

# Topic Course on Probabilistic Methods (Week 3) Alterations

Linyuan Lu

University of South Carolina



Univeristy of South Carolina, Spring, 2019

## Introduction



The topic course is mostly based the textbook "The probabilistic Method" by Noga Alon and Joel Spencer (third edition 2008, John Wiley & Sons, Inc. ISBN 9780470170205 or fourth edition ISBN-13: 978-1119061953.)







# **Selected topics**



- Linearity of Expectation (2 weeks)
- Alterations (1 week)
- The second moment method (1 week)
- The Local Lemma (1-2 weeks)
- Correlation Inequalities (1 week)
- Large deviations (1-2 weeks)
- Poisson Paradigm (1 week)
- Random graphs (2 weeks)
- Discrepancy (1 week)
- Entropy (1 week)



# **Subtopics**



#### Alteration

- Ramsey number R(r,r)
- Combinatorial geometry
- Ramsey number R(k,r)
- Property B problem revisited



## **Alteration method**



Suppose that the "random" structure does not have all desired properties but many have a few "blemishes". With a small alteration we remove the blemishes, giving the desired structures.





**Theorem:**  $R(r,r) > (1+o(1))\frac{1}{e}r2^{r/2}$ .



# Ramsey number R(r,r)

**Theorem:**  $R(r,r) > (1+o(1))\frac{1}{e}r2^{r/2}$ .

**Proof:** Color the edges of  $K_n$  in two colors with equal probability randomly and independently. Let X be the number of monochromatic  $K_r$ . Then

$$\mathbf{E}(X) = \binom{n}{r} 2^{1 - \binom{r}{2}}.$$



# Ramsey number R(r,r)

**Theorem:**  $R(r,r) > (1+o(1))\frac{1}{e}r2^{r/2}$ .

**Proof:** Color the edges of  $K_n$  in two colors with equal probability randomly and independently. Let X be the number of monochromatic  $K_r$ . Then

$$\mathbf{E}(X) = \binom{n}{r} 2^{1 - \binom{r}{2}}.$$

If  $X < \frac{n}{2}$ , then we can delete at most  $\frac{n}{2}$  to destroy all monochromatic  $K_r$ . Thus,  $R(r,r) > \frac{n}{2}$ .



# Ramsey number R(r,r)

**Theorem:**  $R(r,r) > (1+o(1))\frac{1}{e}r2^{r/2}$ .

**Proof:** Color the edges of  $K_n$  in two colors with equal probability randomly and independently. Let X be the number of monochromatic  $K_r$ . Then

$$\mathbf{E}(X) = \binom{n}{r} 2^{1 - \binom{r}{2}}.$$

If  $X < \frac{n}{2}$ , then we can delete at most  $\frac{n}{2}$  to destroy all monochromatic  $K_r$ . Thus,  $R(r,r) > \frac{n}{2}$ .

This gives  $R(r,r) > (1+o(1))\frac{1}{e}r2^{r/2}$ .



# **Combinatorial geometry**

S: a set of n points in the unit square [0, 1]<sup>2</sup>.
T(S): the minimum area of a triangle whose vertices are three distinct points of S.

**Komlós, Pintz, Szemerédi (1982):** There exists a set S of n points in the unit square such that  $T(S) = \Omega(\frac{\log n}{n^2})$ .



# **Combinatorial geometry**

S: a set of n points in the unit square [0, 1]<sup>2</sup>.
T(S): the minimum area of a triangle whose vertices are three distinct points of S.

**Komlós, Pintz, Szemerédi (1982):** There exists a set S of n points in the unit square such that  $T(S) = \Omega(\frac{\log n}{n^2})$ .

Here we prove a weak result:  $\exists S \text{ such that } T(S) \geq \frac{1}{100n^2}$ .



# **Combinatorial geometry**

S: a set of n points in the unit square [0, 1]<sup>2</sup>.
T(S): the minimum area of a triangle whose vertices are three distinct points of S.

**Komlós, Pintz, Szemerédi (1982):** There exists a set S of n points in the unit square such that  $T(S) = \Omega(\frac{\log n}{n^2})$ .

Here we prove a weak result:  $\exists S \text{ such that } T(S) \geq \frac{1}{100n^2}$ .

**Proof:** Select 2n random points uniformly and independently from  $[0, 1]^2$ .

• 
$$P, Q, R$$
: three random points.

•  $\mu := \Delta PQR$ : the area of PQR.





## $\Pr(x \le |PQ| \le x + \Delta x) \le \pi (x + \Delta x)^2 - \pi x^2 \approx 2\pi x \Delta x.$

If  $\mu \leq \epsilon$ , then R is in the region of a rectangle of width  $\frac{4\epsilon}{x}$  and length at most  $\sqrt{2}$ .



## Proof

 $\Pr(x \le |PQ| \le x + \Delta x) \le \pi (x + \Delta x)^2 - \pi x^2 \approx 2\pi x \Delta x.$ 

If  $\mu \leq \epsilon$ , then R is in the region of a rectangle of width  $\frac{4\epsilon}{x}$  and length at most  $\sqrt{2}$ .

$$\Pr(\mu \le \epsilon) \le \int_0^{\sqrt{2}} (2\pi x) (\frac{4\sqrt{2}\epsilon}{x}) dx = 16\pi\epsilon.$$



## Proof

 $\Pr(x \le |PQ| \le x + \Delta x) \le \pi (x + \Delta x)^2 - \pi x^2 \approx 2\pi x \Delta x.$ 

If  $\mu \leq \epsilon$ , then R is in the region of a rectangle of width  $\frac{4\epsilon}{x}$  and length at most  $\sqrt{2}$ .

$$\Pr(\mu \le \epsilon) \le \int_0^{\sqrt{2}} (2\pi x) (\frac{4\sqrt{2}\epsilon}{x}) dx = 16\pi\epsilon.$$

Let X be the number of triangles with areas  $< \frac{1}{100n^2}$ .

$$\mathcal{E}(X) \le \binom{2n}{3} \frac{16\pi}{100n^2} < n.$$



## Proof

 $\Pr(x \le |PQ| \le x + \Delta x) \le \pi (x + \Delta x)^2 - \pi x^2 \approx 2\pi x \Delta x.$ 

If  $\mu \leq \epsilon$ , then R is in the region of a rectangle of width  $\frac{4\epsilon}{x}$  and length at most  $\sqrt{2}$ .

$$\Pr(\mu \le \epsilon) \le \int_0^{\sqrt{2}} (2\pi x) (\frac{4\sqrt{2}\epsilon}{x}) dx = 16\pi\epsilon.$$

Let X be the number of triangles with areas  $< \frac{1}{100n^2}$ .

$$\mathcal{E}(X) \le \binom{2n}{3} \frac{16\pi}{100n^2} < n.$$

Delete one vertex from each small triangle and leave at least n vertices. Now no triangle has area less that  $\frac{1}{100n^2}$ .



## **Ramsey number** R(k,t)

**Theorem:** For any 0 , we have

$$R(k,t) > n - \binom{n}{k} p^{\binom{k}{2}} - \binom{n}{t} (1-p)^{\binom{t}{2}}.$$



## Ramsey number R(k,t)

**Theorem:** For any 0 , we have

$$R(k,t) > n - {\binom{n}{k}} p^{\binom{k}{2}} - {\binom{n}{t}} (1-p)^{\binom{t}{2}}.$$

**Proof:** Color each edge independently in red or blue; the probability of being red is p while the probability of being blue is 1 - p. Let X be the number of red  $K_k$  and Y be the number of blue  $K_t$ .

$$E(X) = \binom{n}{k} p^{\binom{k}{2}}$$
$$E(Y) = \binom{n}{t} (1-p)^{\binom{t}{2}}.$$



Topic Course on Probabilistic Methods (week 3)



For k = 3, this alteration method gives  $R(3, t) \ge \left(\frac{t}{\ln t}\right)^{3/2}$ .



## **Ramsey number** R(3,t)

For k = 3, this alteration method gives  $R(3, t) \ge \left(\frac{t}{\ln t}\right)^{3/2}$ . The Lovasz Local Lemma gives  $R(3, t) \ge \left(\frac{t}{\ln t}\right)^2$ .



## **Ramsey number** R(3,t)

For k = 3, this alteration method gives  $R(3, t) \ge \left(\frac{t}{\ln t}\right)^{3/2}$ .

The Lovasz Local Lemma gives  $R(3,t) \ge \left(\frac{t}{\ln t}\right)^2$ .

Best lower bound: **Kim (1995)** and best upper bound: **Shearer (1983)**.

$$\frac{ct^2}{\ln t} \le R(3,t) \le (1+o(1))\frac{t^2}{\ln t}.$$



## Ramsey number R(3,t)

For k = 3, this alteration method gives  $R(3, t) \ge \left(\frac{t}{\ln t}\right)^{3/2}$ .

The Lovasz Local Lemma gives  $R(3,t) \ge \left(\frac{t}{\ln t}\right)^2$ .

Best lower bound: **Kim (1995)** and best upper bound: **Shearer (1983)**.

$$\frac{ct^2}{\ln t} \le R(3,t) \le (1+o(1))\frac{t^2}{\ln t}.$$

Before Shearer's result, **Ajtai-Komlós and Szemerédi** (1980) proved  $R(3,t) \leq \frac{c't^2}{\ln t}$ .





# Recoloring



#### **Property B problem revisited:**

Let m(r) denote the minimum possible number of edges of an r-uniform hypergraph that does not have property B.





# Recoloring



#### **Property B problem revisited:**

Let m(r) denote the minimum possible number of edges of an r-uniform hypergraph that does not have property B.

#### Theorem [Radhakrishnan-Srinivasan 2000]:

$$m(r) \ge \Omega\left(\left(\frac{r}{\ln r}\right)^{1/2} 2^r\right).$$





# Recoloring



#### **Property B problem revisited:**

Let m(r) denote the minimum possible number of edges of an r-uniform hypergraph that does not have property B.

### Theorem [Radhakrishnan-Srinivasan 2000]:

$$m(r) \ge \Omega\left(\left(\frac{r}{\ln r}\right)^{1/2} 2^r\right).$$

**Proof:** For a fixed *r*-uniform hypergraph H = (V, E) with  $|E| = k2^{r-1}$ . Let  $p \in [0, 1]$  satisfying  $k(1-p)^r + k^2p < 1$ .



# **Coloring process**



Here is a two-round coloring process.

■ **First round:** Color each vertex independently in red or blue with equal probability. It ends with a coloring with expected k monochromatic edges. Let U be the set of vertices in some monochromatic edges.



# **Coloring process**

Here is a two-round coloring process.

- **First round:** Color each vertex independently in red or blue with equal probability. It ends with a coloring with expected k monochromatic edges. Let U be the set of vertices in some monochromatic edges.
- Second round: Consider vertices in U sequentially in the (random) order of V. A vertex  $u \in U$  is still dangerous if there is some monochromatic edge in the first coloring and for which no vertex has yet changed color.
  - If u is not dangerous, do nothing.
    - If u is still dangerous; with probability p, flip the color of u.







**Claim:** The algorithm fails with probability at most  $k(1-p)^r + k^2p$ .









**Claim:** The algorithm fails with probability at most  $k(1-p)^r + k^2p$ .

**Bad events:** An edge e is red in the final coloring if

• e was red in the first coloring and remained red through the final coloring; call this event  $A_e$ .









**Claim:** The algorithm fails with probability at most  $k(1-p)^r + k^2p$ .

**Bad events:** An edge e is red in the final coloring if

- e was red in the first coloring and remained red through the final coloring; call this event  $A_e$ .
  - e was not red in the first coloring but was red in the final coloring; call this event  $C_e$ .





# Claim



**Claim:** The algorithm fails with probability at most  $k(1-p)^r + k^2p$ .

**Bad events:** An edge e is red in the final coloring if

- e was red in the first coloring and remained red through the final coloring; call this event  $A_e$ .
  - e was not red in the first coloring but was red in the final coloring; call this event  $C_e$ .

$$\Pr(A_e) = 2^{-r}(1-p)^r.$$





# Claim



**Claim:** The algorithm fails with probability at most  $k(1-p)^r + k^2p$ .

**Bad events:** An edge e is red in the final coloring if

- e was red in the first coloring and remained red through the final coloring; call this event  $A_e$ .
  - e was not red in the first coloring but was red in the final coloring; call this event  $C_e$ .

$$\Pr(A_e) = 2^{-r} (1-p)^r.$$
  
2  $\sum_{e \in E(H)} \Pr(A_e) = k(1-p)^r.$ 



# Estimating $Pr(C_e)$



For two edge e, f, we say e blames f if

• 
$$e \cap f = \{v\}$$
 for some  $v$ .

- In the first coloring f was blue and in the final coloring e was red.
- v was the last vertex of e that changed color from blue to red.
- When v changed its color f was still entire blue.



# **Estimating** $Pr(C_e)$



For two edge e, f, we say e blames f if

• 
$$e \cap f = \{v\}$$
 for some  $v$ .

- In the first coloring f was blue and in the final coloring e was red.
- v was the last vertex of e that changed color from blue to red.
- When v changed its color f was still entire blue.

Call this event  $B_{ef}$ . Then

$$\sum_{e} \Pr(C_e) \le \sum_{e \ne f} \Pr(B_{ef}).$$







Let e, f with  $e \cap f = \{v\}$  be fixed. The random ordering of V induced a random ordering  $\sigma$  on  $e \cup f$ .





Let e, f with  $e \cap f = \{v\}$  be fixed. The random ordering of V induced a random ordering  $\sigma$  on  $e \cup f$ .

- $i = i(\sigma)$ : the number of  $v' \in e$  coming before v.
- $j = j(\sigma)$ : the number of  $v' \in f$  coming before v.





Let e, f with  $e \cap f = \{v\}$  be fixed. The random ordering of V induced a random ordering  $\sigma$  on  $e \cup f$ .

■  $i = i(\sigma)$ : the number of  $v' \in e$  coming before v. ■  $j = j(\sigma)$ : the number of  $v' \in f$  coming before v.

$$\Pr(B_{ef} \mid \sigma) \le \frac{p}{2} 2^{-r+1} (1-p)^j 2^{-r+1+i} \left(\frac{1+p}{2}\right)^i$$





Let e, f with  $e \cap f = \{v\}$  be fixed. The random ordering of V induced a random ordering  $\sigma$  on  $e \cup f$ .

 $\quad \mathbf{i} = i(\sigma) : \text{the number of } v' \in e \text{ coming before } v. \\ \quad \mathbf{j} = j(\sigma) : \text{the number of } v' \in f \text{ coming before } v. \\ \end{aligned}$ 

$$\Pr(B_{ef} \mid \sigma) \le \frac{p}{2} 2^{-r+1} (1-p)^j 2^{-r+1+i} \left(\frac{1+p}{2}\right)^i$$

We have

$$\Pr(B_{ef}) \leq 2^{1-2r} p \mathbb{E}[(1+p)^{i}(1-p)^{j}].$$
  
$$\leq 2^{1-2r} p.$$



## **Estimating** k



The failure probability is at most

 $2\sum_{e \in E(H)} (\Pr(A_e) + \Pr(C_e)) \le k(1-p)^r + k^2p < ke^{-pr} + k^2p.$ 



## **Estimating** k



The failure probability is at most

- $2\sum_{e \in E(H)} (\Pr(A_e) + \Pr(C_e)) \le k(1-p)^r + k^2p < ke^{-pr} + k^2p.$
- The function  $f(p) = ke^{-pr} + k^2p$  reaches its minimum at  $p = \frac{\ln(r/k)}{r}$ . The minimum value is less than 1 if

$$k < (1 + o(1))\sqrt{\frac{2r}{\ln r}}.$$



**Spencer** modified the Radhakrishnan-Srinivasan's proof slightly. To assign a random ordering of the vertex in V, it is sufficient to assign each vertex v a birth time  $x_v \in [0, 1]$ . The birth time  $x_v$  is assigned uniformly and independently.



**Spencer** modified the Radhakrishnan-Srinivasan's proof slightly. To assign a random ordering of the vertex in V, it is sufficient to assign each vertex v a birth time  $x_v \in [0, 1]$ . The birth time  $x_v$  is assigned uniformly and independently.

$$\Pr(B_{ef}) \leq \sum_{l=0}^{r-1} \binom{r-1}{l} 2^{1-2r} \int_0^1 x^l p^{l+1} (1-xp)^{r-1} dx$$



**Spencer** modified the Radhakrishnan-Srinivasan's proof slightly. To assign a random ordering of the vertex in V, it is sufficient to assign each vertex v a birth time  $x_v \in [0, 1]$ . The birth time  $x_v$  is assigned uniformly and independently.

$$\Pr(B_{ef}) \leq \sum_{l=0}^{r-1} {r-1 \choose l} 2^{1-2r} \int_0^1 x^l p^{l+1} (1-xp)^{r-1} dx$$
$$= 2^{1-2r} p \int_0^1 (1+xp)^{r-1} (1-xp)^{r-1} dx$$



**Spencer** modified the Radhakrishnan-Srinivasan's proof slightly. To assign a random ordering of the vertex in V, it is sufficient to assign each vertex v a birth time  $x_v \in [0, 1]$ . The birth time  $x_v$  is assigned uniformly and independently.

$$\Pr(B_{ef}) \leq \sum_{l=0}^{r-1} {r-1 \choose l} 2^{1-2r} \int_0^1 x^l p^{l+1} (1-xp)^{r-1} dx$$
$$= 2^{1-2r} p \int_0^1 (1+xp)^{r-1} (1-xp)^{r-1} dx$$
$$\leq 2^{1-2r} p.$$



**Spencer** modified the Radhakrishnan-Srinivasan's proof slightly. To assign a random ordering of the vertex in V, it is sufficient to assign each vertex v a birth time  $x_v \in [0, 1]$ . The birth time  $x_v$  is assigned uniformly and independently.

$$\Pr(B_{ef}) \leq \sum_{l=0}^{r-1} {r-1 \choose l} 2^{1-2r} \int_0^1 x^l p^{l+1} (1-xp)^{r-1} dx$$
$$= 2^{1-2r} p \int_0^1 (1+xp)^{r-1} (1-xp)^{r-1} dx$$
$$\leq 2^{1-2r} p.$$

The rest of proof is the same.