

LOCAL MAXIMA & MINIMA

SECTION 4.1 IN THE TEXT

OBJECTIVES:

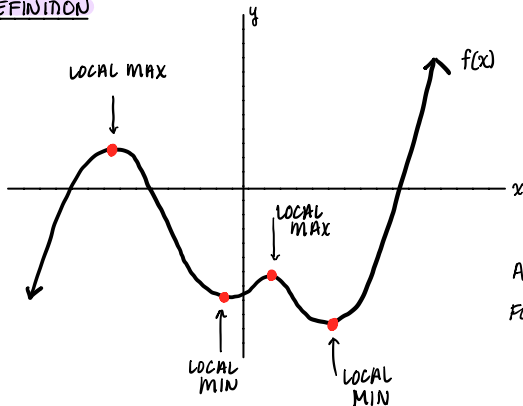
- DEFINE LOCAL MINS/MAXS, & DEVISE A METHOD TO FIND THEM

RECALL THAT THE DERIVATIVE $f'(x)$ OF A FUNCTION $f(x)$ TELLS US THE FOLLOWING:

- WHENEVER $f'(x) > 0$ $\rightsquigarrow$ f INCREASING
- WHENEVER $f'(x) < 0$ $\rightsquigarrow$ f DECREASING
- WHENEVER $f'(x) = 0$ $\rightsquigarrow$ f CONSTANT

OFTENTIMES, WE WANT TO FIGURE OUT WHERE A FUNCTION IS SMALLEST OR LARGEST RELATIVE TO NEARBY POINTS. (SUCH AS MAXIMIZING PROFIT, OR MINIMIZING COST)

DEFINITION



- WE SAY THAT f HAS A **LOCAL MIN** AT THE POINT $x=p$ IF $f(p) \leq$ VALUES OF f FOR POINTS AROUND $x=p$.

- WE SAY THAT f HAS A **LOCAL MAX** AT THE POINT $x=p$ IF $f(p) \geq$ VALUES OF f FOR POINTS AROUND $x=p$.

? SOO... HOW DO WE DETECT LOCAL MAXS & MINS? ?

DEFINITION ANY POINT $x=p$ WHERE $f'(p)=0$ OR $f'(p)$ IS UNDEFINED IS CALLED A **CRITICAL POINT** OF f .

FACT: IF A FUNCTION HAS A LOCAL MIN OR MAX AT $x=p$, THEN $x=p$ IS A CRITICAL POINT!



CAUTION!

IF $x=p$ IS A CRITICAL POINT, IT IS NOT NECESSARILY A LOCAL MAX OR LOCAL MIN

Ex. FIND LOCAL MINS & MAXS OF $f(x) = x^2 - 5x + 2$

GOAL: FIND ALL CRITICAL POINTS OF $f(x)$

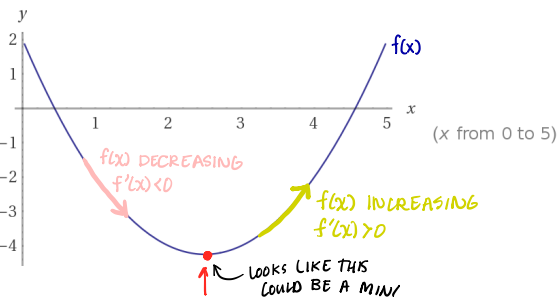
THEN TRY TO DETERMINE IF THEY ARE
MAXIMA/MINIMA.

BY DEFN, CRITICAL POINTS ARE POINTS $x=p$
WHERE $f'(p)=0$. SO, LET'S FIND $f'(x)$ AND SET
IT EQUAL TO ZERO.

$$f'(x) = 2x - 5$$

WE'LL SET $f'(x) = 0$ AND SOLVE FOR x .

$$f'(x) = 2x - 5 = 0 \rightarrow 2x = 5 \rightarrow \boxed{x = 5/2}$$



HOW CAN WE DETERMINE IF $x = 5/2$ IS A LOCAL MIN OR MAX?

* NOTICE: TO THE LEFT OF $x = 5/2$, $f(x)$ DECREASING $\Rightarrow f'(x) < 0$

TO THE RIGHT OF $x = 5/2$, $f(x)$ INCREASING $\Rightarrow f'(x) > 0$

$f(x)$ GOES FROM DECREASING TO INCREASING AT $x = 5/2$

$\Rightarrow \underline{x = 5/2 \text{ IS A LOCAL MIN OF } f(x)}$

→ ALTERNATIVELY, WE CAN
SAY THAT $f'(x)$ GOES FROM
NEGATIVE TO POSITIVE AROUND
 $x = 5/2$

THE FIRST DERIVATIVE TEST FOR LOCAL MAXIMA & MINIMA

LET $x=p$ A CRITICAL POINT OF $f(x)$. ($f'(p)=0$ OR $f'(p)$ UNDEFINED)

• IF $f'(x)$ CHANGES FROM $-$ TO $+$ AROUND $x=p$, THEN
 $f(x)$ HAS A LOCAL MINIMUM AT $x=p$.

• IF $f'(x)$ CHANGES FROM $+$ TO $-$ AROUND $x=p$, THEN
 $f(x)$ HAS A LOCAL MAXIMUM AT $x=p$.

Ex. FIND ANY MAXIMA OR MINIMA OF $f(x) = x^5(2-x)^6$.

(WE'LL WANT TO USE THE FIRST DERIVATIVE TEST)

STEP 1 FIND $f'(x)$.

FOR THIS WE NEED PRODUCT & CHAIN RULE.

$$f(x) = \underset{\substack{\downarrow \\ h(x)}}{x^5} (\underset{\substack{\downarrow \\ g(x)}}{2-x})^6$$

PRODUCT RULE:

$$\left. \begin{array}{ll} h(x) = x^5 & h'(x) = 5x^4 \\ g(x) = (2-x)^6 & g'(x) = \text{CHAIN RULE} \\ & = -6(2-x)^5 \end{array} \right\} f'(x) = -6x^5(2-x)^5 + 5x^4(2-x)^6$$

STEP 2

SET $f'(x)=0$, SOLVE FOR x

WE HAVE: $-6x^5(2-x)^5 + 5x^4(2-x)^6 = 0$

$$x^4(2-x)^5(-6x + 5(2-x)) = 0$$

$$x^4(2-x)^5(-6x + 10 - 5x) = 0$$

$$x^4(2-x)^5(-11x + 10) = 0$$

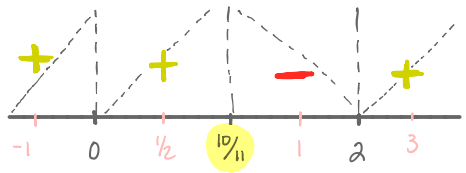
NOW AT THIS POINT WE CAN SET EACH FACTOR $= 0$ TO GET SOLUTIONS FOR x

- $x^4 = 0 \longrightarrow x = 0$
 - $2-x = 0 \longrightarrow x = 2$
 - $-11x + 10 = 0 \longrightarrow x = 10/11$
- These are my critical points!

STEP 3

DETERMINE BEHAVIOR OF $f'(x)$ AROUND CRITICAL POINTS.

I LIKE TO USE A NUMBER LINE TO DO THIS.



CHOOSE TEST POINTS AROUND EACH OF THE CRITICAL POINTS

USE THESE TO FIGURE OUT IF $f'(x)$ IS POS. OR NEGATIVE!

$$\begin{array}{ll} f'(-1) = \underset{+}{(-1)^4} \underset{+}{(2-(-1))^5} \underset{+}{(-11(-1)+10)} \rightsquigarrow + & f'(-1) \text{ IS POSITIVE} \\ f'(1/2) = \underset{+}{(1/2)^4} \underset{+}{(2-(1/2))^5} \underset{+}{(-11(1/2)+10)} \rightsquigarrow + & f'(1/2) \text{ IS POSITIVE} \\ f'(1) = \underset{+}{(1)^4} \underset{+}{(2-(1))^5} \underset{-}{(-11(1)+10)} \rightsquigarrow - & f'(1) \text{ IS NEGATIVE} \\ f'(3) = \underset{+}{(3)^4} \underset{-}{(2-(3))^5} \underset{-}{(-11(3)+10)} \rightsquigarrow + & f'(3) \text{ IS POSITIVE} \end{array}$$

$\Rightarrow x = 10/11$ IS A LOCAL MAXIMUM OF $f(x)$!

$\Rightarrow x = 2$ IS A LOCAL MINIMUM OF $f(x)$!