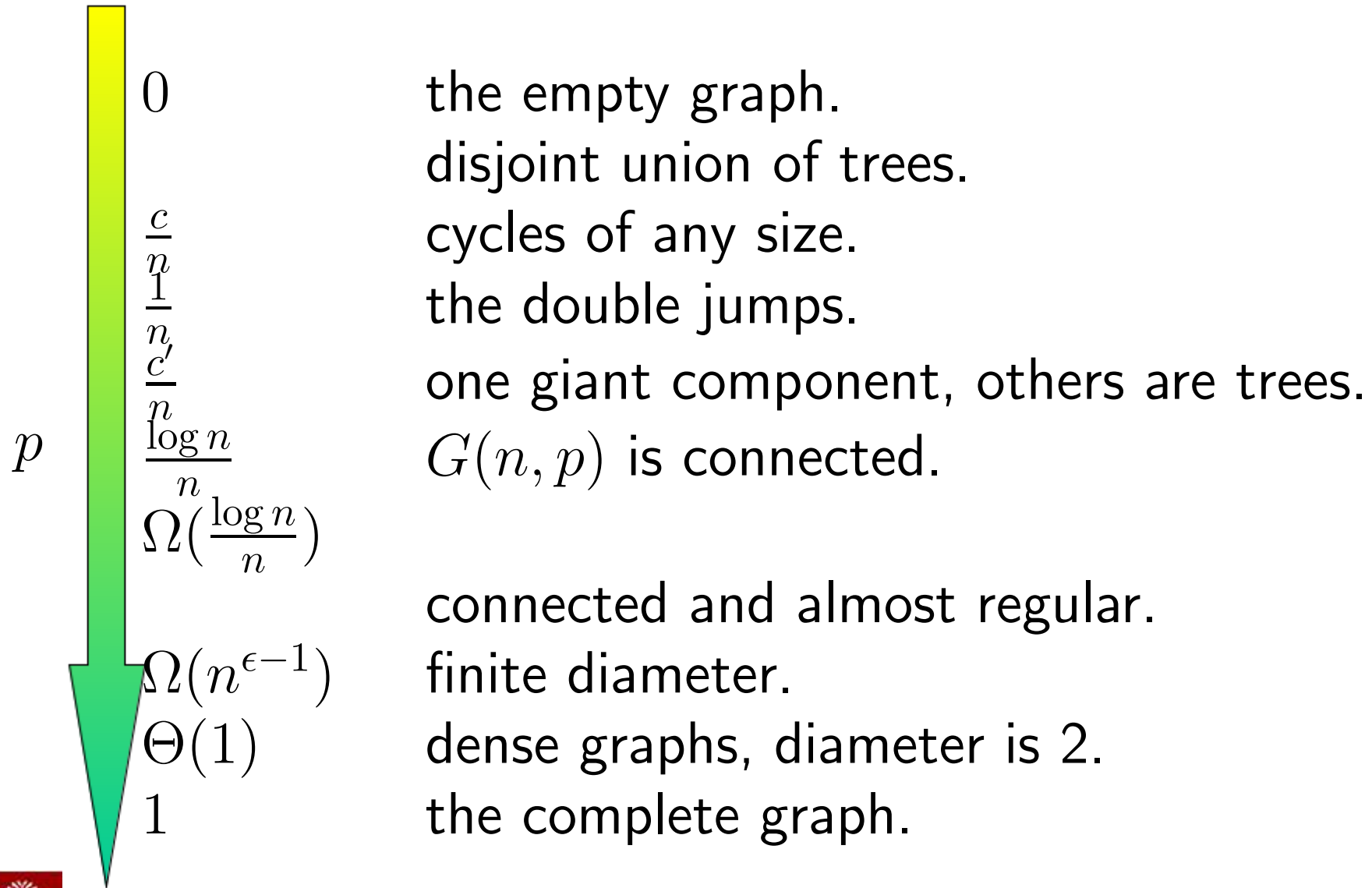
*Institute of Mathematics
Hungarian Academy of Sciences, Hungary*

1. Definition of a random graph

Let $E_{n, N}$ denote the set of all graphs having n given labelled vertices V_1, V_2, \dots, V_n and N edges. The graphs considered are supposed to be not oriented, without parallel edges and without slings (such graphs are sometimes called linear graphs). Thus a graph belonging to the set $E_{n, N}$ is obtained by choosing N out of the possible $\binom{n}{2}$ edges between the points V_1, V_2, \dots, V_n , and therefore the number of elements of $E_{n, N}$ is equal to $\binom{\binom{n}{2}}{N}$. A random graph $\Gamma_{n, N}$ can be defined as an element of $E_{n, N}$ chosen at random, so that each of the elements of $E_{n, N}$ have the same probability to be chosen, namely $1/\binom{\binom{n}{2}}{N}$. There is however an other slightly



Evolution of $G(n, p)$



Eigenvalues of $G(n, p)$

Füredi and Komlós (1981): If $np(1 - p) \gg \log^6 n$, then almost surely

$$\begin{aligned}\mu_n(G(n, p)) &= (1 + o(1))np \\ \max_{1 \leq i \leq n-1} \{|\mu_i(G(n, p))|\} &\leq (2 + o(1))\sqrt{np(1 - p)}.\end{aligned}$$



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What about the Laplacian eigenvalues of $G(n, p)$?



Model $G(w_1, w_2, \dots, w_n)$

Random graph with expected degree sequence:

- Each vertex i is associated with a weight w_i .
- The probability that ij is an edge is $w_i w_j \frac{1}{\sum_{k=1}^n w_k}$.
- The expected degree of i is w_i .



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Chung-Lu-Van (2003):

$$\bar{\lambda} \leq (1 + o(1)) \frac{4}{\sqrt{\bar{w}}} + \frac{g(n) \log^2 n}{w_{min}},$$

where w_{min} is the minimum weight and \bar{w} is the average weight.



Laplacian of $G(n, p)$

$G(n, p)$ is a special case of $G(w_1, w_2, \dots, w_n)$ with $w_1 = w_2 = \dots = w_n = np$.



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Applying Chung-Lu-Van's result to $G(n, p)$, we have

Chung-Lu-Van (2003): For $1 - \epsilon > p \gg \frac{\log^6 n}{n}$,

$$\bar{\lambda}(G(n, p)) \leq (4 + o(1)) \frac{1}{\sqrt{np}}.$$



Random d -regular graphs

Random d -regular graphs $G_{n,d}$

- The space is the set of all d -regular graphs on n vertices.
- Each graph has an equal probability.



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Friedman (1989) For random $2d$ -regular graph, almost surely

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Friedman (2002) For random d -regular graph with $d \geq 4$, almost surely

$$\max_{1 \leq i \leq n-1} \{|\mu_i(G_{n,d})|\} = (2 + o(1))\sqrt{d-1}.$$



Random hypergraphs

Random r -uniform hypergraph $H^r(n, p)$:

- n : the number of vertices
- p : probability, $0 < p < 1$.
For any $F \in \binom{[n]}{r}$, F is an edge with probability p independently.



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- n : the number of vertices
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Question: What is Laplacian eigenvalues of $H^r(n, p)$?



Our result (I)

Theorem [Lu, Peng 2011] Let $H^r(n, p)$ be a random r -uniform hypergraph. For $1 \leq s \leq r/2$, if $p(1-p) \gg \frac{\log^4 n}{n^{r-s}}$ and $1-p \gg \frac{\log n}{n^2}$, then almost surely

$$\bar{\lambda}^{(s)}(H^r(n, p)) \leq \frac{s}{n-s} + \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + 1 + o(1) \right) \sqrt{\frac{1-p}{\binom{n-s}{r-s} p}}.$$



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Moreover, for $1 \leq k \leq \binom{n}{s} - 1$ almost surely we have

$$|\lambda_k^{(s)}(H^r(n, p)) - \lambda_k^{(s)}(K_n^r)| \leq \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + 1 + o(1) \right) \sqrt{\frac{1-p}{\binom{n-s}{r-s}p}}.$$



Applied to $G(n, p)$

Our result: If $p(1 - p) \gg \frac{\log^4 n}{n}$, then

$$\bar{\lambda}(G(n, p)) \leq (3 + o(1)) \frac{1}{\sqrt{np}}.$$



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Lemma 1

Lemma 1: Given any two $(N \times N)$ -Hermitian matrices A and B , for $1 \leq k \leq N$, let $\mu_k(A)$ (or $\mu_k(B)$) be the k -th eigenvalues of A (or B) in the increasing order. We have

$$|\mu_k(A) - \mu_k(B)| \leq \|A - B\|.$$



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Proof: By the Min-Max Theorem,

$$\begin{aligned} \mu_k(A) &= \min_{S_k} \max_{x \in S_k, \|x\|=1} x'Ax \\ &= \min_{S_k} \max_{x \in S_k, \|x\|=1} (x'Bx + x'(A - B)x) \\ &\leq \min_{S_k} \max_{x \in S_k, \|x\|=1} (x'Bx + \|A - B\|) \\ &= \mu_k(B) + \|A - B\|. \end{aligned}$$



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Proof: Thus,

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Similarly we have

$$\mu_k(A) \geq \mu_k(B) - \|A - B\|.$$

□



Sketch proof

Write $\mathcal{L}^{(s)}(K_n^r) - \mathcal{L}^{(s)}(H^r(n, p)) = M_1 + M_2 + M_3 + M_4$, where

$$M_1 = \frac{1}{\binom{r-s}{s}} \left(D^{-1/2} (W - \mathbb{E}(W)) D^{-1/2} - d^{-1} (W - \mathbb{E}(W)) \right),$$

$$M_2 = \frac{1}{\binom{r-s}{s} d} (W - \mathbb{E}(W)),$$

$$M_3 = \frac{1}{\binom{r-s}{s}} D^{-1/2} \mathbb{E}(W) D^{-1/2} - \frac{d}{\binom{n}{s}} D^{-1/2} J D^{-1/2}$$

$$- \frac{1}{\binom{n-s}{s}} K + \frac{1}{\binom{n}{s}} J,$$

$$M_4 = \frac{1}{\binom{n}{s}} (d D^{-1/2} J D^{-1/2} - J).$$



Continue

$$\|M_1\| = O\left(\frac{\sqrt{(1-p)\log N}}{d}\right),$$

easy!

$$\|M_2\| \leq \frac{(2 + o(1))\sqrt{1-p}}{\sqrt{\binom{r-s}{s}d}},$$

hard!

$$\|M_3\| = O\left(\frac{\sqrt{\log N}}{n\sqrt{d}}\right),$$

easy!

$$\|M_4\| \leq (1 + o(1))\sqrt{\frac{1-p}{d}}.$$

tricky!

$$\text{Putting together, } \|M\| \leq \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + 1 + o(1)\right) \sqrt{\frac{1-p}{\binom{n-s}{r-s}p}}. \quad \square$$



Tools

Chenoff's inequality: Let X_1, \dots, X_n be independent 0-1 random variables with We consider the sum $X = \sum_{i=1}^n X_i$. Then we have

$$\begin{aligned} \text{(Lower tail)} \quad & \Pr(X \leq \mathbb{E}(X) - \lambda) \leq e^{-\lambda^2/2\mathbb{E}(X)}, \\ \text{(Upper tail)} \quad & \Pr(X \geq \mathbb{E}(X) + \lambda) \leq e^{-\frac{\lambda^2}{2(\mathbb{E}(X)+\lambda/3)}}. \end{aligned}$$



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Lemma: If $d := \binom{n}{s} p \geq \log N$, then with probability at least $1 - \frac{1}{N^3}$, for any $S \in \binom{V}{s}$, we have

$$d_S \in (d - 3\sqrt{d \log N}, d + 3\sqrt{d \log N}).$$



Main task

Let $C = W - E(W)$. One of the major task is to estimate $\|C\|$. We have the following Lemma.



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Recall $M_2 = \frac{1}{\binom{r-s}{s} d} C$. We get

$$\|M_2\| \leq \frac{(2 + o(1)) \sqrt{1 - p}}{\sqrt{\binom{r-s}{s} d}}$$



Main Lemma on $\text{Trace}(C^t)$

Lemma 3: For any $k \ll (n^{r-s}p(1-p))^{1/4}$, we have

$$\begin{aligned} \mathbb{E}(\text{Trace}(C^{2k})) &= (1 + o(1)) \frac{n^{s+k(r-s)} \binom{2k}{k} p^k (1-p)^k}{(k+1)(s!)^{k+1} ((r-2s)!)^k}, \\ \mathbb{E}(\text{Trace}(C^{2k+1})) &= O\left(\frac{k(2k+1)n^{s+k(r-s)} \binom{2k}{k} p^k (1-p)^k}{(k+1)(s!)^{k+1} ((r-2s)!)^k}\right). \end{aligned}$$



Proof of Lemma 2

Let $U := \frac{n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} p^k (1-p)^k$. By Markov's inequality,

$$\begin{aligned} \Pr \left(\|C\| \geq (1 + \epsilon) \sqrt[2k]{U} \right) &= \Pr \left(\|C\|^{2k} \geq (1 + \epsilon)^{2k} U \right) \\ &\leq \frac{\mathbb{E}(\|C\|^{2k})}{(1 + \epsilon)^{2k} U} \\ &\leq \frac{\mathbb{E}(\text{Trace}(C^{2k}))}{(1 + \epsilon)^{2k} U} \\ &= \frac{1 + o(1)}{(1 + \epsilon)^{2k}}. \end{aligned}$$



Continue

Choose $k = sg(n) \log n$ and $\epsilon = 1/g(n)$.

$$\begin{aligned} \|C\| &\leq (1 + o(1)) \sqrt[2k]{U} \\ &= (1 + o(1)) \left(\frac{n^{s+k(r-s)} \binom{2k}{k} p^k (1-p)^k}{(k+1)(s!)^{k+1} ((r-2s)!)^k} \right)^{\frac{1}{2k}} \\ &< n^{\frac{s}{2k}} 2 \sqrt{\frac{n^{r-s} p(1-p)}{s!(r-2s)!}} \\ &= (2 + o(1)) \sqrt{\binom{r-s}{s} d(1-p)}. \end{aligned}$$



Wigner's semicircle law

Wigner (1958)

- A is a real symmetric $N \times N$ matrix.
- Entries a_{ij} are independent random variables.
- $E(a_{ij}^{2k+1}) = 0$.
- $E(a_{ij}^2) = m^2$.
- $E(a_{ij}^{2k}) < M$.

The distribution of eigenvalues of A converges into a semicircle distribution of radius $2m\sqrt{N}$.



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Füredi and Komlós (1981): The eigenvalues of $G(n, p)$ follows Wigner's semicircle law.



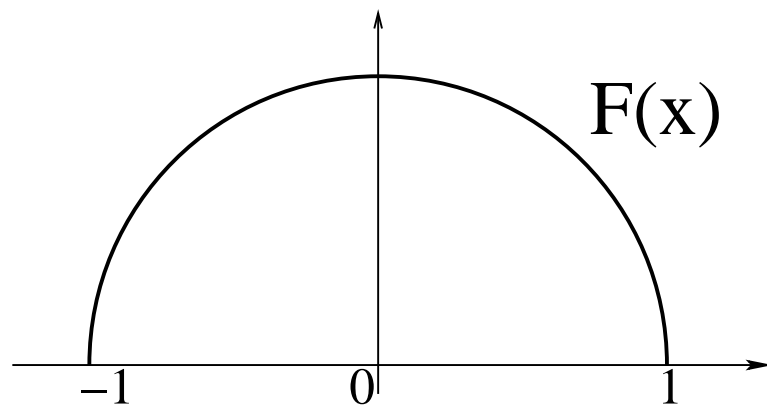
Definition

Let A be a Hermitian matrix of dimension $N \times N$. The *empirical distribution* of the eigenvalues of A is

$$F(A, x) := \frac{1}{N} |\{ \text{eigenvalues of } A \text{ less than } x \}|.$$

We say, the empirical distribution of the eigenvalues of A follows the Semicircle Law centered at c with radius R if

$$F\left(\frac{1}{R}(A - cI), x\right) \xrightarrow{p} F(x).$$



Our result (II)

Theorem [Lu, Peng 2011] For $1 \leq s \leq r/2$, if $p(1-p)n^{r-s} \gg \log n$, then almost surely the empirical distribution of eigenvalues of the s -th Laplacian of $H^r(n, p)$ follows the Semicircle Law centered at 1 and with radius $(2 + o(1)) \sqrt{\frac{1-p}{\binom{r-s}{s} \binom{n-s}{r-s} p}}$.



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Corollary: If $p(1-p)n^{r-s} \gg \log n$, then

$$\begin{aligned} & \max_{1 \leq i \leq \binom{n}{s}-1} |\lambda_k^{(s)}(H^r(n, p)) - \lambda_k^{(s)}(K_n^r)| \\ & \geq \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + o(1) \right) \sqrt{\frac{1-p}{\binom{n-s}{r-s} p}}. \end{aligned}$$



Proof of Semicircle Law

Theorem: If $n^{r-s}p(1-p) \rightarrow \infty$, then the empirical distribution of the eigenvalues of C follows the semicircle law centered at 0 with radius $R := 2\sqrt{\binom{r-s}{s} \binom{n-s}{r-s} p(1-p)}$.



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Proof: Let $C_{nor} := \frac{1}{R}C$. For any k , we have

$$\begin{aligned} \mathbb{E}(\text{Trace}(C_{nor}^{2k})) &= (1 + o(1)) \frac{(2k)!}{2^{2k} k! (k+1)!} \\ \mathbb{E}(\text{Trace}(C_{nor}^{2k+1})) &= o(1). \end{aligned}$$

It converges to the $2k$ -th (and $2k + 1$ -th) moment of the Semicircle distribution. □



Another Lemma

Lemma 4: If

- A : an $(N \times N)$ -Hermitian matrices satisfying the Semicircle Law centered at c with radius R ,
- B : an $(N \times N)$ -Hermitian matrices either $\|B\| = o(R)$ or $\text{rank}(B) = o(N)$,

then $A + B$ satisfies the Semicircle Law centered at c with radius R .



Case $\|B\| = o(R)$

$$\left| \mu_k \left(\frac{1}{R} (A + B - cI) \right) - \mu_k \left(\frac{1}{R} (A - cI) \right) \right| \leq \frac{\|B\|}{R} = o(1).$$



Case $\|B\| = o(R)$

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Thus, we have

$$F \left(\frac{1}{R}(A - cI), x - \frac{\|B\|}{R} \right) \leq F \left(\frac{1}{R}(A + B - cI), x \right) \leq F \left(\frac{1}{R}(A - cI), x + \frac{\|B\|}{R} \right).$$



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Since $F \left(\frac{1}{R}(A - cI), x - \frac{\|B\|}{R} \right) \xrightarrow{p} F(x)$ and

$F \left(\frac{1}{R}(A - cI), x + \frac{\|B\|}{R} \right) \xrightarrow{p} F(x)$. By the Squeeze theorem, we have

$$F \left(\frac{1}{R}(A + B - cI), x \right) \xrightarrow{p} F(x).$$



Case $\text{rank}(B) = o(N)$

Let U be the kernel of B (i.e. $B|_U = 0$) and
 $Z := \frac{1}{R}(A - cI)|_U = \frac{1}{R}(A + B - cI)|_U$.



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Let U be the kernel of B (i.e. $B|_U = 0$) and $Z := \frac{1}{R}(A - cI)|_U = \frac{1}{R}(A + B - cI)|_U$. By Cauchy's interlace theorem, for $1 \leq j \leq N - \text{rank}(B)$, we have

$$\mu_j \left(\frac{1}{R}(A - cI) \right) \leq \mu_j(Z) \leq \mu_{j+\text{rank}(B)} \left(\frac{1}{R}(A - cI) \right),$$
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Thus, for $\text{rank}(B) + 1 \leq j \leq N - \text{rank}(B)$, we have

$$\mu_{j-\text{rank}(B)} \left(\frac{1}{R}(A - cI) \right) \leq \mu_j \left(\frac{1}{R}(A + B - cI) \right) \leq \mu_{j+\text{rank}(B)} \left(\frac{1}{R}(A - cI) \right).$$



continue

It implies

$$F\left(\frac{1}{R}(A + B - cI), x\right) \geq F\left(\frac{1}{R}(A - cI), x\right) - \frac{\text{rank}(B)}{N},$$
$$F\left(\frac{1}{R}(A + B - cI), x\right) \leq F\left(\frac{1}{R}(A - cI), x\right) + \frac{\text{rank}(B)}{N}.$$

Since $\text{rank}(B) = o(N)$, we have

$F\left(\frac{1}{R}(A - cI), x\right) \pm \frac{\text{rank}(B)}{N} \xrightarrow{p} F(x)$. By the Squeeze theorem, we have

$$F\left(\frac{1}{R}(A + B - cI), x\right) \xrightarrow{p} F(x). \quad \square$$



From C to $\mathcal{L}^{(s)}(H^r(n, p))$

Recall $\mathcal{L}^{(s)}(K_n^r) - \mathcal{L}^{(s)}(H^r(n, p)) = M_1 + M_2 + M_2 + M_4$.

Let $c := 1 - \frac{(-1)^s}{\binom{n}{s}}$ and $R := (2 + o(1)) \sqrt{\frac{1-p}{\binom{r-s}{s} \binom{n-s}{r-s} p}}$.



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- $\text{rank}(\mathcal{L}^{(s)}(K_n^r) - cI) = \binom{n}{s-1} = o(N)$.

Hence $\mathcal{L}^{(s)}(K_n^r)$ satisfies the Semicircle Law centered at 1 with radius R . □



Remaining task

It remains to prove the following Lemma.

Lemma 3: For any $k \ll (n^{r-s}p(1-p))^{1/4}$, we have

$$\begin{aligned} \mathbb{E} (\text{Trace}(C^{2k})) &= (1 + o(1)) \frac{n^{s+k(r-s)} \binom{2k}{k} p^k (1-p)^k}{(k+1)(s!)^{k+1} ((r-2s)!)^k}, \\ \mathbb{E} (\text{Trace}(C^{2k+1})) &= O \left(\frac{k(2k+1)n^{s+k(r-s)} \binom{2k}{k} p^k (1-p)^k}{(k+1)(s!)^{k+1} ((r-2s)!)^k} \right). \end{aligned}$$



Estimating the Trace

$$\mathbb{E}(\text{Trace}(C^t)) = \sum_{\text{closed } s\text{-walks}} \mathbb{E}(c_{S_1 S_2}^{F_1} c_{S_2 S_3}^{F_2} \cdots c_{S_t S_1}^{F_t}),$$

The sum is over all closed s -walks $S_1 F_1 S_2 F_2 \cdots S_t F_t S_1$.

Here $C_{ST}^F = X_F - \mathbb{E}(X_F)$ if $S \cap T = \emptyset$ and $S \cup T \subset F$; and $C_{ST}^F = 0$ otherwise.



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Different groups are mutually independent . In any non-zero product, every F appears at least **twice**.



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Different groups are mutually independent . In any non-zero product, every F appears at least **twice**. Those closed walks are called “good” .



Counting good walks

For $1 \leq i \leq \lfloor \frac{t}{2} \rfloor$, let \mathcal{G}_i^j be the set of good closed walks with exactly i distinct edges and j distinct vertices; and let $\mathcal{G}_i := \cup_j \mathcal{G}_i^j$.



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- If $w := S_1 F_1 S_2 F_2 \cdots S_t F_t S_1 \in \mathcal{G}_i^j$, then

$$\mathbb{E}(c_{S_1 S_2}^{F_1} c_{S_2 S_3}^{F_2} \cdots c_{S_t S_1}^{F_t}) \leq p^i (1 - p)^i.$$



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- $|\mathcal{G}_i| = (1 + o(1)) |\mathcal{G}_i^{m_i}|$.



Counting $\mathcal{G}_i^{m_i}$

Mapping every walk in $\mathcal{G}_i^{m_i}$ into a triple $(\mathcal{S}, \mathcal{E}, \mathcal{C})$ where

- $\mathcal{S} := \{S_1, S_2, \dots, S_i\}$, each S_l is a s -set.
- $\mathcal{E} := \{E_1, E_2, \dots, E_{i-1}\}$, each E_l is a $r - 2s$ -set.
- The sets in $\mathcal{S} \cup \mathcal{E}$ are pairwise disjoint.
- \mathcal{C} is a **valid** string consists of i pairs of parentheses and $t - 2i$ $*$'s. For example,

$$((*()) * (*))*$$



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$$|\mathcal{G}_i^{m_i}| \leq \frac{N!}{(N-m_i)!(s!)^r((r-2s)!)^{r-1}} \binom{t}{2i} \frac{1}{i+1} \binom{2i}{i} \left(i \binom{r-s}{s} \right)^{t-2i}.$$



Major term

When $t = 2k$, the major contribution is from the walks in $\mathcal{G}_k^{m_k}$ which can be encoded by $(\mathcal{S}, \mathcal{E}, \mathcal{C})$ where

- $\mathcal{S} := \{S_1, S_2, \dots, S_k\}$, each S_l is a s -set.
- $\mathcal{E} := \{E_1, E_2, \dots, E_{k-1}\}$, each E_l is a $r - 2s$ -set.
- The sets in $\mathcal{S} \cup \mathcal{E}$ are pairwise disjoint.
- \mathcal{C} is a **valid** string consists of k pairs of parentheses.

For example, $(())()$ is corresponding to the walk

$$S_1 F_1 S_2 F_2 S_3 F_2 S_2 F_1 S_1 F_3 S_4 F_3 S_1$$

where $F_1 = S_1 \cup S_2 \cup E_1$, $F_2 = S_2 \cup S_3 \cup E_2$, and $F_3 = S_4 \cup S_1 \cup E_3$.



Estimating $E(\text{Trace}(C^{2k}))$

Let $a_i = |\mathcal{G}_i^{m_i}| p^i (1-p)^i$.

$$\begin{aligned} \frac{a_i}{a_k} &\leq \frac{\binom{2k+1}{2i+1} \binom{2i+1}{i}}{\binom{2k+1}{k}} \left(\frac{i^2}{s!(r-2s)!n^{r-s}p(1-p)} \right)^{k-i} \\ &\leq \epsilon^{k-i}, \end{aligned}$$

where $\epsilon := \frac{9k^4}{s!(r-2s)!n^{r-s}p(1-p)} = o(1)$, since $n^{r-s}p(1-p) \gg k^4$.

$$E(\text{Trace}(C^{2k})) \approx \sum_{i=1}^k a_i = (1 + o(1))a_k.$$

Done!



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Homepage: <http://www.math.sc.edu/~lu/>

Thank You

