



# Extremal Problems on Posets and Hypergraphs

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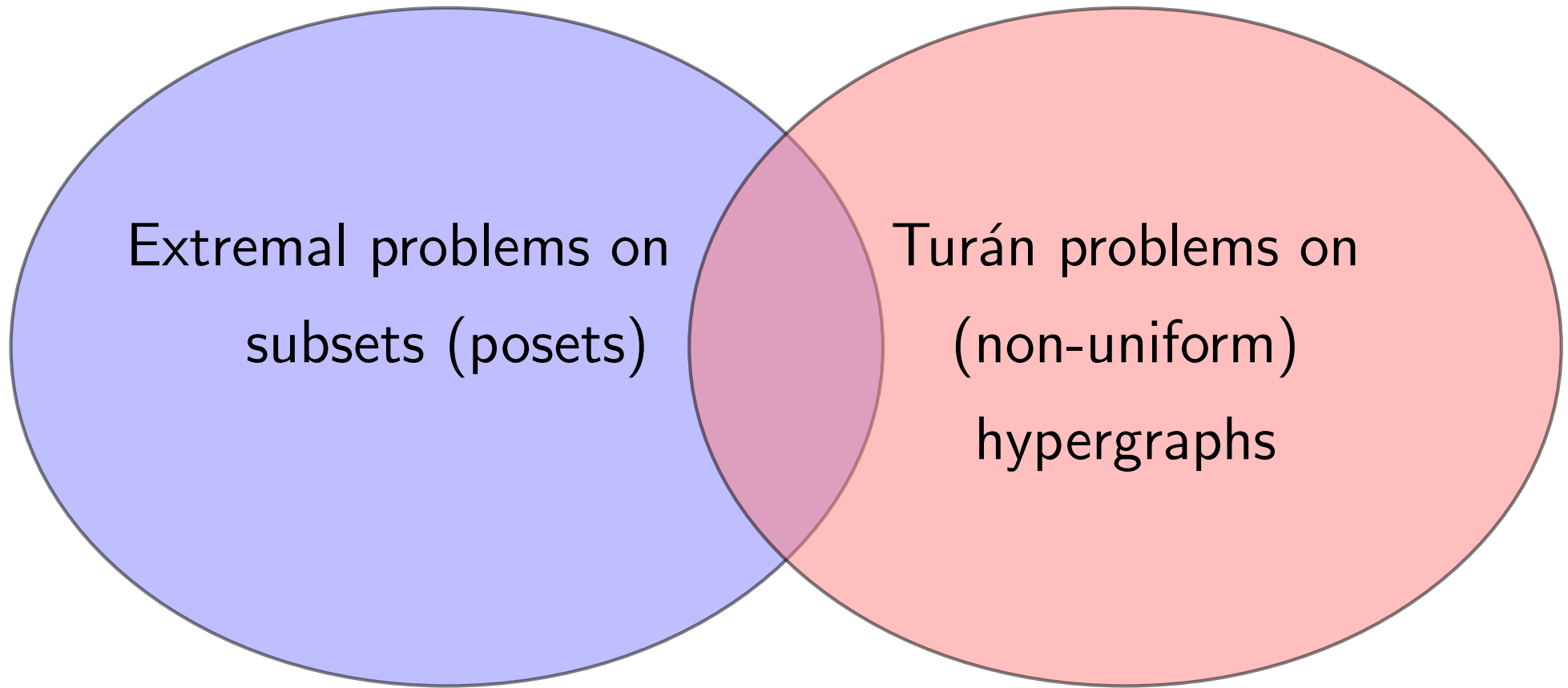
Collaborators: Jerrold R. Griggs, Weitian Li  
Travis Johnston

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SIAM Conference on Discrete Mathematics  
Minneapolis, MN, June 16-19, 2014



# Overview



# Part I: Posets

Notation:

- $[n]$ : the set of first  $n$  positive integers.
- $\mathcal{F}$ : a family of subsets of  $[n]$ .

The size of  $\mathcal{F}$  is denoted by  $|\mathcal{F}|$ .



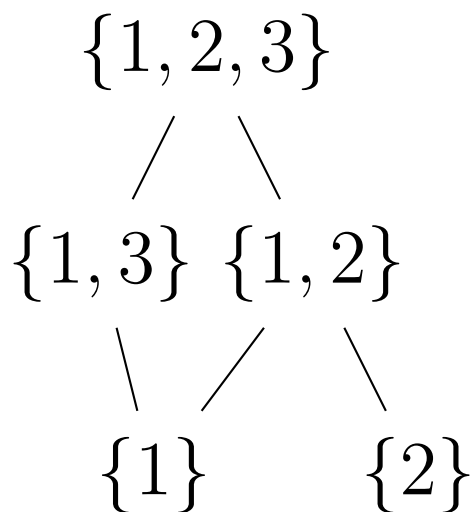
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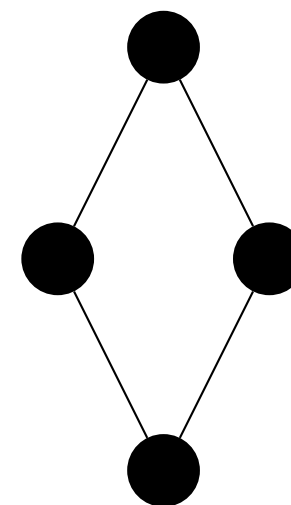
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**Example:**  $\mathcal{F} = \{\{\{1\}, \{2\}, \{1, 2\}, \{1, 3\}, \{1, 2, 3\}\}\}$



The inclusion relations of  $\mathcal{F}$ .



A diamond pattern



# Sperner theorem

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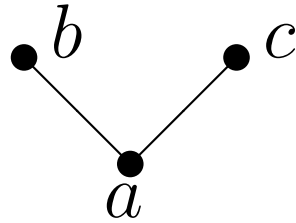
LYM  $\Rightarrow$  Sperner theorem



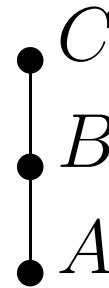


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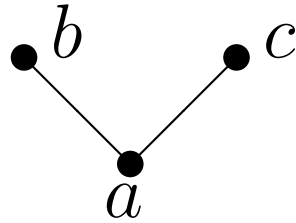


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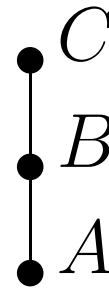


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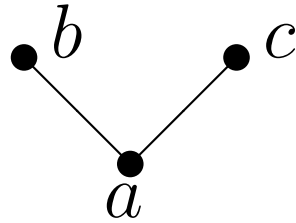
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A poset  $P_1 = (P_1, \leq_1)$  is a *(weak) subposet* of a poset  $P_2 = (P_2, \leq_2)$  if there exists an injection  $f$  from  $P_1$  to  $P_2$  such that  $f(a) \leq_2 f(b)$  whenever  $a \leq_1 b$ .



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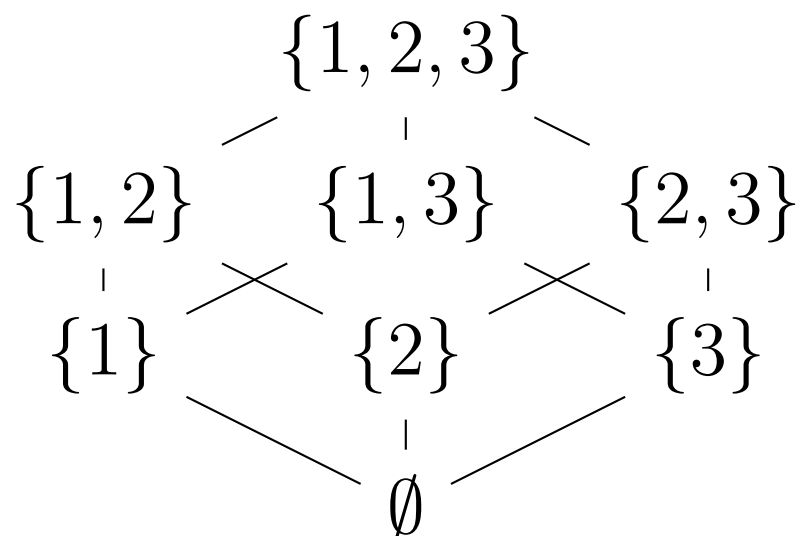
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Chain:  $\emptyset \subset \{1\} \subset \{1, 2\} \subset \{1, 2, 3\}$ .

Anti-chain:  $\{1, 2\}, \{1, 3\}, \{2, 3\}$ .



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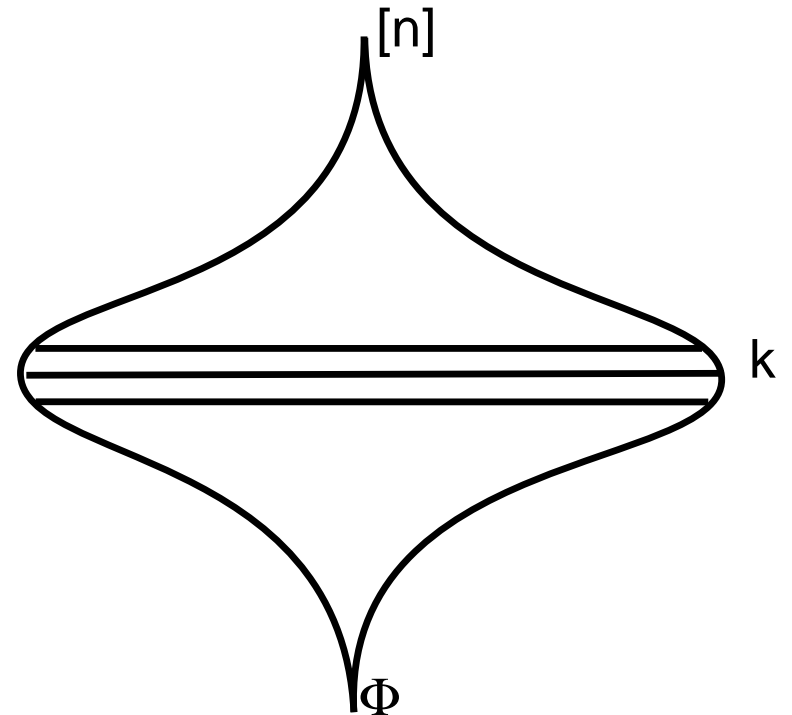
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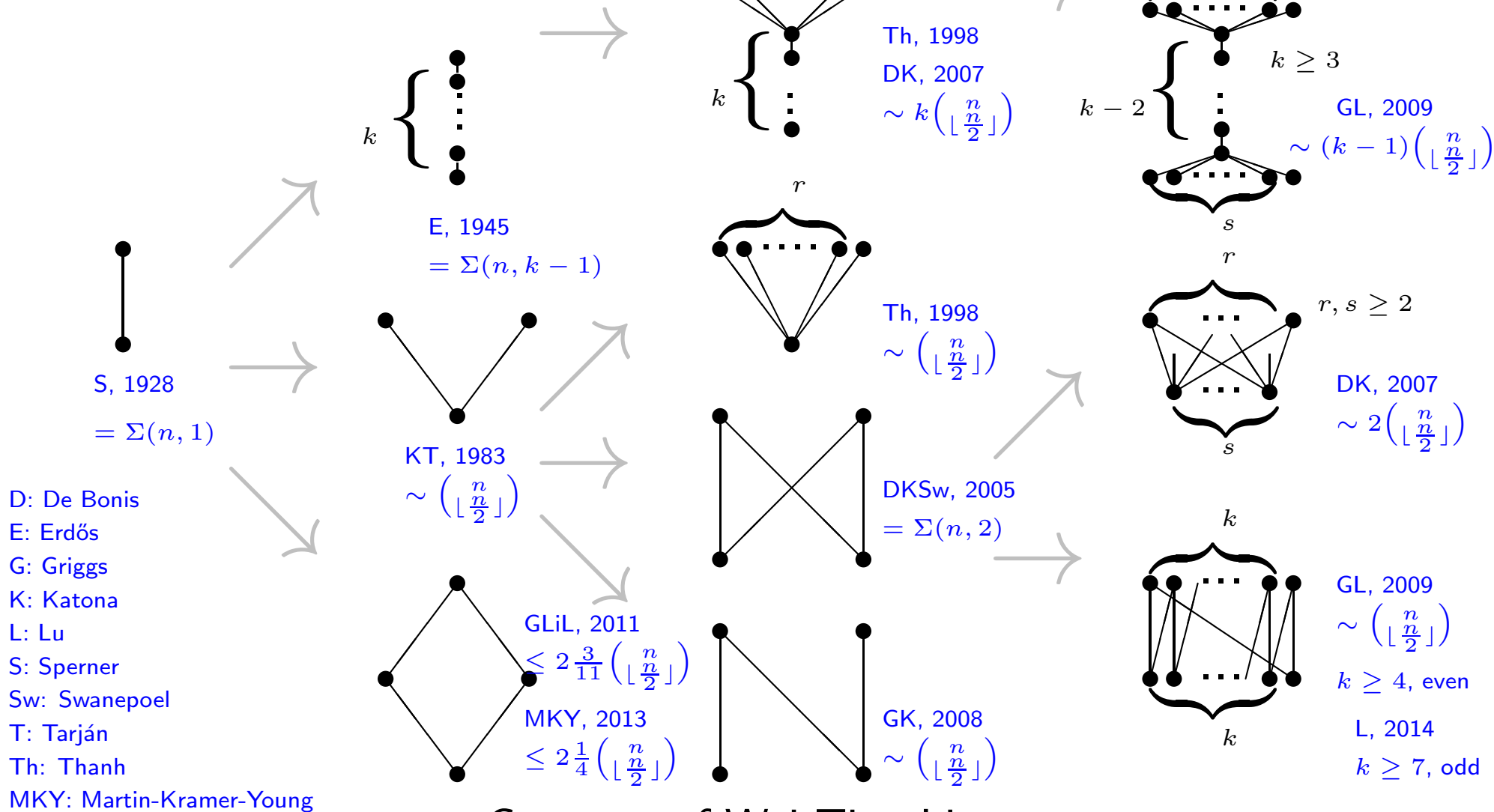
**Erdős [1945]:**

$$\begin{aligned} La(n, P_k) &= \Sigma(n, k-1) \\ &= (k-1 + o(1)) \binom{n}{\lfloor \frac{n}{2} \rfloor} \end{aligned}$$



# Summary of Results

$La(n, P)$  for various posets



Courtesy of Wei-Tian Li



# A conjecture

**Conjecture [Griggs-Lu, 2009]:**

The limit  $\pi(P) := \lim_{n \rightarrow \infty} \frac{\text{La}(n, P)}{\binom{n}{\lfloor \frac{n}{2} \rfloor}}$  exists and is an integer.



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What about we restrict  $\mathcal{F}$  to  $e(P) + 1$  consecutive levels?





# Lubell function

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for any  $\mathcal{F} \subset 2^{[n]}$ .



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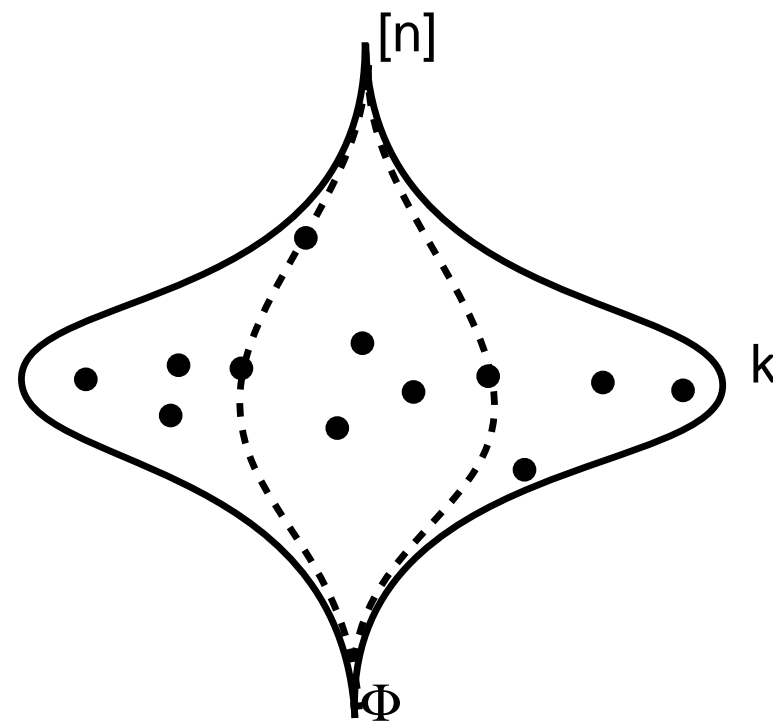
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Then

$$h_n(\mathcal{F}) = \mathbb{E}(X).$$



# Uniform $L$ -bounded posets

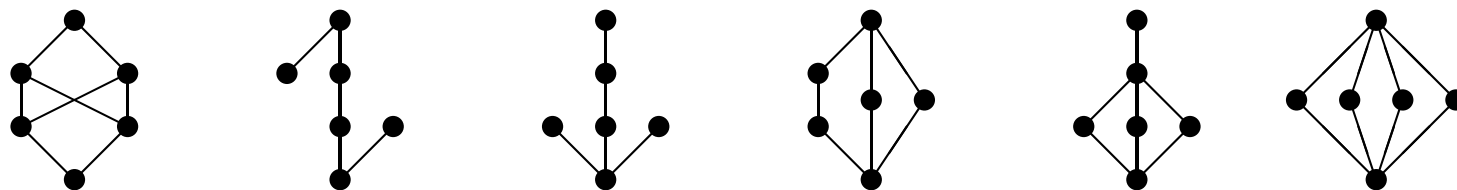
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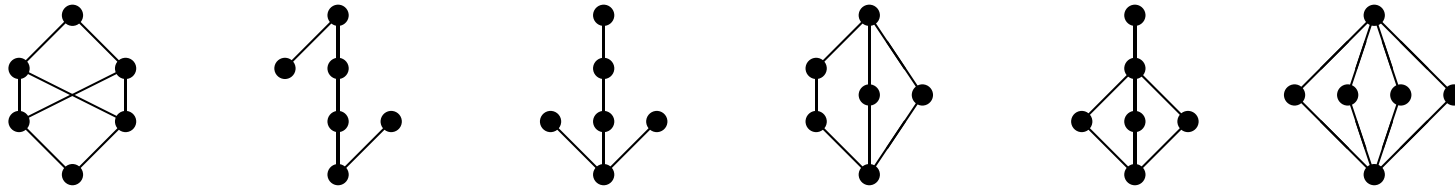
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**Lemma [Griggs, Li, and Lu, 2011]:** If  $P$  is a uniformly L-bounded poset  $P$ , then the maximum  $P$ -free family must be  $\mathcal{B}(n, e(P))$ . In particular,

$$\text{La}(n, P) = \Sigma(n, e(P)) \text{ for all } n.$$



# Trees and Crowns

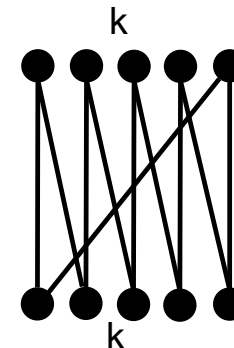
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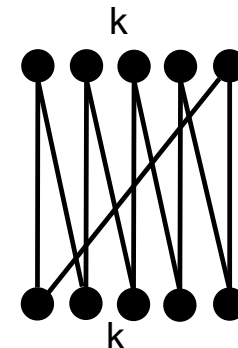
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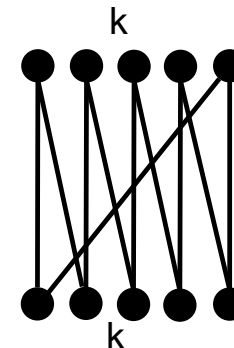




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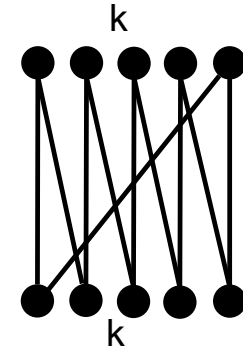
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- **Lu [2014]:** For odd  $t \geq 7$ ,  $\pi(\mathcal{O}_{2t}) = 1$ .

$\pi(\mathcal{O}_6)$  and  $\pi(\mathcal{O}_{10})$  are still open.



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If  $\mathcal{F}$  is contained in three consecutive layers, then the upper bound can be further improved:

- **Axenovich-Manske-Martin [2009]:**  $\pi^*(\mathcal{D}_2) \leq 2.2071$ .
- **Manske-Shen [2012]:**  $\pi^*(\mathcal{D}_2) \leq 2.1547$ .
- **Balogh-Hu-Lidický-Liu [2014]:**  $\pi^*(\mathcal{D}_2) \leq 2.15121$ .





# A weaker conjecture

**A consecutive-level version:** For any poset  $P$ , let

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- Is  $\pi_c(P) = e(P)$ ?



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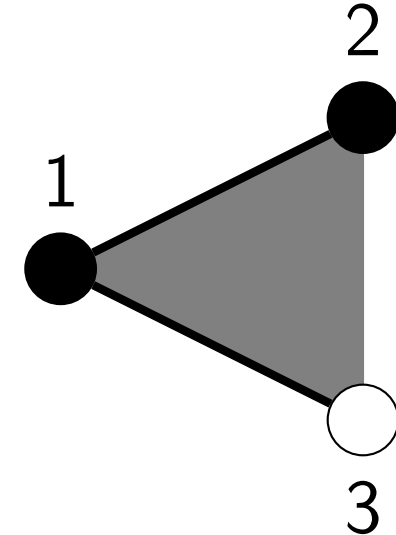
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**Example:**  $H = (V, E)$  where

- $V = \{1, 2, 3\}$
- $E = \{\{1\}, \{2\}, \{1, 2\}, \{1, 3\}, \{1, 2, 3\}\}$ .

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- should be useful for problems in other areas.



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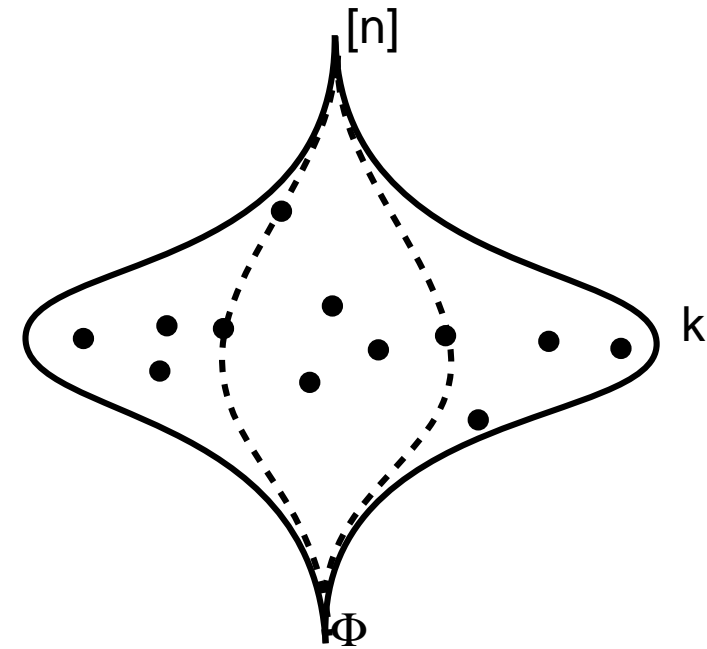
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# Turán problems for $R$ -graphs

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It generalizes **Katona-Nemetz-Simonovits'** result for  $r$ -graphs.



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For any family of  $R$ -graphs  $\mathcal{H}$ , we have

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# Supersaturation

**Supersaturation Lemma for  $r$ -graphs:** For any  $r$ -graph  $H$  and  $a > 0$  there are  $b, n_0 > 0$  so that if  $G$  is a  $r$ -graph on  $n > n_0$  vertices with  $|E(G)| > (\pi(H) + a) \binom{n}{r}$  then  $G$  contains at least  $b \binom{n}{|V(H)|}$  copies of  $H$ .



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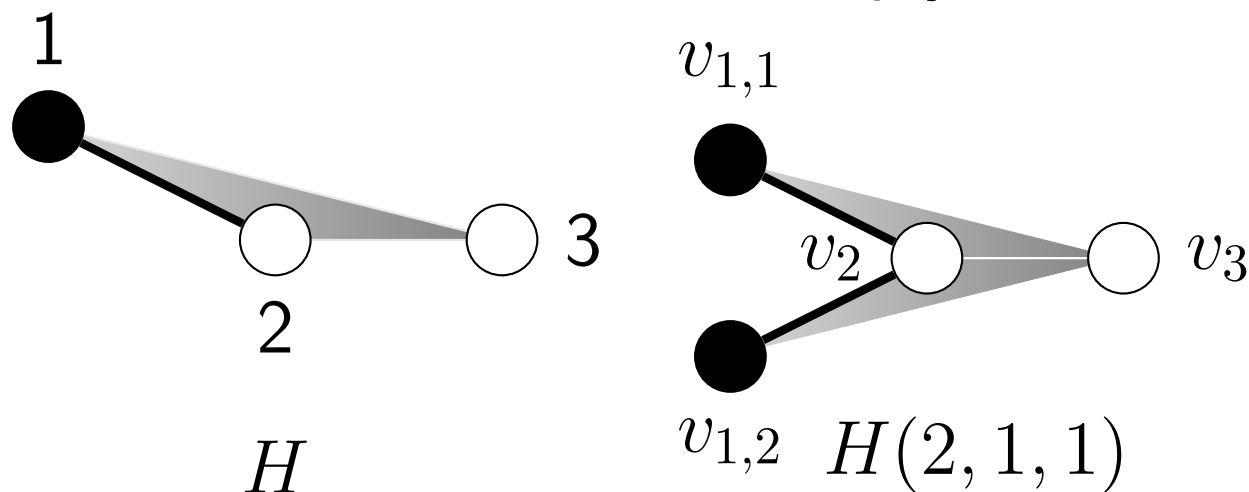


# Blowup for $R$ -graphs

For any hypergraph  $H_n$  and positive integers  $s_1, s_2, \dots, s_n$ , the blowup of  $H$  is a new hypergraph  $(V, E)$ , denoted by  $H_n(s_1, s_2, \dots, s_n)$ , satisfying

1.  $V := \sqcup_{i=1}^n V_i$ , where  $|V_i| = s_i$ .
2.  $E = \cup_{F \in E(H)} \prod_{i \in F} V_i$ .

When  $s_1 = s_2 = \dots = s_n = s$ , we simply write it as  $H(s)$ .

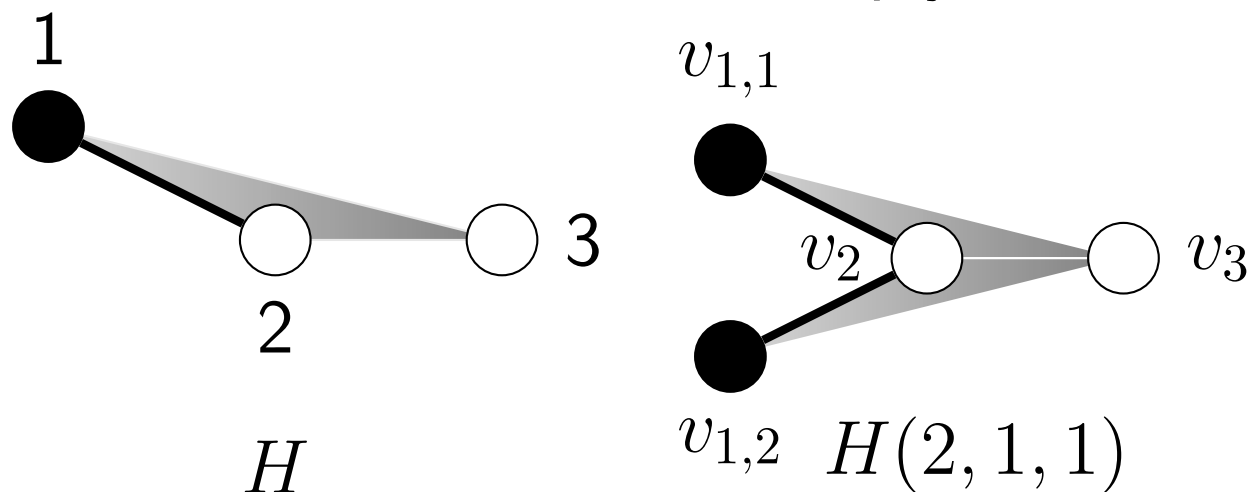


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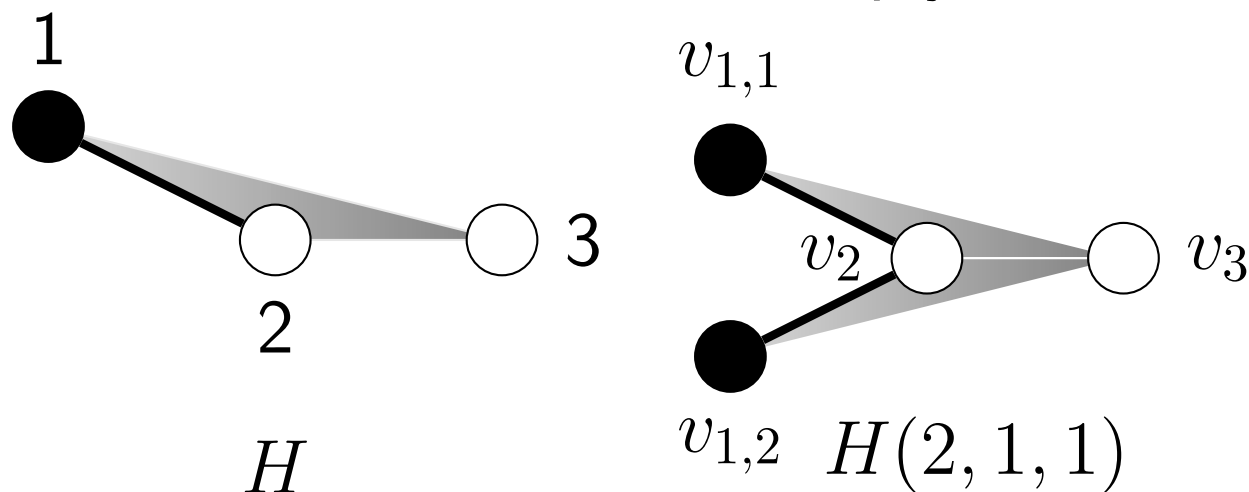


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**Corollary:** If  $H_1 \subset H_2 \subset H_1(s)$ , then  $\pi(H_2) = \pi(H_1)$ .

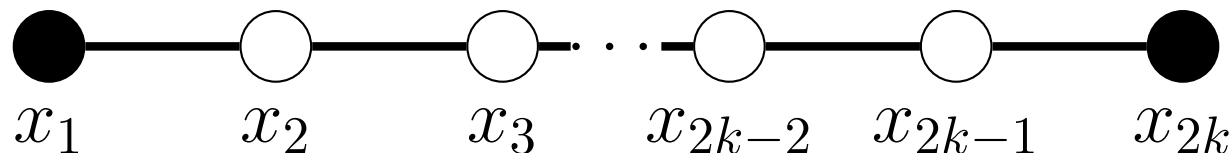


# Turán density of $\{1, 2\}$ -graphs

**Theorem [Johnston-Lu 2012+]:** For any  $\{1, 2\}$ -graph  $H$ , we have

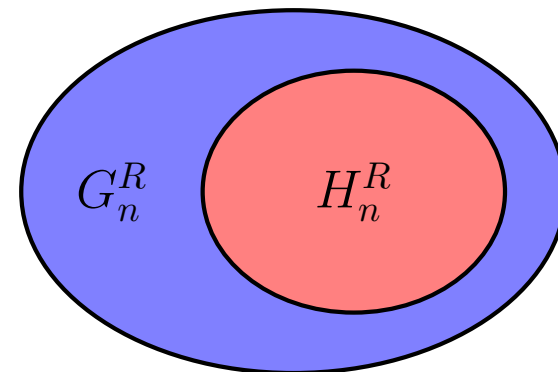
$$\pi(H) = \begin{cases} 2 - \frac{1}{\chi(H^2)-1} & \text{if } \chi(H^2) > 2; \\ \frac{5}{4} & \text{if } \chi(H^2) \text{ and } \bar{P}_2 \subseteq H; \\ \frac{9}{8} & \text{if } H^2 \text{ is bipartite and} \\ & \min\{k : \bar{P}_{2k} \subseteq H\} \geq 2; \\ 1 & \text{if } H^2 \text{ is bipartite and} \\ & \bar{P}_{2k} \not\subseteq H \text{ for any } k \geq 1. \end{cases}$$

Here  $H^2$  is the level-2 subgraph of  $H$  and  $\bar{P}_{2k}$  is the following closed even path.



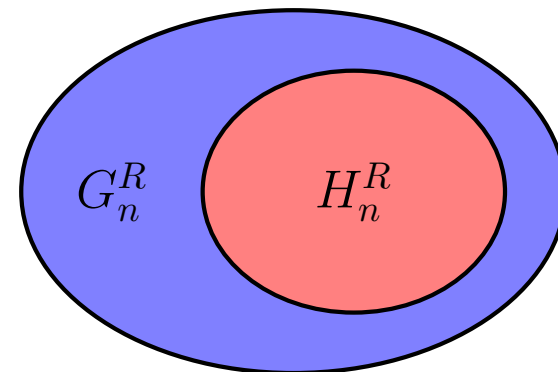
# Jump problem of $R$ -graphs

A value  $\alpha \in [0, |R|)$  is a **jump** for  $R$  if  
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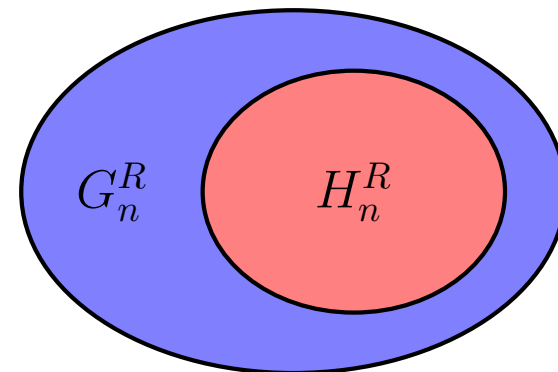


**Fact :** Every  $\alpha \in [0, 1)$  is a jump for 2 (graphs).

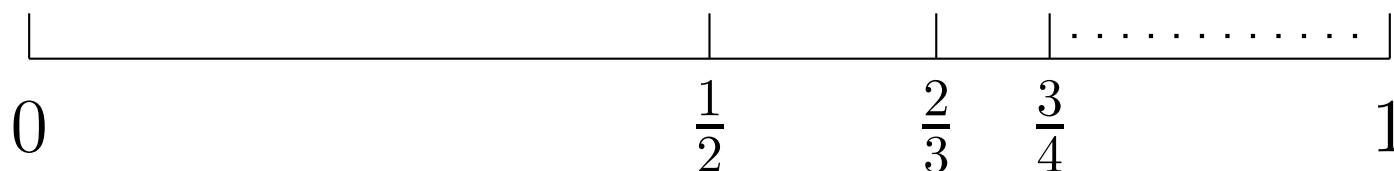


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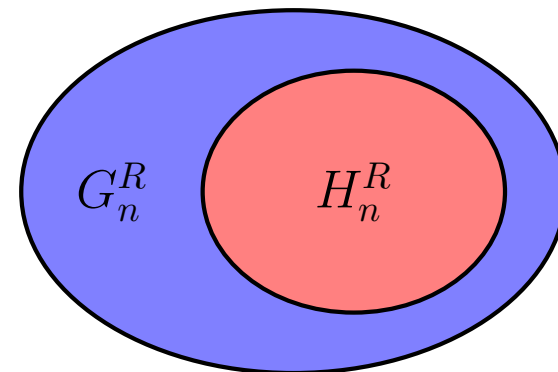


Let  $k$  be an integer so that  $\alpha \in [\frac{k-1}{k}, \frac{k}{k+1})$ . Then by Erdős-Simonovits-Stone Theorem, every graph  $G_n$  with density  $\alpha + \epsilon$  contains a subgraph  $K_{k+1}(s)$ , which has density  $\geq \frac{k}{k+1}$ .

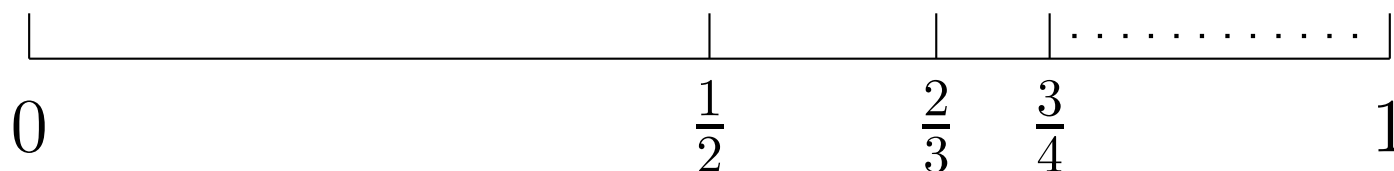


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Erdős asked “**Do hypergraphs jump?**”



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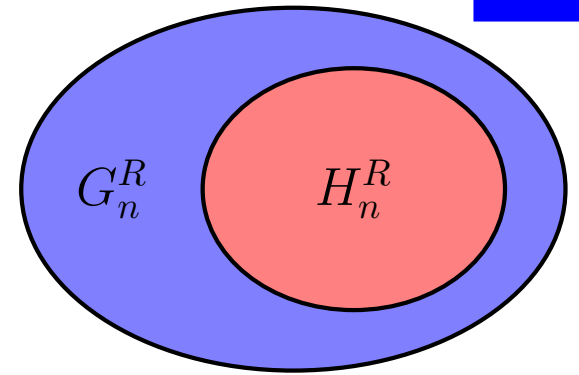
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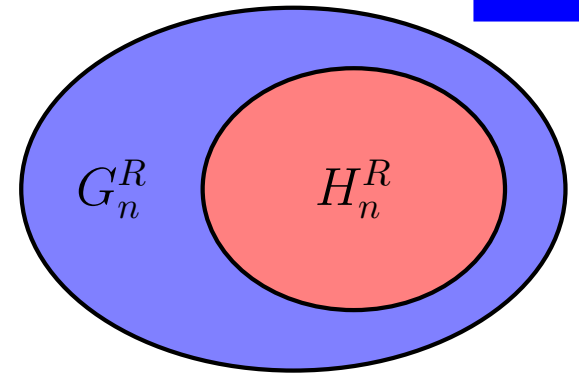
# Strong jump and weak jump

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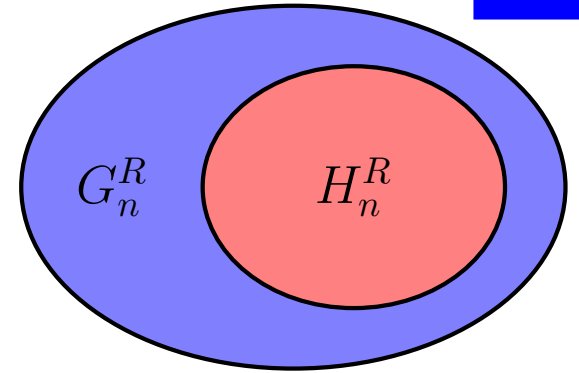


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## Properties:

- Strong jump implies jump.
- The set of all strong jumps is **open**.
- If  $\alpha_i$  is not a strong jump for (disjoint)  $R_i$ , then  $\sum_i \alpha_i$  is not a strong jump for  $\sqcup R_i$ .



$\alpha$  is a **weak jump** if it is a jump but not strong jump.

# Characterization of non-jump

A property  $\mathcal{P}$  is called **hereditary** if it is closed under taking induced subgraphs.  $\pi(\mathcal{P}) := \lim_{n \rightarrow \infty} \max_{G \in \mathcal{P}_n} h_n(G)$  exists.





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**Theorem [Johnston-Lu 2014+]:**

1. A value  $\alpha \in [0, |R|]$  is not a strong jump for  $R$  iff there exists a hereditary property  $\mathcal{P}$  of  $R$ -graphs such that  $\pi(\mathcal{P}) = \alpha$ .



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2. A value  $\alpha \in [0, |R|)$  is not a jump for  $R$  iff there exists a sequence of values  $\{\alpha_i\}$  satisfying
  - (a) All  $\alpha_i$  are not strong jumps for  $R$ .
  - (b) The sequence  $\{\alpha_i\}$  decreases and goes to the limit  $\alpha$ .



# Strong jump for $\{1, 2\}$

**Theorem [Johnston-Lu 2013+]:** The non-strong-jumps for  $\{1, 2\}$  are precisely

$$0, \frac{1}{2}, \frac{2}{3}, \dots, \frac{k}{k+1}, \dots, 1, \frac{9}{8}, \frac{7}{6}, \dots, 1 + \frac{k}{4(k+1)}, \dots, \frac{5}{4},$$
$$\frac{3}{2}, \frac{5}{3}, \dots, \frac{2k+1}{k+1}, \dots, 2.$$

All these values are Turán densities. For  $k \geq 1$ ,

$$\frac{k}{k+1} = \pi(K_{k+1}^2), \quad \frac{2k+1}{k+1} = \pi(K_{k+1}^{\{1,2\}})$$
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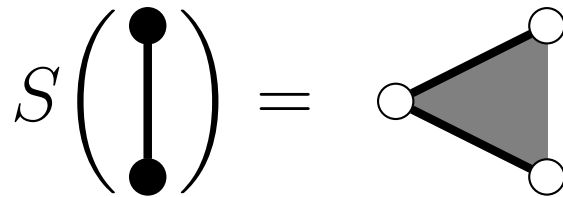
For any finite family  $\mathcal{H}$  of  $\{1, 2\}$ -graphs,  $\pi(\mathcal{H})$  must be one of the values listed above.



# Suspension

**Suspension**  $S(H)$ :

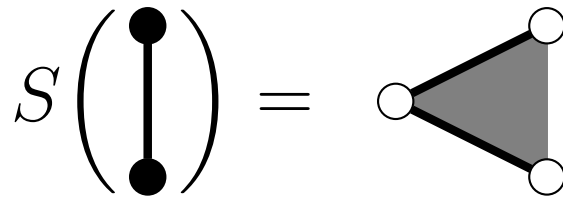
- $V(S(H)) = V(H) \cup \{*\}$ ,
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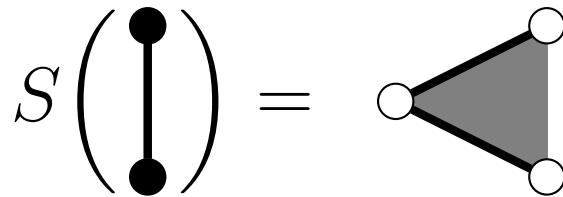
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**Lemma [Johnston-Lu 2012+]:** For any hypergraph  $H$  we have that  $\pi(S(H)) \leq \pi(H)$ .

**Corollary:** Let  $S^t(H) := S(S(\dots S(H)))$ : iterating  $t$  times. Then the limit  $\lim_{t \rightarrow \infty} \pi(S^t(H))$  always exists.



# Connection to $\pi_c(P)$

**Theorem [Johnston-Lu 2014+]:** For any poset  $P$ , limit  $\pi_c(P) := \lim_{n \rightarrow \infty} \frac{\text{La}_c(n, P)}{\binom{n}{\lfloor \frac{n}{2} \rfloor}}$  exists.





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- Thus,  $\pi_c(P) = \lim_{t \rightarrow \infty} \pi(S^t(H))$ . □



# Suspension conjecture

**Conjecture:** For any  $t$  and any hypergraph  $H$ ,

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- This conjecture implies the consecutive-layer version of Griggs-Lu’s conjecture:

$$\text{For any poset } P, \pi_c(P) = e(P).$$



# Partial result

**Theorem [Johnston-Lu 2012+]:** Suppose that  $H$  is a subgraph of the blowup of a chain. Let  $k_1$  be the minimum number in  $R(H)$ . Suppose  $k_1 \geq 2$ , and  $H'$  is a new hypergraph obtained by adding finitely many edges of type  $k_1 - 1$  arbitrarily to  $H$ . Then

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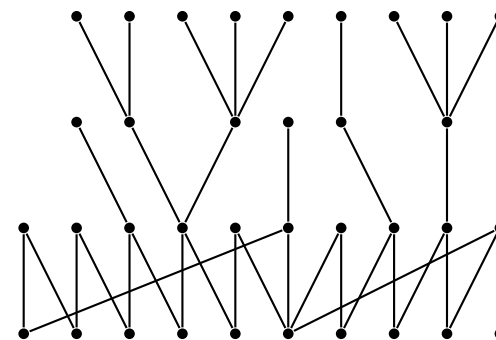


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**Corollary:** If a poset  $P$  has a representation of a hypergraph  $H$  described above, then the consecutive-layer version of Griggs-Lu's conjecture holds for  $P$ .



# Open questions

General questions:

- For any finite poset  $P$ , is  $\pi(P) = e(P)$ ?
- For any hypergraph  $H$ , is  $\lim_{t \rightarrow \infty} \pi(S^t(H)) = |R(H)| - 1$ ?
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Specific questions:

- For posets, determine  $\pi(\mathcal{D}_2)$ ,  $\pi(\mathcal{O}_6)$ , and  $\pi(\mathcal{O}_{10})$ .
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# Thank You

