Loose Laplacian spectra of random hypergraphs

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Abstract

Let H = (V, E) be an *r*-uniform hypergraph with the vertex set *V* and the edge set *E*. For $1 \leq s \leq r/2$, we define a weighted graph $G^{(s)}$ on the vertex set $\binom{V}{s}$ as follows. Every pair of *s*-sets *I* and *J* is associated with a weight w(I, J), which is the number of edges in *H* passing through *I* and *J* if $I \cap J = \emptyset$, and 0 if $I \cap J \neq \emptyset$. The *s*-th Laplacian $\mathcal{L}^{(s)}$ of *H* is defined to be the normalized Laplacian of $G^{(s)}$. The eigenvalues of $\mathcal{L}^{(s)}$ are listed as $\lambda_0^{(s)}, \lambda_1^{(s)}, \ldots, \lambda_{\binom{n}{s}-1}^{(s)}$ in non-decreasing order. Let $\bar{\lambda}^{(s)}(H) = \max_{i\neq 0} \{|1 - \lambda_i^{(s)}|\}$. The parameters $\bar{\lambda}^{(s)}(H)$ and $\lambda_1^{(s)}(H)$, which were introduced in our previous paper [26], have a number of connections to the mixing rate of high-ordered random walks, the generalized distances/diameters, and the edge expansions.

For $0 , let <math>H^r(n, p)$ be a random *r*-uniform hypergraph over $[n] := \{1, 2, ..., n\}$, where each *r*-set of [n] has probability *p* to be an edge independently. For $1 \le s \le r/2$, $p(1-p) \gg \frac{\log^4 n}{n^{r-s}}$, and $1-p \gg \frac{\log n}{n^2}$, we prove that almost surely

$$\bar{\lambda}^{(s)}(H^r(n,p)) \le \frac{s}{n-s} + \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + 1 + o(1)\right) \sqrt{\frac{1-p}{\binom{n-s}{r-s}p}}.$$

We also prove that the empirical distribution of the eigenvalues of $\mathcal{L}^{(s)}$ for $H^r(n,p)$ follows the Semicircle Law if $p(1-p) \gg \frac{\log n}{n^{r-s}}$.

1 Introduction

The spectrum of the adjacency matrix (and/or the Laplacian matrix) of a random graph was well-studied in the literature [1, 10, 11, 13, 14, 15, 17, 18, 21]. Given a graph G, let $\mu_1(G), \ldots, \mu_n(G)$ be the eigenvalues of the adjacency matrix of G in the non-decreasing order, and $\lambda_0(G), \ldots, \lambda_{n-1}(G)$ be the eigenvalues of (normalized) Laplacian matrix of G respectively. Let G(n, p) be the Edős-Rényi random graph model. Füredi and Komlós [21] showed that if $np(1-p) \gg \log^6 n$ then almost surely $\mu_n = (1 + o(1))np$ and $\max\{-\mu_1, \mu_{n-1}\} \le$ $(2 + o(1))\sqrt{np(1-p)}$. The results are extended to sparse random graphs [17, 25] and general random matrices [15, 21]. Alon-Krivelevich-Vu [1] proved the concentration of the *s*-th largest eigenvalue of a random symmetric matrix with independent random entries of absolute value at most 1. Friedman (in a series of papers [18, 19, 20]) proved that the second largest eigenvalue of random *d*-regular graphs is almost surely $(2+o(1))\sqrt{d-1}$ for any $d \ge 4$. Chung-Lu-Vu [11] studied the Laplacian eigenvalues of random graphs with given expected

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degrees; their results were supplemented by Coja-Oghlan [13, 14] for much sparser random graphs.

In this paper, we study the spectra of the Laplacians of random hypergraphs. Laplacians for regular hypergraphs was first introduced by Chung [5] in 1993 using homology approach. Rodríguez [28, 29] treated a hypergraph as a multi-edge graph and then defined its Laplacian to be the Laplacian of the corresponding multi-edge graph. Inspired by these work, we [26] introduced the generalized Laplacian eigenvalues of hypergraphs through high-ordered random walks. Let H = (V, E) be an *r*-uniform hypergraph on *n* vertices. We can associate r - 1 Laplacians $\mathcal{L}^{(s)}$ $(1 \leq s \leq r - 1)$ to H; roughly speaking, $\mathcal{L}^{(s)}$ captures the incidence relations between *s*-sets and edges in *H*. Our definition of the Laplacian at the spacial case s = 1 is the same as the Laplacian considered by Rodríguez [28, 29]. The *s*-th Laplacian is *loose* if $1 \leq s \leq r/2$, and is *tight* if $r/2 < s \leq r - 1$. Here we only consider the spectra of loose Laplacians.

For $1 \le s \le r/2$, we consider an auxiliary weighted graph $G^{(s)}$ defined as follows: the vertex set of $G^{(s)}$ is $\binom{V}{s}$ while the weighted function $W: \binom{V}{s} \times \binom{V}{s} \to \mathbb{Z}$ is defined as

$$W(S,T) = \begin{cases} |\{F \in E(H) \colon S \cup T \subset F\}| & \text{if } S \cap T = \emptyset; \\ 0 & \text{otherwise.} \end{cases}$$
(1)

The s-th Laplacian of H, denoted by $\mathcal{L}^{(s)}$, is the normalized Laplacian of $G^{(s)}$. For any s-set S, let d_S be the number of edges in H passing through S; the degree of S in $G^{(s)}$ is $\binom{r-s}{s}d_S$. Let D be the diagonal matrix of the degrees $\{d_S\}$ and W be the weight matrix $\{w(S,T)\}$. Note that $T := \binom{r-s}{s}D$ is the diagonal matrix of degrees in $G^{(s)}$. We have

$$\mathcal{L}^{(s)} = I - T^{-1/2} W T^{-1/2}.$$
(2)

The eigenvalues of $\mathcal{L}^{(s)}$ are listed as $\lambda_0^{(s)}, \lambda_1^{(s)}, \ldots, \lambda_{\binom{n}{s}-1}^{(s)}$ in non-decreasing order. We have

$$0 = \lambda_0^{(s)} \le \lambda_1^{(s)} \le \dots \le \lambda_{\binom{n}{s}-1}^{(s)} \le 2.$$
(3)

The first non-trivial eigenvalue $\lambda_1^{(s)} > 0$ if and only if $G^{(s)}$ is connected. When this occurs, we say H is *s*-connected. The diameter of $G^{(s)}$ is called the *s*-th diameter of H. The largest eigenvalue $\lambda_{\binom{s}{s}-1}^{(s)}$ is also denoted by $\lambda_{max}^{(s)}$. The (Laplacian) spectral radius, denoted by $\bar{\lambda}^{(s)}$, is the maximum of $1 - \lambda_1^{(s)}$ and $\lambda_{max}^{(s)} - 1$.

This definition differs slightly with the one in [26], where the vertex set of the auxiliary graph (denoted by $G^{(s)'}$) is the set of all distinct *s*-tuples instead. Note that $G^{(s)'}$ is the blow-up of $G^{(s)}$. Their Laplacian spectra differ only by the multiplicity of 1's. Therefore, two different definitions give the same values of $\lambda_1^{(s)}$, $\lambda_{max}^{(s)}$, and $\bar{\lambda}^{(s)}$.

For different s, the following inequalities were proved in [26].

$$\lambda_1^{(1)} \ge \lambda_1^{(2)} \ge \dots \ge \lambda_1^{\lfloor \lfloor r/2 \rfloor}; \tag{4}$$

$$\lambda_{\max}^{(1)} \le \lambda_{\max}^{(2)} \le \dots \le \lambda_{\max}^{\lfloor \lfloor r/2 \rfloor }.$$
(5)

The s-th Laplacian has a number of connections to the mixing rate of high-ordered random walks, the generalized distances/diameters, and the edge expansions. Here we list some applications, which are similar to results in [26], and results for graphs [4, 6, 7, 8, 9, 12].

Random *s***-Walks:** The mixing rate of the random *s*-walk on *H* is at most $\overline{\lambda}^{(s)}$.

The s-Diameter: The s-diameter of H is at most

$$\left[\frac{\log \frac{|E(H)|\binom{r}{s}}{\delta}}{\log \frac{\lambda_{\max}^{(s)} + \lambda_1^{(s)}}{\lambda_{\max}^{(s)} - \lambda_1^{(s)}}}\right]$$

Here $\delta = \min_{S \in \binom{V}{s}} d_S$ is the minimum degree among all s-sets.

Edge expansion: For $1 \le t \le s \le \frac{r}{2}$, $S \subset {V \choose t}$, and $T \subset {V \choose t}$, define

 $E(\mathcal{S},\mathcal{T}) = \{ F \in E(H) \colon \exists S \in \mathcal{S}, \exists T \in \mathcal{T} \text{ such that } S \cap T = \emptyset, \text{ and } S \cup T \subset F \},\$

$$e(\mathcal{S}, \mathcal{T}) = \frac{|E(\mathcal{S}, \mathcal{T})|}{\left|E(\binom{V}{s}, \binom{V}{t})\right|},$$
$$e(\mathcal{S}) = \frac{\sum_{S \in \mathcal{S}} d_S}{\sum_{S \in \binom{V}{s}} d_S},$$
$$e(\mathcal{T}) = \frac{\sum_{T \in \mathcal{T}} d_T}{\sum_{T \in \binom{V}{t}} d_T}.$$

Then we have

$$|e(\mathcal{S},\mathcal{T}) - e(\mathcal{S})e(\mathcal{T})| \le \bar{\lambda}^{(s)}\sqrt{e(\mathcal{S})e(\mathcal{T})e(\bar{\mathcal{S}})e(\bar{\mathcal{T}})}.$$

The proofs of these claims are very similar to those in [26] and are omitted here.

Our first result is the eigenvalues of the s-th Laplacian of the complete r-uniform hypergraph K_n^r .

Theorem 1 Let K_n^r be the complete r-uniform hypergraph on n vertices. For $1 \le s \le r/2$, the eigenvalues of s-th Laplacian of K_n^r are given by

$$1 - \frac{(-1)^{i} \binom{n-s-i}{s-i}}{\binom{n-s}{s}} \text{ with multiplicity } \binom{n}{i} - \binom{n}{i-1} \text{ for } 0 \le i \le s.$$

Here we point out an application of this theorem to the celebrated Erdős-Ko-Rado Theorem, which states "if the $n \ge 2s$, then the size of the maximum intersecting family of *s*-sets in [n]is at most $\binom{n-1}{s-1}$." (The theorem was originally proved by Erdős-Ko-Rado [16] for sufficiently large *n*; the simplest proof was due to Katona [24].) Here we present a proof adapted from Calderbank-Frankl [2], where they use the eigenvalues of Kneser graph instead. (The relation between $\mathcal{L}^{(s)}(K_n^r)$ and the Laplacian of the Kneser graph is explained in section 2.)

It suffices to show for any intersecting family U of s-sets, $|U| \leq {n-1 \choose s-1}$. Note that U is an independent set of $G^{(s)}(K_n^r)$. Restricting to $U, \mathcal{L}^{(s)}(K_n^r)$ became an identity matrix; where all eigenvalues are equal to 1. By Cauchy's interlace theorem, we have

$$\lambda_k^{(s)} \le 1 \le \lambda_{\binom{n}{s} - |U| + k}^{(s)} \tag{6}$$

for $0 \le k \le |U| - 1$. Let N^+ (or N^-) be the number of eigenvalues of $\mathcal{L}^{(s)}(K_n^r)$ which is ≥ 1 (or ≤ 1) respectively. Inequality (6) implies that $|U| \le N^+$ and $|U| \le N^-$. By Theorem 1, $N^+ = \sum_{i=0}^{\lfloor (s-1)/2 \rfloor} \binom{n}{2i+1} - \binom{n}{2i}$ and $N^- = \sum_{i=0}^{\lfloor s/2 \rfloor} \binom{n}{2i} - \binom{n}{2i-1}$. We have

$$U| \le \min\{N^+, N^-\} = \sum_{i=0}^{s-1} (-1)^{s-1-i} \binom{n}{i} = \binom{n-1}{s-1}.$$

For $0 , let <math>H^r(n, p)$ be a random *r*-uniform hypergraph over $[n] = \{1, 2, ..., n\}$, where each *r*-set of [n] has probability *p* to be an edge independently. We can estimate the Laplacian spectrum of $H^r(n, p)$ using the Laplacian spectrum of K_n^r as follows.

Theorem 2 Let $H^r(n, p)$ be a random r-uniform hypergraph. For $1 \le s \le r/2$, if $p(1-p) \gg \frac{\log^4 n}{n^{r-s}}$ and $1-p \gg \frac{\log n}{n^2}$, then almost surely the s-th spectral radius $\bar{\lambda}^{(s)}(H^r(n, p))$ satisfies

$$\bar{\lambda}^{(s)}(H^{r}(n,p)) \leq \frac{s}{n-s} + \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + 1 + o(1)\right) \sqrt{\frac{1-p}{\binom{n-s}{r-s}p}}.$$
(7)

Moreover, for $1 \le k \le {n \choose s} - 1$ almost surely we have

$$|\lambda_k^{(s)}(H^r(n,p)) - \lambda_k^{(s)}(K_n^r)| \le \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + 1 + o(1)\right)\sqrt{\frac{1-p}{\binom{n-s}{r-s}p}}.$$
(8)

Note that G(n, p) is a special case of $H^r(n, p)$ with r = 2. By choosing s = 1, Theorem 2 implies that

$$\bar{\lambda}(G(n,p)) \le (3+o(1))\sqrt{\frac{1-p}{(n-1)p}} \quad \text{for } p(1-p) \gg \frac{\log^4 n}{n}.$$
 (9)

Chung-Lu-Vu's result[11], when restricted to G(n, p), implies

$$\bar{\lambda}(G(n,p)) \le (4+o(1))\frac{1}{\sqrt{np}} \quad \text{for } 1-\epsilon \ge p \gg \frac{\log^6 n}{n}.$$
(10)

Inequality 9 has a smaller constant and works for a larger range of p than inequality 10.

Füredi and Komlós [21] proved the empirical distribution of the eigenvalues of G(n, p) follows the Semicircle Law. Chung, Lu, and Vu [11] proved a similar result for the random graphs with given expected degrees. Here we prove a similar result for random hypergraphs.

Theorem 3 For $1 \le s \le r/2$, if $p(1-p) \gg \frac{\log n}{n^{r-s}}$, then almost surely the empirical distribution of eigenvalues of the s-th Laplacian of $H^r(n,p)$ follows the Semicircle Law centered at 1 and with radius $(2+o(1))\sqrt{\frac{1-p}{\binom{r-s}{r-s}\binom{n-s}{r-s}p}}$.

Remark 1 The proof of Theorem 3 actually implies the eigenvalues of $\mathcal{L}^{(s)}(H^r(n,p)) - \mathcal{L}^{(s)}(K_n^r)$ follows the Semicircle Law centered at 0 and with radius $(2 + o(1))\sqrt{\frac{1-p}{\binom{r-s}{s}\binom{n-s}{r-s}p}}$. Thus we have

$$\max_{1 \le k \le \binom{n}{s} - 1} |\lambda_k^{(s)}(H^r(n, p)) - \lambda_k^{(s)}(K_n^r)| \ge \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + o(1)\right) \sqrt{\frac{1-p}{\binom{n-s}{r-s}p}}.$$
 (11)

This shows that the upper bound of $|\lambda_k^{(s)}(H^r(n,p)) - \lambda_k^{(s)}(K_n^r)|$ in inequality (8) in Theorem 2 is best up to a constant factor.

The rest of the paper is organized as follows. In section 2, we introduce the notation and prove some basic lemmas. We will prove Theorem 1 in section 3 and Theorem 2 in section 4.

2 Notation and Lemmas

2.1 Laplacian eigenvalues of hypergraphs

Let H = (V, E) be an *r*-uniform hypergraph. For any subset S(|S| < r), the degree of S, denoted by d_S , is the number of edges passing through S. For each $1 \le s \le r/2$, we associate a weighted graph $G^{(s)}$ on the vertex set $\binom{V}{s}$ to H as follows. Every pair of *s*-sets S and T is associated with a weight w(S,T), which is given by

$$w(S,T) = \begin{cases} d_{S\cup T} & \text{if } S \cap T = \emptyset, \\ 0 & \text{otherwise} \end{cases}$$

The s-th Laplacian $\mathcal{L}^{(s)}$ of H is defined to be the normalized Laplacian of $G^{(s)}$. The degree of S in $G^{(s)}$ is $\sum_T w(S,T) = \binom{r-s}{s} d_S$.

We assume that the s-sets in $\binom{V}{s}$ are ordered alphabetically. Let $N := \binom{n}{s}$; all square matrices considered in the paper have the dimension $N \times N$ and all vectors have dimension N. Let W := (W(S,T)) be the weight matrix, D be the diagonal matrix with diagonal entries $D(S,S) = d_S$, **d** be the column vector with entries d_S at position $S \in \binom{V}{S}$, J be the square matrix of all 1's, and **1** be the column vector of all 1's. Let $T := \binom{r-s}{s}D$; here T is the diagonal matrix of degrees in $G^{(s)}$. Then, we have

$$\mathcal{L}^{(s)} = I - T^{-1/2} W T^{-1/2}$$

We list the eigenvalues of $\mathcal{L}^{(s)}$ as

$$0 = \lambda_0^{(s)} \le \lambda_1^{(s)}, \dots, \lambda_{\binom{n}{s}-1}^{(s)} \le 2.$$

We aim to compute the spectral radius $\bar{\lambda}^{(s)}(H) = \max_{i \neq 0} |1 - \lambda_i^{(s)}|$. Let $\operatorname{vol}^{(s)}(H) := \sum_{s \in \binom{V}{s}} d_s$ and $\phi_0 := \frac{1}{\sqrt{\operatorname{vol}^{(s)}(H)}} D^{1/2} \mathbf{1}$. Note that ϕ_0 is the unit eigenvector corresponding to the trivial eigenvalue 0 of $\mathcal{L}^{(s)}$.

We are ready to prove theorem 1.

Proof of Theorem 1: We can write down $\mathcal{L}^{(s)}(K_n^r)$ using the following notation. The Kneser graph K(n, s) is a graph over the vertex set $\binom{[n]}{s}$; two s-sets S and T form an edge of K(n, s) if and only if $S \cap T = 0$. Let K be the adjacency matrix of K(n, s); the eigenvalues of K are $(-1)^i \binom{n-s-i}{s-i}$ with multiplicity $\binom{n}{i} - \binom{n}{i-1}$ for $0 \le i \le s$ (see [22]). Note that K(n, s) is a regular graph; so the Laplacian eigenvalues can be determined from the eigenvalues of its adjacency matrix. We observe that the associated weighted graph $G^{(s)}$ for the complete r-uniform hypergraph K_n^r is essentially the Kneser graph with each edge associated with a weight $\binom{n-2s}{r-2s}$. Note that the multiplicative factor $\binom{n-2s}{r-2s}$ is canceled after normalization. The $\mathcal{L}^{(s)}$ (for K_n^r) is exactly the Laplacian of Kneser graph. Hence,

$$\mathcal{L}^{(s)}(K_n^r) = I - \frac{1}{\binom{n-s}{s}}K.$$

Thus, the eigenvalues of s-th Laplacian of K_n^r are given by

$$1 - \frac{(-1)^{i} \binom{n-s-i}{s-i}}{\binom{n-s}{s}} \text{ with multiplicity } \binom{n}{i} - \binom{n}{i-1} \text{ for } 0 \le i \le s.$$

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Remark 2 For $1 \le s \le r/2$, we have

$$\lambda_1^{(s)}(K_n^r) = 1 - \frac{s(s-1)}{(n-s)(n-s-1)},$$
(12)

$$\lambda_{max}^{(s)}(K_n^r) = 1 + \frac{s}{n-s},\tag{13}$$

$$\bar{\lambda}^{(s)}(K_n^r) = \frac{s}{n-s}.$$
(14)

2.2 Random hypergraphs

Let $H^r(n, p)$ be a random r-uniform hypergraph over the vertex set V = [n] and each r-set has probability p to be an edge independently. We would like to bound the spectral radius of the s-th Laplacian of $H^r(n, p)$ for $1 \le s \le r/2$.

For any $F \in {\binom{V}{r}}$, let X_F be the random indicator variable for F being an edge in $H^r(n, p)$; all X_F 's are independent to each other. For any $S, T \in {\binom{V}{s}}$, we have

$$W(S,T) = \begin{cases} \sum_{\substack{F \in \binom{n}{r} \\ S \cup T \subset F \\ 0}} X_F & \text{if } S \cap T = \emptyset; \\ 0 & \text{otherwise.} \end{cases}$$

Thus,

$$E(W(S,T)) = \begin{cases} \binom{n-2s}{r-2s}p & \text{if } S \cap T = \emptyset;\\ 0 & \text{otherwise.} \end{cases}$$
(15)

The degree $d_S = \sum_{S \subset F \in \binom{V}{r}} X_F$; we have $E(d_S) = \binom{n-s}{r-s}p$. For simplicity, let $d := \binom{n-s}{r-s}p$. We use the following Lemma to compare the eigenvalues of two matrices.

Lemma 1 Given any two $(N \times N)$ -Hermitian matrices A and B, for $1 \le k \le N$, let $\mu_k(A)$ (or $\mu_k(B)$) be the k-th eigenvalues of A (or B) in the increasing order. We have

$$|\mu_k(A) - \mu_k(B)| \le ||A - B||$$

Proof: By the Min-Max Theorem (see [27]), we have

$$\mu_k(A) = \min_{S_k} \max_{x \in S_k, \|x\| = 1} x' A x,$$

$$\mu_k(B) = \min_{S_k} \max_{x \in S_k, \|x\| = 1} x' B x.$$

where the minimum is taken over all k-th dimensional subspace $S_k \subset \mathbb{R}^N$. We have

$$\mu_{k}(A) = \min_{S_{k}} \max_{x \in S_{k}, \|x\| = 1} x'Ax$$

=
$$\min_{S_{k}} \max_{x \in S_{k}, \|x\| = 1} (x'Bx + x'(A - B)x)$$

$$\leq \min_{S_{k}} \max_{x \in S_{k}, \|x\| = 1} (x'Bx + \|A - B\|)$$

=
$$\mu_{k}(B) + \|A - B\|.$$

Similarly, we can show $\mu_k(A) \ge \mu_k(B) - ||A - B||$. The proof of the Lemma is finished. \Box

Our idea is to bound the spectral norm of the difference of $\mathcal{L}^{(s)}(H^r(n,p))$ and $\mathcal{L}^{(s)}(K_n^r)$. Let $M := \mathcal{L}^{(s)}(K_n^r) - \mathcal{L}^{(s)}(H^r(n,p)) = T^{-1/2}WT^{-1/2} - \frac{1}{\binom{n-s}{s}}K$. We write $M = M_1 + M_2 + M$ $M_3 + M_4$, where

$$M_{1} = \frac{1}{\binom{r-s}{s}} \left(D^{-1/2} (W - E(W)) D^{-1/2} - d^{-1} (W - E(W)) \right),$$

$$M_{2} = \frac{1}{\binom{r-s}{s} d} (W - E(W)),$$

$$M_{3} = \frac{1}{\binom{r-s}{s}} D^{-1/2} E(W) D^{-1/2} - \frac{d}{\binom{n}{s}} D^{-1/2} J D^{-1/2} - \frac{1}{\binom{n-s}{s}} K + \frac{1}{\binom{n}{s}} J$$

$$M_{4} = \frac{1}{\binom{n}{s}} (dD^{-1/2} J D^{-1/2} - J).$$

By the triangular inequality of matrix norms, we have

$$||M|| \le ||M_1|| + ||M_2|| + ||M_3|| + ||M_4||.$$

Through this paper, the norm of any square matrix is the spectral norm. We would like to bound $||M_i||$ for i = 1, 2, 3, 4. We use the following Chernoff inequality.

Theorem 4 [3] Let X_1, \ldots, X_n be independent random variables with

$$\Pr(X_i = 1) = p, \qquad \Pr(X_i = 0) = 1 - p.$$

We consider the sum $X = \sum_{i=1}^{n} X_i$, with expectation E(X) = np. Then we have

(Lower tail)
(Upper tail)

$$Pr(X \le E(X) - \lambda) \le e^{-\lambda^2/2E(X)},$$

$$Pr(X \ge E(X) + \lambda) \le e^{-\frac{\lambda^2}{2(E(X) + \lambda/3)}}$$

Lemma 2 Suppose $d \ge \log N$. With probability at least $1 - \frac{1}{N^3}$, for any $S \in \binom{V}{s}$, we have $d_S \in (d - 3\sqrt{d \log N}, d + 3\sqrt{d \log N})$.

Proof: Note $d_s = \sum_{F:S \subset F} X_F$ and $E(d_S) = d$. Applying the lower tail of Chernoff's inequality with $\lambda = 3\sqrt{E(X) \log N}$, we have

$$\Pr(X - E(X) \le -\lambda) \le e^{-\lambda^2/2E(X)} = \frac{1}{N^{9/2}}.$$

Applying the upper tail of Chernoff's inequality with $\lambda = 3\sqrt{\mathbb{E}(X)\log N}$, we have

$$\Pr(X - E(X) \ge \lambda) \le e^{-\frac{\lambda^2}{2(E(X) + \lambda/3)}} \le \frac{1}{N^{27/8}}.$$

For convenience, let $d_{min} := d - 3\sqrt{d \log N}$, $d_{max} := d + 3\sqrt{d \log N}$; almost surely we have $d_{min} \leq d_S \leq d_{max}$ for all S.

Lemma 3 If $d \ge \log N$, then almost surely $||M_3|| = O\left(\frac{\sqrt{\log N}}{n\sqrt{d}}\right)$.

Proof: Note $E(W) = \binom{n-2s}{r-2s}pK$, where K is the adjacency matrix of the Kneser graph K(n,s). Let $M_0 := \frac{1}{\binom{n-s}{s}}K - \frac{1}{\binom{n}{s}}J$. We can rewrite M_3 as

$$M_3 = dD^{-1/2} M_0 D^{-1/2} - M_0.$$

Note $||M_0|| = \overline{\lambda}^{(s)}(K_n^r) = \frac{s}{n-s}$. We have

$$\begin{split} \|M_3\| &= \|dD^{-1/2}M_0D^{-1/2} - M_0\| \\ &\leq \|(dD^{-1/2} - d^{1/2}I)M_0D^{-1/2}\| + \|M_0(d^{1/2}D^{-1/2} - I)\| \\ &\leq \|(d^{1/2}I - dD^{-1/2})\|\|M_0\|\|D^{-1/2}\| + \|M_0\|\|(d^{1/2}D^{-1/2} - I)\| \\ &\leq \left|d^{1/2} - dd_{min}^{-1/2}\right| \frac{s}{n-s}d_{min}^{-1/2} + \frac{s}{n-s}\left|d^{1/2}d_{min}^{-1/2} - 1\right| \\ &= O\left(\frac{\sqrt{\log N}}{n\sqrt{d}}\right). \end{split}$$

Lemma 4 If $p(1-p) \gg \frac{\log n}{n^{r-s}}$, then almost surely

$$\sum_{S \in \binom{V}{s}} (d_S - d)^2 = (1 + o(1)) \binom{n}{s} d(1 - p).$$

Proof: For $S \in {\binom{V}{s}}$, let $X_S = (d_S - d)^2$. We have

$$E(X_S) = E((d_S - d)^2) = Var(d_S) = {\binom{n-s}{r-s}}p(1-p) = d(1-p).$$

We use the second moment method to prove that $\sum_{S} X_s$ concentrates around its expectation $\binom{n}{s}d(1-p)$. For any $S, T \in \binom{V}{s}$, the covariance can be calculated as follows.

$$Cov(X_S, X_T) = E(X_S X_T) - E(X_S)E(X_T) = E((d_S - d)^2(d_T - d)^2) - d^2(1 - p)^2.$$

For $F \in {\binom{V}{r}}$, let $Y_F = X_F - \mathbb{E}(X_F)$. Then we have $d_S - d = \sum_{S \subset F} Y_F$.

$$\mathbb{E}((d_S - d)^2 (d_T - d)^2) = \sum_{\substack{F_1, F_2: S \subset F_1 \cap F_2 \\ F_3, F_4: T \subset F_3 \cap F_4}} \mathbb{E}(Y_{F_1} Y_{F_2} Y_{F_3} Y_{F_4}).$$

Since $E(Y_{F_i}) = 0$, the non-zero terms occur only if

1. $F_1 = F_2 = F_3 = F_4$. In this case, we have

$$E(Y_{F_1}Y_{F_2}Y_{F_3}Y_{F_4}) = E(Y_{F_1}^4) = (1-p)^4 p + (-p)^4 (1-p) = p(1-p)(1-3p+3p^2).$$

The number of choices is $\binom{n-|S\cup T|}{r-|S||T|}$.

2. $F_1 = F_2 \neq F_3 = F_4$. In this case, we have

$$E(Y_{F_1}Y_{F_2}Y_{F_3}Y_{F_4}) = E(Y_{F_1}^2)E(Y_{F_3}^2) = p^2(1-p)^2.$$

The number of choices is $\binom{n-s}{r-|S|}\binom{n-s}{r-|T|} - \binom{n-|S\cup T|}{r-|S\cup T|}$.

3. $F_1 = F_3 \neq F_2 = F_4$. In this case, we have

$$E(Y_{F_1}Y_{F_2}Y_{F_3}Y_{F_4}) = E(Y_{F_1}^2)E(Y_{F_2}^2) = p^2(1-p)^2.$$

The number of choices is $\binom{n-|S\cup T|}{r-|S\cup T|}^2 - \binom{n-|S\cup T|}{r-|S\cup T|}$.

4. $F_1 = F_4 \neq F_2 = F_3$. This is the same as item 3.

Thus, we have

$$E(X_S X_T) = \binom{n - |S \cup T|}{r - |S \cup T|} p(1 - p)(1 - 3p + 3p^2) + \left(\binom{n - s}{r - s}^2 + 2\binom{n - |S \cup T|}{r - |S \cup T|}^2 - 3\binom{n - |S \cup T|}{r - |S \cup T|} \right) p^2(1 - p)^2. = \binom{n - |S \cup T|}{r - |S \cup T|} p(1 - p)(1 - 6p + 6p^2) + \left(\binom{n - s}{r - s}^2 + 2\binom{n - |S \cup T|}{r - |S \cup T|} \right)^2 p^2(1 - p)^2.$$

This expression on the right depends only on the size of $S \cup T$. Putting together, we get

$$\begin{aligned} \operatorname{Var}\left(\sum_{S \in \binom{V}{s}} X_S\right) &= \sum_{S,T \in \binom{V}{s}} \operatorname{Cov}(X_S, X_T) \\ &= \sum_{S,T \in \binom{V}{s}} \left(\operatorname{E}(X_S X_T) - d^2 (1-p)^2\right) \\ &= \sum_{S,T \in \binom{V}{s}} \left(\operatorname{E}(X_S X_T) - \binom{n-s}{r-s}^2 p^2 (1-p)^2\right) \\ &= \sum_{i=s}^{2s} \sum_{|S \cup T|=i} \left(\binom{n-i}{r-i} p (1-p) (1-6p+6p^2) + 2\binom{n-i}{r-i}^2 p^2 (1-p)^2\right) \\ &\leq \sum_{i=s}^{2s} \sum_{|S \cup T|=i} \binom{n-i}{r-i} p (1-p) \left(1-6p+6p^2+2\binom{n-s}{r-s} p (1-p)\right) \\ &\leq \sum_{i=s}^{2s} \sum_{|S \cup T|=i} \binom{n-i}{r-i} 3dp (1-p)^2 \\ &= \binom{n}{r} 3dp (1-p)^2 \sum_{i=s}^{2s} \frac{r!}{(i-s)!^2 (2s-i)! (r-i)!} \\ &< 3 \cdot 4^r \binom{n}{r} dp (1-p)^2 \\ &= O\left(\binom{n}{s} d^2 (1-p)^2\right). \end{aligned}$$

Let $X = \sum_{S} X_{S}$. We have $E[X] = \binom{n}{s} d(1-p)$ and $Var(X) = O\left(\binom{n}{s} d^{2}(1-p)^{2}\right)$. Applying Chebyshev's inequality to $X = \sum_{S \in \binom{V}{s}}$, we have

$$\Pr\left(|X - \mathcal{E}(X)| \ge \log n\sqrt{\operatorname{Var}(X)}\right) \le \frac{1}{\log^2 n}.$$

Thus, almost surely $X = E(X) + O(\log n\sqrt{\operatorname{Var}(X)}) = (1 + o(1))\binom{n}{s}d(1 - p).$

Lemma 5 If $p(1-p) \gg \frac{\log n}{n^{r-s}}$, then almost surely $||M_4|| \le (1+o(1))\sqrt{\frac{1-p}{d}}$.

Proof: We can rewrite M_4 as

$$M_{4} = \frac{1}{\binom{n}{s}} (dD^{-1/2}JD^{-1/2} - J)$$

= $\frac{1}{\binom{n}{s}} \left(\left(d^{1/2}D^{-1/2} - I \right) JD^{-1/2}d^{1/2} + J \left(d^{1/2}D^{-1/2} - I \right) \right)$
= $\frac{1}{\binom{n}{s}} \left(\alpha \mathbf{1}'D^{-1/2}d^{1/2} + \mathbf{1}\alpha' \right).$

Here $\alpha := d^{1/2}D^{-1/2}\mathbf{1} - \mathbf{1}$. Note that the spectral norm of a vector is the same as the L_2 -norm. We have

$$\begin{aligned} \|\alpha\| &= \|d^{1/2}D^{-1/2}\mathbf{1} - \mathbf{1}\| \\ &= \sqrt{\sum_{S \in \binom{V}{s}} \left(\frac{\sqrt{d}}{\sqrt{d_S}} - 1\right)^2} \\ &= \sqrt{\sum_{S \in \binom{V}{s}} \frac{(d_S - d)^2}{d_S(\sqrt{d} + \sqrt{d_S})^2}} \\ &\leq \frac{\sqrt{\sum_{S \in \binom{V}{s}} (d_S - d)^2}}{\sqrt{d_{min}}(\sqrt{d} + \sqrt{d_{min}})} \\ &= (\frac{1}{2} + o(1))\sqrt{\frac{(1 - p)\binom{n}{s}}{d}}. \end{aligned}$$

In the last step, we applied Lemma 4. Therefore, we have

$$\begin{split} \|M_4\| &= \left\| \frac{1}{\binom{n}{s}} \left(\alpha \mathbf{1}' D^{-1/2} d^{1/2} + \mathbf{1} \alpha' \right) \right\| \\ &= \frac{1}{\binom{n}{s}} \left(\left\| \alpha \mathbf{1}' D^{-1/2} d^{1/2} \right\| + \|\mathbf{1} \alpha'\| \right) \\ &\leq \frac{1}{\binom{n}{s}} \|\alpha\| \left(\|\mathbf{1}' D^{-1/2} d^{1/2}\| + \|\mathbf{1}\| \right) \\ &= \frac{1}{\binom{n}{s}} \|\alpha\| \left(\sqrt{\sum_{S \in \binom{n}{s}} \frac{d}{d_S}} + \sqrt{\binom{n}{s}} \right) \\ &\leq \frac{1}{\binom{n}{s}} \left(\frac{1}{2} + o(1) \right) \sqrt{\frac{(1-p)\binom{n}{s}}{d}} (2 + o(1)) \left(\sqrt{\binom{n}{s}} \right) \\ &= (1 + o(1)) \sqrt{\frac{1-p}{d}}. \end{split}$$

3 Proof of Theorem 2

To estimate the spectral norm of M_1 and M_2 , we need consider the matrix C := W - E(W). We estimate the trace of C^t as follows. **Lemma 6** For any $k \ll (n^{r-s}p(1-p))^{1/4}$, we have

$$E\left(\operatorname{Trace}(C^{2k})\right) = (1+o(1))\frac{n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} p^k (1-p)^k, \quad (16)$$

$$E\left(\operatorname{Trace}(C^{2k+1})\right) = O\left(\frac{k(2k+1)n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} p^k (1-p)^k\right).$$
(17)

The proof of this technical Lemma is quite long. We will delay its proof until the end of this section.

Lemma 7 Suppose $p(1-p) \gg \frac{\log^4 n}{n^{r-s}}$. Almost surely, we have $||C|| \le (2+o(1))\sqrt{\binom{r-s}{s}d(1-p)}$.

Proof: By Lemma 6, we have $E(\operatorname{Trace}(C^{2k})) = (1 + o(1)) \frac{n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} {2k \choose k} p^k (1-p)^k$. As $E(||C||^{2k}) \leq E(\operatorname{Trace}(C^{2k}))$, we have

$$\mathbb{E}(\|C\|^{2k}) \le (1+o(1))\frac{n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} p^k (1-p)^k.$$

Let $U := \frac{n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} {2k \choose k} p^k (1-p)^k$. By Markov's inequality,

$$\Pr\left(\|C\| \ge (1+\epsilon) \sqrt[2^k]{U}\right) = \Pr\left(\|C\|^{2k} \ge (1+\epsilon)^{2k}U\right)$$
$$\le \frac{\operatorname{E}(\|C\|^{2k})}{(1+\epsilon)^{2k}U}$$
$$\le \frac{(1+o(1))U}{(1+\epsilon)^{2k}U}$$
$$= \frac{1+o(1)}{(1+\epsilon)^{2k}}.$$

Let g(n) be a slowly growing function such that $g(n) \to \infty$ as n approaches the infinity and $g(n) \ll \frac{(n^{r-s}p(1-p))^{1/4}}{s \log n}$. This is possible because $n^{r-s}p(1-p) \gg \log^4 n$. Choose $k = sg(n) \log n$ and $\epsilon = 1/g(n)$. We have $k \ll (n^{r-s}p(1-p))^{1/4}$ and $\epsilon \to 0$. Then we have $(1+o(1))/(1+\epsilon)^{2k} = O(n^{-s})$, which implies that almost surely

$$\begin{aligned} |||C|| &\leq (1+o(1))^{\frac{2k}{\sqrt{U}}} \\ &= (1+o(1)) \left(\frac{n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} p^k (1-p)^k \right)^{\frac{1}{2k}} \\ &< n^{\frac{s}{2k}} 2\sqrt{\frac{n^{r-s}p(1-p)}{s!(r-2s)!}} \\ &= (2+o(1))\sqrt{\binom{r-s}{s}} d(1-p). \end{aligned}$$

Recall $M_2 = \frac{1}{\binom{r-s}{s}d}C$. We have

Lemma 8 Suppose $p(1-p) \gg \frac{\log^4 n}{n^{r-s}}$. Almost surely, we have $||M_2|| \le \frac{(2+o(1))\sqrt{1-p}}{\sqrt{\binom{r-s}{s}d}}$.

Lemma 9 Suppose $p(1-p) \gg \frac{\log^4 n}{n^{r-s}}$. Almost surely, we have $||M_1|| = O\left(\frac{\sqrt{(1-p)\log N}}{d}\right)$. **Proof:** We have

$$M_{1} = \frac{1}{\binom{r-s}{s}} \left(D^{-1/2}CD^{-1/2} - d^{-1}C \right)$$

= $\frac{1}{\binom{r-s}{s}} \left((D^{-1/2} - d^{-1/2}I)CD^{-1/2} + d^{-1/2}C(D^{-1/2} - d^{-1/2}I) \right).$

Note $||D^{-1/2} - d^{-1/2}I|| \le |d_{\min}^{-1/2} - d^{-1/2}| = O(\frac{\sqrt{\log N}}{d}), ||D^{-1/2}|| \le d_{\min}^{-1/2} = (1 + o(1))d^{-1/2}, \text{ and } ||C|| = (2 + o(1))\sqrt{\binom{r-s}{s}d(1-p)}.$ We have

$$\|M_1\| = \frac{1}{\binom{r-s}{s}} \left\| (D^{-1/2} - d^{-1/2}I)CD^{-1/2} + d^{-1/2}C(D^{-1/2} - d^{-1/2}I) \right\|$$
$$= O\left(\frac{\sqrt{(1-p)\log N}}{d}\right).$$

 \square

Proof of Theorem 2: Combining Lemmas 3, 5, 8, and 9, we have

$$\begin{split} \|M\| &= \|M_1 + M_2 + M_3 + M_4\| \\ &\leq \|M_1\| + \|M_2\| + \|M_3\| + \|M_4\| \\ &\leq O\left(\frac{\sqrt{(1-p)\log N}}{d}\right) + \frac{(2+o(1))\sqrt{1-p}}{\sqrt{\binom{r-s}{s}d}} + O\left(\frac{\sqrt{\log N}}{n\sqrt{d}}\right) + (1+o(1))\sqrt{\frac{1-p}{d}} \\ &= \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + 1 + o(1)\right)\sqrt{\frac{1-p}{d}}. \end{split}$$

In the last step, we use the fact $\frac{\sqrt{\log N}}{n\sqrt{d}} = o\left(\sqrt{\frac{1-p}{d}}\right)$ since $1-p \gg \frac{\log n}{n^2}$. By Lemma 1, for $1 \le k \le \binom{n}{s} - 1$, we have

$$|\lambda_k^{(s)}(H^r(n,p)) - \lambda_k^{(s)}(K_n^r)| \le ||M|| \le \left(\frac{2}{\sqrt{\binom{r-s}{s}}} + 1 + o(1)\right)\sqrt{\frac{1-p}{d}}.$$

Proof of Lemma 6: For any fixed positive integer t, the terms in $\text{Trace}(C^t)$ are of the form

$$c_{S_1S_2}c_{S_2S_3}\ldots c_{S_tS_{S_1}}.$$

Here $c_{ST} = W(S,T) - E(W(S,T)) = \sum_{\substack{F \in \binom{V}{r} \\ S \cup T \subset F}} \sum_{\substack{F \in \binom{V}{r} \\ S \cup T \subset F}} (X_F - E(X_F))$ if $S \cap T = \emptyset$; $c_{ST} = 0$ otherwise. Note $c_{S_iS_j} = 0$ if $S_i \cap S_j \neq \emptyset$. Thus we need only to consider the sequence $S_1S_2 \dots S_tS_1$ such that $S_i \cap S_{i+1} = \emptyset$ for each $1 \le i \le t$, here t + 1 = 1. For $F \in \binom{V}{r}$ and $S, T \in \binom{V}{s}$, we define a random variable c_{ST}^F as follows.

$$c_{ST}^F = \begin{cases} X_F - \mathcal{E}(X_F) & \text{if } S \cap T = \emptyset \text{ and } S \cup T \subseteq F; \\ 0 & \text{otherwise.} \end{cases}$$

The sequence $S_1F_1S_2F_2S_3\ldots S_tF_tS_1$ is called a closed *s*-walk of length *t* if

1. $S_1, \ldots, S_t \in \binom{V}{s}$,

2.
$$F_1, \ldots, F_t \in \binom{V}{r}$$
,

3. $S_i \cap S_{i+1} = \emptyset$, for i = 1, 2, ..., t,

4.
$$S_i \cup S_{i+1} \subset F_i$$
, for $i = 1, 2, ..., t$.

Here we use the convention $S_{t+1} = S_1$. Those *r*-sets F_i 's are referred as edges while those *s*-sets S_i 's are referred as stops.

Using the notation above, we rewrite the trace as

$$\operatorname{Trace}(C^t) = \sum_{\text{closed } s\text{-walks}} c_{S_1 S_2}^{F_1} c_{S_2 S_3}^{F_2} \dots c_{S_t S_1}^{F_t},$$

where the summation is over all possible closed s-walk of length t.

Taking the expectation on both sides, we get

$$\mathbb{E}(\operatorname{Trace}(C^t)) = \sum_{\text{closed } s\text{-walks}} \mathbb{E}(c_{S_1S_2}^{F_1} c_{S_2S_3}^{F_2} \dots c_{S_tS_1}^{F_t}).$$

The terms in the product above can be regrouped according to the values of F_i 's; those terms with distinct F's are independent to each other. Since $E(c_{S,T}^F) = 0$, the contribution of a closed walk is 0 if some F appears just once. Thus we need only to consider the set of closed walks where each edge appears at least twice or do not occur; we call these closed walks as good closed walks. A good closed walk can contain at most $\lfloor \frac{t}{2} \rfloor$ distinct edges.

Let \mathcal{G} be the set of good closed walks. For $1 \leq i \leq \lfloor \frac{t}{2} \rfloor$, let \mathcal{G}_i^j be the set of good closed walks with exactly *i* distinct edges and *j* distinct vertices; and let $\mathcal{G}_i := \bigcup_j \mathcal{G}_j^j$.

We consider a good closed walk in \mathcal{G}_i . When a new edge comes in the walk, it can visit at most (r-s) new vertices. Thus such a good closed walk covers at most $m_i := s + i(r-s)$ vertices. Any walk contains at least one edge. Hence, the number of vertices in a walk from \mathcal{G}_i is in the interval $[r, m_i]$.

Let
$$a_i^j := \sum_{S_1F_1S_2...S_tS_1 \in \mathcal{G}_i^j} E(c_{S_1S_2}^{F_1}c_{S_2S_3}^{F_2}...c_{S_tS_1}^{F_t})$$
 and $a_i := \sum_{j=r}^{m_i} a_i^j$. We have

$$E(\operatorname{Trace}(C^{t})) = \sum_{i=1}^{\lfloor \frac{1}{2} \rfloor} a_{i} = \sum_{i=1}^{\lfloor \frac{1}{2} \rfloor} \sum_{j=r}^{m_{i}} a_{i}^{j}.$$
(18)

Assume that an edge F occurs l times in a good closed walk and $T := \{i : 1 \le i \le t \text{ and } F_i = F\}$. We have $\Pr\left(\prod_{i \in T} c_{S_i S_{i+1}}^F = (1-p)^l\right) = p$ and $\Pr\left(\prod_{i \in T} c_{S_i S_{i+1}}^F = (-p)^l\right) = 1-p$. Thus, for each positive integer $l \ge 2$, we have

$$\mathbb{E}\left(\Pi_{i\in T}c_{S_{i}S_{i+1}}^{F}\right) = (1-p)^{l}p + (-p)^{l}(1-p) \le p(1-p).$$

The equality holds if l = 2.

Pick a good closed walk $S_1F_1S_2F_2S_3...S_tF_tS_1$ in \mathcal{G}_q . Say, it contains q distinct edges F^1, F^2, \ldots, F^q . For each $1 \leq i \leq q$, let $T_i := \{1 \leq j \leq t : F_j = F^i\}$; then $\sum_{i=1}^q |T_i| = t$. We have

$$\mathbb{E}(c_{S_1S_2}^{F_1}c_{S_2S_3}^{F_2}\dots c_{S_tS_1}^{F_t}) = \Pi_{i=1}^q \Pi_{j\in T_i} \mathbb{E}(c_{S_jS_{j+1}}^{F^i}) \le \Pi_{i=1}^q p(1-p) = p^q(1-p)^q.$$

This implies

$$a_i^j \le \left| \mathcal{G}_i^j \right| p^i (1-p)^i \tag{19}$$

for all $1 \le i \le \lfloor \frac{t}{2} \rfloor$ and $r \le j \le m_i$. In particular, the equality holds when t = 2i. Claim a: For $1 \le i \le \lfloor \frac{t}{2} \rfloor$, we have

$$|\mathcal{G}_i| = (1 + o(1))|\mathcal{G}_i^{m_i}|.$$
(20)

Proof: Let $\mathcal{B}_i^j := \mathcal{G}_i^j(K_j^r)$ be the set of good closed walks (of length t) with i distinct edges and j distinct vertices on K_j^r . We have

$$|\mathcal{G}_i^j| = \binom{n}{j} \left| \mathcal{B}_i^j \right|. \tag{21}$$

We define a map $\phi_i \colon \mathcal{B}_i^j \to \mathcal{B}_i^{m_i}$ as follows. For any good closed walk $S_1F_1S_2F_2S_3\ldots S_tF_tS_1 \in \mathcal{B}_i^j$, we scan the walk from left to right. Suppose that an edge F appears in the walk for the first time, say $F = F_l$. If $|F \cap (\bigcup_{x < l} F_x)| > |S_l|$, then we replace the vertices in $F \cap (\bigcup_{x < l} F_x) \setminus S_l$ by next available vertices in $[m_i] \setminus [j]$. We keep the procedure for all distinct edges. At the end, the resulted walk has the following property "Any new edge visits r - s new vertices." Observe the resulted walk is in $\mathcal{B}_i^{m_i}$. It is possible that different walks in \mathcal{B}_i^j be mapped into the same walk in $\mathcal{B}_i^{m_i}$; there is at most j^{m_i-j} sequences from \mathcal{B}_i^j with the same image. We have

$$\mathcal{B}_i^j \le |\mathcal{B}_i^{m_i}| j^{m_i - j}.$$

$$\tag{22}$$

Combining equations (21) and (22), we get

$$\begin{split} |\mathcal{G}_{i}| &= \sum_{j=r}^{m_{i}} |\mathcal{G}_{i}^{j}| \\ &= \sum_{j=r}^{m_{i}} \binom{n}{j} \left| \mathcal{B}_{i}^{j} \right| \\ &\leq \sum_{j=r}^{m_{i}} \binom{n}{j} |\mathcal{B}_{i}^{m_{i}}| j^{m_{i}-j} \\ &= \binom{n}{m_{i}} |\mathcal{B}_{i}^{m_{i}}| \sum_{j=r}^{m_{i}} \frac{\binom{n}{j}}{\binom{n}{m_{i}}} j^{m_{i}-j} \\ &< |\mathcal{G}_{i}^{m_{i}}| \sum_{j=r}^{m_{i}} \left(\frac{m_{i}j}{n-m_{i}+1}\right)^{m_{i}-j} \\ &< |\mathcal{G}_{i}^{m_{i}}| \sum_{j=r}^{m_{i}} \left(\frac{m_{i}^{2}}{n-m_{i}+1}\right)^{m_{i}-j} \\ &< |\mathcal{G}_{i}^{m_{i}}| \frac{1}{1-\frac{m_{i}^{2}}{n-m_{i}+1}} \\ &= (1+o(1)) |\mathcal{G}_{i}^{m_{i}}|. \end{split}$$

It is enough to estimate $|\mathcal{G}_{i}^{m_{i}}|$ for $1 \leq i \leq \lfloor \frac{t}{2} \rfloor$. Given a walk $w := S_{1}F_{1}S_{2}F_{2}S_{3}\ldots S_{t}F_{t}S_{1} \in \mathcal{B}_{i}^{m_{i}}$, let \mathcal{S} be the set of distinct stops in w and \mathcal{F} be the set of distinct edges in w. List the edges in \mathcal{F} as $\{F^{1}, F^{2}, \ldots, F^{i}\}$ with the indices in an increasing order. We define an auxiliary graph T_{w} with the vertex set $\mathcal{S} \cup \mathcal{T}$ and the edge set $\{SF: \text{ if } S \in \mathcal{S}, F \in \mathcal{F}, \text{ and } S \subset F\}$.

Claim b: The graph T_w is a tree.

Proof: A closed walk w induces a closed walk on T_w . Thus T_w is connected. Suppose that T_w is not a tree, then there is a cycle C in T_w . Let F_j be the edge in C with the highest

index. When F_j is first created, F_j brings in r-s new vertices; thus, $|\mathcal{F}_j \cap (\bigcup_{l \leq j} F_l)| = s$. This contradicts the fact that F_j contains two different stops in C. Hence, T_w is a tree.

For $1 \leq j \leq i$, let S^j be the stop right after the first occurrence of F^j in the walk w and T^j be the stop right before the first occurrence of F^j in the walk w, and $E^j = F^j \setminus (S^j \cup T^j)$. We also let $S^0 := S_1$ be the first stop of w. Observe that

$$[m_i] = \left(\cup_{j=0}^i S^j\right) \cup \left(\cup_{j=1}^i E_j\right)$$

is a partition of $[m_i]$; each S^j is an s-set while each E_j is an (r-2s)-set. The number of choices of such partition is

$$\binom{m_i}{(s,\ldots,s,r-2s,\ldots,r-2s)} = \frac{m_i!}{(s!)^{i+1}((r-2s)!)^i}$$

We can associate a walk w with a code of length t consisting of symbols '(', ')', and '*'. We read the walk w from left to right. If w visit the stop S^j through the edge F^j for the first time, we encode it by an open parenthesis; if w visit a stop from S^j through the edge F^j for the first time, we use a close parenthesis; else we use '*'. A walk w can be viewed as a walk on T_w ; an open parenthesis means the walk passing through an edge F^jS^j (for some j) while a closed parenthesis means the walk passing through an edge S^jF^j (for some j). Since $\{S^jF_j\}_{j=1,...,i}$ is a matching of the tree T_w , the resulted parenthesis sequence is valid; where valid means that each open parenthesis can be matched to a closed parenthesis. There are exactly i pairs of parentheses and t - 2i '*'s; the number of ways to choose the positions of *'s is $\binom{t}{2i}$. The number of ways to arrange the parentheses is the Catalan number $\frac{1}{i+1}\binom{2i}{i}$. At each of position '*', there is at most i ways to choose an existed edge and $\binom{r-s}{s}$ ways to choose the next stop in the edge. Putting together, we have

$$|\mathcal{B}_{i}^{m_{i}}| \leq \frac{m_{i}!}{(s!)^{i+1}(r-2s)^{i}} {t \choose 2i} \frac{1}{i+1} {2i \choose i} \left(i {r-s \choose s}\right)^{t-2i}.$$
(23)

Case 1: t = 2k is even. We would like to show the inequality (23) is tight for i = k. In this case, each edge appears exactly twice in any walk w of $\mathcal{B}_k^{m_k}$. The structure of T_w is more clear in this case.

Claim c: There are exactly k + 1 stops in w, namely S^0, S^1, \ldots, S^k .

Proof: Since each edge F^j appears exactly twice in a closed walk, the degree of F^j in T_w is exactly 2. Contracting these F^j 's in T_w , (i.e., deleting F^j and connecting the two neighbors of F^j), we get a new tree T; where F^j 's can be viewed as edge labellings of the tree T. Now T has exactly k edges; it implies hat T has exactly k + 1 vertices. Thus $|\mathcal{S}| = k + 1$. Since $S^0, S^1, \ldots, S^k \in \mathcal{S}$, we must have $\mathcal{S} = \{S^0, S^1, \ldots, S^k\}$.

Claim d: We have

$$|B_k^{m_k}| = \frac{m_k!}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k}.$$
(24)

Proof: From the proof equation (23), a walk in $\mathcal{B}_k^{m_k}$ determine a partition $[m_i] = (\bigcup_{j=0}^i S^j) \cup (\bigcup_{j=1}^i E_j)$ and a valid sequence of k pairs of parentheses. (In this case, the number of '*'s is zero.) It suffices to recover a walk from a partition of $[m_k]$ and a sequence of valid parentheses.

Given a partition

$$[m_i] = \left(\cup_{j=0}^i S^j\right) \cup \left(\cup_{j=1}^i E_j\right)$$

and a valid sequence of k pairs of parentheses, we first build a rooted tree T as follows. At each time, we maintain a tree T, a current stop S, a set of unused stops S. Initially T contains nothing but the root stop S_0 , $S := S_0$, and $S = \{S_1, S_2, \ldots, S_k\}$. At each time, read a symbol from the sequence. If the symbol is an open parenthesis, then find an S_i in \mathcal{S} with index i as small as possible, delete S_i from S, attach S_i to T as a child stop of S, and let $S := S_i$; if the symbol is ")", then let S point to the parent stop of the current S. Repeat this process until all symbols from the sequence are processed.

Since every closed parenthesis has a matching open parenthesis, this process never get stuck. When the precess ends, a rooted tree T on the vertex set $\{S_0, \ldots, S_k\}$ is created. For $1 \leq i \leq k$, let F_i be the union of E_i and two ends of *i*-th edge, which created in the process. For example, for k = 3, if the sequence is (())(), then the corresponding rainbow closed walk is

$$S_1F_1S_2F_2S_3F_2S_2F_1S_1F_3S_4F_3S_1$$

where $F_1 = S_1 \cup S_2 \cup E_1$, $F_2 = S_2 \cup S_3 \cup E_2$, and $F_3 = S_4 \cup S_1 \cup E_3$. Thus, this is a bijection from $\mathcal{B}_k^{m_k}$ to the combination of a partition of $[m_k]$ and a valid sequence of parentheses.

The number of ways to choose these sets $S_0, S_1, \ldots, S_k, E_1, \ldots, E_k$ as a partition of $[m_k]$ is

$$\binom{m_k}{(s,\ldots,s,r-2s,\ldots,r-2s)} = \frac{m_k!}{(s!)^{k+1}((r-2s)!)^k}$$

The number of valid sequences of k pairs of parentheses is the Catalan number $\frac{1}{k+1}\binom{2k}{k}$. By taking product of these two numbers, we get equation (24).

For each $1 \le i \le k$, by inequality (19) and equation (20), we have

$$a_i \le \sum_{j=r}^{m_i} a_i^j \le \sum_{j=r}^{m_i} |\mathcal{G}_i^j| p^i (1-p)^i = (1+o(1)) |\mathcal{G}_i^{m_i}| p^i (1-p)^i.$$

By equation (21) and inequality (23), for $1 \le i \le k$, we have

$$\begin{aligned} a_i &\leq (1+o(1))|\mathcal{G}_i^{m_i}|p^i(1-p)^i \\ &\leq (1+o(1))\binom{n}{m_i}|\mathcal{B}_i^{m_i}|p^i(1-p)^i \\ &\leq (1+o(1))\frac{m_i!p^i(1-p)^i}{(s!)^{i+1}((r-2s)!)^i}\binom{n}{m_i}\binom{2k}{2i}\frac{1}{i+1}\binom{2i}{i}\left(i\binom{r-s}{s}\right)^{2k-2i}. \end{aligned}$$

By equations (19), (20), (21), and (24), we have

$$a_{k} = (1+o(1))\binom{n}{m_{k}}|\mathcal{B}_{k}^{m_{k}}|p^{k}(1-p)^{k}$$

= $(1+o(1))\frac{m_{k}!p^{k}(1-p)^{k}}{(s!)^{k+1}((r-2s)!)^{k}}\binom{n}{m_{k}}\frac{1}{k+1}\binom{2k}{k}.$

For each $1 \leq i \leq k - 1$, we have

$$\begin{array}{ll} \displaystyle \frac{a_i}{a_k} & \leq & (1+o(1))\frac{(k+1)(k!)^2}{(i+1)(2k-2i)!(i!)^2} \left(\frac{i^2}{s!(r-2s)!n^{r-s}p(1-p)}\right)^{k-i} \\ & = & (1+o(1))\frac{\binom{2k+1}{2i+1}\binom{2i+1}{i}}{\binom{2k+1}{k}} \left(\frac{i^2}{s!(r-2s)!n^{r-s}p(1-p)}\right)^{k-i} \\ & \leq & (1+o(1)) \left(\frac{9k^4}{s!(r-2s)!n^{r-s}p(1-p)}\right)^{k-i}, \end{array}$$

As we assume $k^4 \ll n^{r-s}p(1-p)$, then $\frac{a_i}{a_k} < \epsilon^{k-i}$ for any constant $\epsilon > 0$ and $1 \le i \le k-1$. Thus a_k is the dominating term in E(Trace(C^{2k})), i.e.,

$$E\left(\operatorname{Trace}(C^{2k})\right) = \sum_{i=1}^{k} a_i = (1+o(1))a_k = (1+o(1))\frac{n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} p^k (1-p)^k.$$

Case 2: t = 2k + 1 is odd. Since each edge in a good walk appears at least twice, a good sequence $S_1F_1S_2F_2S_3...S_{2k+1}F_{2k+1}S_1$ contains at most k distinct edges. By equations (19), (20), (21), and (24), we have $a_i \leq (1 + o(1))f(i)$, where

$$f(i) = \frac{n^{s+i(r-s)}}{(i+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k+1}{2i} \binom{2i}{i} \left(i\binom{r-s}{s}\right)^{2k+1-2i} p^i (1-p)^i.$$

Similarly, we can show f(i) = o(f(k)) for $1 \le i \le k-1$ and $\sum_{i=1}^{k} f(i) = (1+o(1))f(k)$. We have

$$\begin{split} \mathbf{E} \left(C^{2k+1} \right) &\leq \sum_{i=1}^{k} f(i) \\ &= (1+o(1))f(k) \\ &= (1+o(1))\frac{k(2k+1)n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} \binom{r-s}{s} p^k (1-p)^k \\ &= O\left(\frac{k(2k+1)n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} p^k (1-p)^k \right). \end{split}$$

4 The semicircle law

Let us review the definition of the Semicircle Law. Let F(x) be the continuous distribution function with density f(x) such that $f(x) = (2/\pi)\sqrt{1-x^2}$ when $|x| \le 1$ and f(x) = 0 when |x| > 1. Let A be a Hermitian matrix of dimension $N \times N$. The *empirical distribution* of the eigenvalues of A is

$$F(A, x) := \frac{1}{N} |\{ \text{ eigenvalues of } A \text{ less than } x \}|.$$

We say, the empirical distribution of the eigenvalues of A asymptotically follows the Semicircle Law centered at c with radius R if $F(\frac{1}{R}(A - cI), x)$ tends to F(x) in probability as N goes to infinity. (In this case, we write $F(\frac{1}{R}(A - cI), x) \xrightarrow{p} F(x)$.) If c is the center of the Semicircle Law, then any c' = c + o(R) is also the center of the Semicircle Law.

Theorem 5 If $n^{r-s}p(1-p) \to \infty$, then the empirical distribution of the eigenvalues of W - E(W) follows the semicircle law centered at 0 with radius $2\sqrt{\binom{r-s}{s}\binom{n-s}{r-s}p(1-p)}$.

Proof: Let $R := 2\sqrt{\binom{r-s}{s}\binom{n-s}{r-s}p(1-p)}, C := W - E(W)$, and $C_{nor} := \frac{1}{R}C$.

To prove the theorem, we need to show that for any fixed t, the t-th moment of $F(C_{nor}, x)$ (with n goes to infinity) is asymptotically equal to the t-th moment of F(x). We know the t-th moment of $F(C_{nor}, x)$ equals $\binom{n}{s}^{-1} \mathbb{E}(\operatorname{Trace}(C_{nor}^t))$. For even t = 2k, the t-th moment of F(x) is $(2k)!/2^{2k}k!(k+1)!$. For odd t, the t-th moment of F(x) is 0. In order to prove the theorem, we need to show for any fixed k,

$$\frac{1}{\binom{n}{s}} \mathcal{E}(\operatorname{Trace}(C_{nor}^{2k})) = (1+o(1))\frac{(2k)!}{2^{2k}k!(k+1)!}$$

and

$$\frac{1}{\binom{n}{s}} \mathbb{E}(\operatorname{Trace}(C_{nor}^{2k+1})) = o(1).$$

We know

$$\mathbf{E}(\operatorname{Trace}(C_{nor}^t)) = \frac{1}{R^t} \mathbf{E}(\operatorname{Trace}(C^t))$$

for any t. By Lemma 6, we have

$$E(\operatorname{Trace}(C^{2k})) = (1+o(1))\frac{n^{s+k(r-s)}}{(k+1)(s!)^{k+1}((r-2s)!)^k} \binom{2k}{k} p^k (1-p)^k.$$

Then

$$\frac{1}{\binom{n}{s}} \mathcal{E}(\text{Trace}(C_{nor}^{2k})) = (1+o(1))\frac{(2k)!}{2^{2k}k!(k+1)!}$$

as desired.

By Lemma 6 again, we have

$$E(\operatorname{Trace}(C^{2k+1})) = O\left(\frac{k(2k+1)n^{s+k(r-s)}p^k(1-p)^k}{(k+1)(s!)^{k+1}((r-2s)!)^k}\binom{2k}{k}\right).$$

Thus

$$\frac{1}{\binom{n}{s}} \mathbb{E}(\operatorname{Trace}(C_{nor}^{2k+1})) = O\left(\frac{(2k+1)!}{2^{2k}(k-1)!(k+1)!R}\right) = o(1).$$

Here k is any constant but $R \to \infty$. The theorem is proved.

The following Lemma is useful to derive the Semicircle Law from one matrix to the other.

Lemma 10 Let A and B be two $(N \times N)$ -Hermitian matrices. Suppose that the empirical distribution of the eigenvalues of A follows the Semicircle Law centered at c with radius R. If either ||B|| = o(R) or the rank of B is o(N), then the empirical distribution of the eigenvalues of A + B also follows the Semicircle Law centered at c with radius R.

Proof: It suffices to show $F(\frac{1}{R}(A+B-cI), x) \xrightarrow{p} F(x)$. First we assume ||B|| = o(R). By Lemma 1, for $1 \le k \le N$, we have

$$\left|\mu_k\left(\frac{1}{R}(A+B-cI)\right)-\mu_k\left(\frac{1}{R}(A-cI)\right)\right| \le \frac{\|B\|}{R} = o(1).$$

Hence

$$F\left(\frac{1}{R}(A-cI), x-\frac{\|B\|}{R}\right) \le F\left(\frac{1}{R}(A+B-cI), x\right) \le F\left(\frac{1}{R}(A-cI), x+\frac{\|B\|}{R}\right).$$

Since ||B|| = o(R), we have $F\left(\frac{1}{R}(A - cI), x - \frac{||B||}{R}\right) \xrightarrow{p} F(x)$ and $F\left(\frac{1}{R}(A - cI), x + \frac{||B||}{R}\right) \xrightarrow{p} F(x)$. By the Squeeze theorem, we have $F(\frac{1}{R}(A + B - cI), x) \xrightarrow{p} F(x)$.

Now we assume rank(B) = o(N). Let U be the kernel of B (i.e. $B|_U = 0$); U has co-dimension rank(B). Let $Z := \frac{1}{R}(A - cI)|_U = \frac{1}{R}(A + B - cI)|_U$. By Cauchy's interlace theorem [23], for $1 \le j \le N - \operatorname{rank}(B)$, we have

$$\mu_j \left(\frac{1}{R}(A-cI)\right) \leq \mu_j(Z) \leq \mu_{j+\operatorname{rank}(B)} \left(\frac{1}{R}(A-cI)\right),$$

$$\mu_j \left(\frac{1}{R}(A+B-cI)\right) \leq \mu_j(Z) \leq \mu_{j+\operatorname{rank}(B)} \left(\frac{1}{R}(A+B-cI)\right).$$

Thus, for $\operatorname{rank}(B) + 1 \le j \le N - \operatorname{rank}(B)$, we have

$$\mu_{j-\operatorname{rank}(B)}\left(\frac{1}{R}(A-cI)\right) \le \mu_j\left(\frac{1}{R}(A+B-cI)\right) \le \mu_{j+\operatorname{rank}(B)}\left(\frac{1}{R}(A-cI)\right).$$

It implies

$$F\left(\frac{1}{R}(A-cI),x\right) + \frac{\operatorname{rank}(B)}{N} \le F\left(\frac{1}{R}(A+B-cI),x\right) \le F\left(\frac{1}{R}(A-cI),x\right) + \frac{\operatorname{rank}(B)}{N}.$$

Since rank(B) = o(N), we have $F\left(\frac{1}{R}(A-cI), x\right) \pm \frac{\operatorname{rank}(B)}{N} \xrightarrow{p} F(x)$. By the Squeeze theorem, we have $F(\frac{1}{R}(A+B-cI), x) \xrightarrow{p} F(x)$.

Proof of Theorem 3: Recall

$$\mathcal{L}^{(s)}(K_n^r) - \mathcal{L}^{(s)}(H^r(n,p)) = M_1 + M_2 + M_2 + M_4.$$

We can write $\mathcal{L}^{(s)}(H^r(n,p))$ as $-M_2 + \left(1 - \frac{(-1)^s}{\binom{n}{s}}\right)I + B_1 - M_3 - M_4 - M_1$, where $B_1 = \mathcal{L}^{(s)}(K_n^r) - \left(1 - \frac{(-1)^s}{\binom{n}{s}}\right)I$.

By Theorem 5, the empirical distribution of the spectrum of W - E(W) follows the Semicircle Law centered at 0 with radius $(2 + o(1))\sqrt{\binom{r-s}{s}\binom{n-s}{r-s}p(1-p)}$. Since $M_2 = \frac{1}{\binom{r-s}{s}d}(W - E(W)), \left(1 - \frac{(-1)^s}{\binom{n}{s}}\right)I - M_2$ follows the Semicircle Law centered at $c := 1 - \frac{(-1)^s}{\binom{n}{s}}$ with radius $R := (2 + o(1))\sqrt{\frac{1-p}{\binom{r-s}{s}\binom{n-s}{r-s}p}}$. Note $\frac{(-1)^s}{\binom{n}{s}} = o(R)$. We can change the center to 1.

By Theorem 1, $\mathcal{L}^{(s)}(K_n^r)$ has an eigenvalue $1 - (-1)^s \frac{\binom{n-s}{s}}{\binom{n}{s}}$ with multiplicity $\binom{n}{s} - \binom{n}{s-1}$. Thus B_1 has rank $\binom{n}{s-1} = o\binom{n}{s}$. We also observe that M_4 has rank at most 2, $||M_1|| = O\left(\frac{\sqrt{(1-p)\log N}}{d}\right) = o(R)$, and $||M_3|| = O\left(\frac{\sqrt{\log N}}{n\sqrt{d}}\right) = o(R)$. Here we use the assumption $d \gg \log n$.

By Lemma 10, the matrices B_1 , M_1 , M_3 , and M_4 will not affect the Semicircle Law. The proof of this Lemma is finished.

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