

**Homework:** (due November 9 by class time)

Page 20, the one Homework problem there

Page 22, Problem (1) and (2)

# Berlekamp's Method

This algorithm determines the factorization of a polynomial  $f(x)$  modulo a prime  $p$ . For simplicity, we suppose  $f(x)$  is monic and squarefree in modulo  $p$ .

**Notation.** We set  $n = \deg f(x)$ . We use  $\mathbb{F}_p$  to denote the field of arithmetic mod  $p$ . For  $w(x) \in \mathbb{Z}[x]$ , define

$$w(x) \text{ modd } (p, f(x))$$

as the unique  $g(x) \in \mathbb{Z}[x]$  satisfying  $\deg g \leq n - 1$ , with each coefficient of  $g(x)$  in the set  $\{0, 1, \dots, p - 1\}$  and  $g(x) \equiv w(x) \pmod{p, f(x)}$ . We can also view  $w(x) \text{ modd } (p, f(x))$  as being in  $\mathbb{F}_p[x]$ .

Example.  $f(x) = x^4 + x^3 + x + 1$  and  $p = 2$

Let  $A$  be the matrix with  $j$ th column corresponding to the coefficients of

$$x^{(j-1)p} \text{ modd } (p, f(x)).$$

Specifically, write

$$x^{(j-1)p} \text{ modd } (p, f(x)) = \sum_{i=1}^n a_{ij} x^{i-1} \quad \text{for } 1 \leq j \leq n.$$

Then we set  $A = (a_{ij})_{n \times n}$ .

- The vector  $\langle 1, 0, 0, \dots, 0 \rangle$  will be an eigenvector for  $A$  associated with the eigenvalue 1.
- The set of all such vectors is the null space of  $B = A - I$ .
- This null space is spanned by  $k = n - \text{rank}(B)$  linearly independent vectors which can be determined by performing row operations on  $B$ .

Suppose  $\vec{v} = \langle b_1, b_2, \dots, b_n \rangle$  is in the null space, and set  $g(x) = \sum_{j=1}^n b_j x^{j-1}$ . Observe that

$$g(x^p) \equiv g(x) \pmod{p, f(x)}.$$

Moreover, the  $g(x)$  with this property are precisely the  $g(x)$  with coefficients obtained from the components of vectors  $\vec{v}$  in the null space of  $B$ .

## Berlekamp's Method

*Theorem. Let  $f(x)$  be a monic polynomial in  $\mathbb{Z}[x]$ . Suppose  $f(x)$  is squarefree in  $\mathbb{F}_p[x]$ . Let  $g(x)$  be a polynomial with coefficients obtained from a vector in the null space of  $B = A - I$  as described above. Then*

$$f(x) \equiv \prod_{s=0}^{p-1} \gcd_p(g(x) - s, f(x)) \pmod{p}.$$

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**Comment:** If  $\deg g > 0$ , then the factorization is non-trivial.

**Do MAPLE examples.**

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$$g(x)^p - g(x) \equiv \prod_{s=0}^{p-1} (g(x) - s) \pmod{p}$$

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Etc.

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**Comments:**

- If  $g(x)$  isn't constant, then  $1 \leq \deg(g(x) - s) < \deg f(x)$  for each  $s$ , so we get a non-trivial factorization of  $f(x)$  in  $\mathbb{F}_p[x]$ .
- The above will NOT necessarily completely factor  $f(x)$  modulo  $p$ .



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$$f(x) \equiv \prod_{s=0}^{p-1} \gcd_p(g(x) - s, f(x)) \pmod{p}.$$

**Comments:**

- One can completely factor  $f(x)$  by taking the product of the greatest common divisors of each factor of  $f(x)$  obtained above with  $h(x) - s$  (with  $0 \leq s \leq p - 1$ ) where  $h(x)$  is obtained from another of the  $k$  vectors spanning the null space of  $B$ . This will obtain a new non-trivial factor of  $f(x)$  in  $\mathbb{F}_p[x]$ . Continuing to use all  $k$  vectors will produce a complete factorization of  $f(x)$  in  $\mathbb{F}_p[x]$ .

# Hensel Lifting

The method takes a factorization of  $f(x)$  modulo a prime  $p$  and produces a factorization of  $f(x)$  modulo  $p^k$  for an arbitrary positive integer  $k$ .

$$f(x) \equiv u(x)v(x) \pmod{p}$$

We only consider  $f(x)$  monic and  $u(x)$  and  $v(x)$  relatively prime in  $\mathbb{F}_p[x]$ .

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We only consider  $f(x)$  monic and  $u(x)$  and  $v(x)$  relatively prime monic.  $\deg u_k(x) = \deg u(x), \deg v_k(x) = \deg v(x)$  to be

Hensel Lifting will produce, for any positive integer  $k$ , monic polynomials  $u_k(x)$  and  $v_k(x)$  in  $\mathbb{Z}[x]$  satisfying

$$u_k(x) \equiv u(x) \pmod{p}, \quad v_k(x) \equiv v(x) \pmod{p},$$

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We start with  $u_1(x) = u(x)$  and  $v_1(x) = v(x)$ . Now, given  $u_k(x)$  and  $v_k(x)$ , we explain how to obtain  $u_{k+1}(x)$  and  $v_{k+1}(x)$ .

Compute

$$w_k(x) \equiv \frac{1}{p^k} (f(x) - u_k(x)v_k(x)) \pmod{p}.$$

Observe that  $\deg w_k(x) < \deg f(x)$  and

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Since  $u(x)$  and  $v(x)$  are relatively prime in  $\mathbb{F}_p[x]$ , we can find  $a(x)$  and  $b(x)$  in  $\mathbb{F}_p[x]$  (depending on  $k$ ) such that

$$a(x)u(x) + b(x)v(x) \equiv w_k(x) \pmod{p}.$$

One can take  $\deg a(x) < \deg v(x)$  and  $\deg b(x) < \deg u(x)$ .

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$$u_{k+1}(x) = u_k(x) + b(x)p^k \quad \text{and} \quad v_{k+1}(x) = v_k(x) + a(x)p^k.$$

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Hensel Lifting will produce, for any positive integer  $k$ , monic polynomials  $u_k(x)$  and  $v_k(x)$  in  $\mathbb{Z}[x]$  satisfying

$$u_k(x) \equiv u(x) \pmod{p}, \quad v_k(x) \equiv v(x) \pmod{p},$$

and

$$f(x) \equiv u_k(x)v_k(x) \pmod{p^k}.$$

**Comment:** A complete factorization of  $f(x)$  modulo  $p^k$  can be obtained from a complete factorization of  $f(x)$  modulo  $p$  by modifying this idea.

**Do MAPLE examples.**

# An Inequality of Landau

Definitions and Notations. For

$$f(x) = \sum_{j=0}^n a_j x^j = a_n \prod_{j=1}^n (x - \alpha_j),$$

with  $a_n \neq 0$ , we set

$$\|f\| = \left( \sum_{j=0}^n a_j^2 \right)^{1/2} \quad \text{and} \quad M(f) = |a_n| \prod_{j=1}^n \max\{1, |\alpha_j|\},$$

the latter being the Mahler measure of the polynomial  $f(x)$ .

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the latter being the Mahler measure of the polynomial  $f(x)$ .

We also define the reciprocal of  $f(x)$  as

$$\tilde{f}(x) = x^{\deg f} f(1/x).$$