

Show your work to get credit. An answer with no work will not get credit.

(1) (15 Points) Define the following:

(a) **Linear independence.** The vectors v_1, v_2, \dots, v_n in the vector space V are linearly independent iff for scalars $c_1, c_2, \dots, c_n \in \mathbf{F}$ if

$$c_1v_1 + c_2v_2 + \dots + c_nv_n = 0$$

then $c_1 = c_2 = \dots = c_n = 0$. **RESTATEMENT:** The only linear combination of v_1, v_2, \dots, v_n that vanishes is the trivial linear combination.

(b) The **kernel** of a linear map $S: V \rightarrow W$ where V and W are vector spaces. The kernel of S , denoted by $\ker(S)$, is give by

$$\ker(S) := \{v \in V : Sv = 0\}.$$

(c) The **rank** of a linear map. If $T: V \rightarrow W$ is a linear map between vector space, then the rank of T is the dimension of the subspace $\text{Image}(T) := \{Tv : v \in V\}$ of W . **RESTATEMENT:** The rank of a linear map is the dimension of it image.

(d) The **dimension** of a vector space. The dimension of a vector space is the number of elements in any of its bases. (This is independent of which basis is used to define it.)

(2) (10 Points) Find (no proof required) a linear transformation $T: \mathbf{R}^3 \rightarrow \mathbf{R}^2$ so that

$$(1) \quad T \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \quad T \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 3 \\ 4 \end{bmatrix}, \quad T \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 5 \\ 6 \end{bmatrix}.$$

Solution 1: Note that

$$\begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} - \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix}.$$

Thus

$$\begin{aligned} \begin{bmatrix} x \\ y \\ z \end{bmatrix} &= x \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + y \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + z \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = x \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + y \left(\begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} - \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right) + z \left(\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} - \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} \right) \\ &= (x - y) \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + (y - z) \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} + z \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}. \end{aligned}$$

As so by linearity and using the values of T that are given by (1)

$$\begin{aligned} T \begin{bmatrix} x \\ y \\ z \end{bmatrix} &= (x - y)T \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + (y - z)T \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} + zT \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \\ &= (x - y) \begin{bmatrix} 1 \\ 2 \end{bmatrix} + (y - z) \begin{bmatrix} 3 \\ 4 \end{bmatrix} + z \begin{bmatrix} 5 \\ 6 \end{bmatrix} \\ &= \begin{bmatrix} x + 2y + 2z \\ 2x + 2y + 2z \end{bmatrix} \end{aligned}$$

Solution 2: We look for T as being given by a matrix.

$$T \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \end{bmatrix} \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} ax + by + cz \\ dx + ey + fz \end{bmatrix}.$$

The the conditions (1) imply

$$T \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} a \\ d \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \end{bmatrix}, \quad T \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} = \begin{bmatrix} a + b \\ d + e \end{bmatrix} = \begin{bmatrix} 3 \\ 4 \end{bmatrix}, \quad T \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} a + b + c \\ d + e + f \end{bmatrix} = \begin{bmatrix} 5 \\ 6 \end{bmatrix}.$$

This leads to the system of equations

$$\begin{array}{ll} a = 1 & d = 2 \\ a + b = 3 & d + e = 4 \\ a + b + c = 5 & d + e + f = 6 \end{array}$$

which can easily be solved to give

$$a = 1, \quad b = 2, \quad c = 2, \quad d = 2, \quad e = 2, \quad f = 2.$$

and so

$$T \begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} x + 2y + 2z \\ 2x + 2y + 2z \end{bmatrix}.$$

- (3) (10 Points) Find (no proof required) a basis for the set of the space of vectors $(x, y, z, u, v) \in \mathbf{R}^5$ that satisfy

$$\begin{array}{l} x + y + z + u + v = 0 \\ x + y + 2z + 2u + 2v = 0 \\ x + y + z + u + 2v = 0. \end{array}$$

Solution: Form the matrix of this system:

$$\begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 0 \\ 1 & 1 & 2 & 2 & 2 & 0 \\ 1 & 1 & 1 & 1 & 2 & 0 \end{bmatrix}.$$

By elementary row operations this can be reduced to row echelon form

$$\begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}.$$

So the system is equivalent to

$$\begin{array}{l} x + y = 0 \\ z + u = 0 \\ v = 0 \end{array}$$

and therefore the general solution is

$$\begin{aligned}x &= -y \\y &= \text{any real number} \\z &= -u \\u &= \text{any real number} \\v &= 0\end{aligned}$$

Therefore the elements of the solution space to the given equations are of the form

$$(-y, y, -u, u, 0) = y(-1, 1, 0, 0, 0) + u(0, 0, -1, 1, 0).$$

Thus $\{(-1, 1, 0, 0, 0), (0, 0, -1, 1, 0)\}$ is a basis.

- (4) (20 Points) Let \mathcal{P}_3 be the vector space of polynomials of degree at most 3. Define $T: \mathcal{P}_3 \rightarrow \mathcal{P}_3$ by

$$Tp(x) = p'(x+1) - p'(x).$$

- (a) Find the matrix of T in the basis $\mathcal{B} := \{1, x, x^2, x^3\}$.

Solution: The matrix of T is the matrix whose columns are the coordinate vectors of $T1, Tx, Tx^2, Tx^3$. If $p(x) = 1$ then $p'(x) = 0$ and so $Tp(x) = p(x+1) - p(x) = 0$ in this case. Likewise if $p(x) = x$ then $p'(x) = 1$ and $Tp(x) = p'(x+1) - p'(x) = 1 - 1 = 0$. If $p(x) = x^2$, then $p'(x) = 2x$ and so $Tp(x) = p'(x+1) - p'(x) = 2(x+1) - 2x = 2$. Finally if $p(x) = x^3$, then $p'(x) = 3x^2$ and $Tp(x) = p'(x+1) - p'(x) = 3(x+1)^2 - 3x^2 = 6x + 3$. Whence

$$\begin{aligned}T1 = 0 &\sim \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, & Tx = 0 &\sim \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \\Tx^2 = 2 &\sim \begin{bmatrix} 2 \\ 0 \\ 0 \\ 0 \end{bmatrix}, & Tx^3 = 6x + 3 &\sim \begin{bmatrix} 3 \\ 6 \\ 0 \\ 0 \end{bmatrix}.\end{aligned}$$

Therefore the matrix of T is

$$[T]_{\mathcal{B}, \mathcal{B}} = \begin{bmatrix} 0 & 0 & 2 & 6 \\ 0 & 0 & 0 & 3 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

- (b) What are the rank, nullity and trace of T ?

Solution: As the rank, nullity and trace of T are the same as the rank, nullity, and trace of its matrix we see that

$$\text{rank}(T) = 2, \quad \text{nullity}(T) = 2, \quad \text{trace}(T) = 0.$$

- (5) (15 Points) Show that if v_1, v_2, v_3 are vectors in the vector space \mathbf{R}^4 such that

$$v_1 - 4v_2 + 3v_3 = 0$$

then

$$\text{Span}\{v_1, v_2\} = \text{Span}\{v_1, v_2, v_3\}.$$

Solution: From $v_1 - 4v_2 + 3v_3 = 0$ we can solve for v_3 to get $v_3 = -\frac{1}{3}v_1 + \frac{4}{3}v_2$. Let $v \in \text{Span}\{v_1, v_2, v_3\}$ then, by the definition of being in the span, there are scalars $c_1, c_2, c_3 \in \mathbf{R}$ so that $v = c_1v_1 + c_2v_2 + c_3v_3$. Then, using the expression we have for v_3 in terms of v_1 and v_2 , we have

$$\begin{aligned} v &= c_1v_1 + c_2v_2 + c_3v_3 = c_1v_1 + c_2v_2 + c_3\left(-\frac{1}{3}v_1 + \frac{4}{3}v_2\right) \\ &= \left(c_1 - \frac{1}{3}c_3\right)v_1 + \left(c_2 + \frac{4}{3}c_3\right)v_2 \in \text{Span}\{v_1, v_2\}. \end{aligned}$$

As v was any element of $\text{Span}\{v_1, v_2, v_3\}$ this implies $\text{Span}\{v_1, v_2, v_3\} \subseteq \text{Span}\{v_1, v_2\}$. The opposite inclusion $\text{Span}\{v_1, v_2\} \subseteq \text{Span}\{v_1, v_2, v_3\}$ is clear. So $\text{Span}\{v_1, v_2\} = \text{Span}\{v_1, v_2, v_3\}$ and we are done.

- (6) (15 Points) Let $S: \mathbf{R}^5 \rightarrow \mathbf{R}^{17}$ be a linear map with $\text{nullity}(S) = 2$. Then show directly (that is without using the rank plus nullity theorem) that $\text{rank}(S) = 3$.

Solution: As $\dim \ker(S) = \text{nullity}(S) = 2$ the subspace $\ker(S)$ of V has a basis v_1, v_2 with two elements. The vector space \mathbf{R}^5 is 5 dimensional therefore the basis v_1, v_2 of $\ker(S)$ can be extended to a basis v_1, v_2, v_3, v_4, v_5 of \mathbf{R}^5 with 5 elements.

CLAIM: Sv_3, Sv_4, Sv_5 is a basis of $\text{Image}(S)$. To see this we first need to show that this set spans $\text{Image}(S)$. Let $y \in \text{Image}(S)$. Then $y = Sx$ for some $x \in \mathbf{R}^5$. Thus, as v_1, \dots, v_5 is a basis of \mathbf{R}^5 , there are scalars $x_1, x_2, x_3, x_4, x_5 \in \mathbf{R}$ with

$$x = x_1v_1 + x_2v_2 + x_3v_3 + x_4v_4 + x_5v_5.$$

As $v_1, v_2 \in \ker(S)$ we then have $Sv_1 = Sv_2 = 0$ and so

$$\begin{aligned} y &= Sx = S(x_1v_1 + x_2v_2 + x_3v_3 + x_4v_4 + x_5v_5) \\ &= x_1Sv_1 + x_2Sv_2 + x_3Sv_3 + x_4Sv_4 + x_5Sv_5 \\ &= 0 + 0 + x_3Sv_3 + x_4Sv_4 + x_5Sv_5 \\ &= x_3Sv_3 + x_4Sv_4 + x_5Sv_5 \in \text{Span}\{Sv_3, Sv_4, Sv_5\}. \end{aligned}$$

Thus Sv_3, Sv_4, Sv_5 spans $\text{Image}(S)$. To see that Sv_3, Sv_4, Sv_5 are linearly independent let c_3, c_4, c_5 be scalars so that

$$(2) \quad c_3Sv_3 + c_4Sv_4 + c_5Sv_5 = 0.$$

Then, by the linearity of S ,

$$S(c_3v_3 + c_4v_4 + c_5v_5) = 0$$

This implies $c_3v_3 + c_4v_4 + c_5v_5 \in \ker(S)$ and v_1, v_2 are a basis for $\ker(S)$ so there are scalars $a_1, a_2 \in \mathbf{R}$ so that

$$c_3v_3 + c_4v_4 + c_5v_5 = a_1v_1 + a_2v_2.$$

Rewriting as

$$-a_1v_1 - a_2v_2 + c_3v_3 + c_4v_4 + c_5v_5 = a_1v_1 + a_2v_2 = 0$$

and using that v_1, v_2, \dots, v_5 are linearly independent this implies $c_3 = c_4 = c_5 = 0$. Thus (2) implies that $c_3 = c_4 = c_5 = 0$. Thus shows that Sv_3, Sv_4, Sv_5 is independent and completes the proof of the claim that Sv_3, Sv_4, Sv_5 is a basis of $\text{Image}(S)$.

The claim shows that $\text{Image}(S)$ is three dimensional. But then, by the definition of rank, $\text{rank}(S) = \dim \text{Image}(S) = 3$. done.

- (7) (15 Points) Let $S: \mathbf{R}^{10} \rightarrow \mathbf{R}^3$ and $T: \mathbf{R}^{10} \rightarrow \mathbf{R}^5$ be linear maps. Then show there are two linearly independent vectors $u, v \in \mathbf{R}^{10}$ with $Su = Sv = 0$ and $Tu = Tv = 0$.

Solution 1: As $\text{Image}(S) \subseteq \mathbf{R}^3$ and $\text{Image}(S) \subseteq \mathbf{R}^5$ we have $\text{rank}(S) \leq 3$ and $\text{rank}(T) \leq 5$. Thus from the rank plus nullity theorem

$$\begin{aligned} 10 &= \dim \mathbf{R}^{10} = \text{rank}(S) + \text{nullity}(S) \leq 3 + \text{nullity}(S), \\ 10 &= \dim \mathbf{R}^{10} = \text{rank}(T) + \text{nullity}(T) \leq 5 + \text{nullity}(T). \end{aligned}$$

These imply

$$\dim \ker(S) = \text{nullity}(S) \geq 7, \quad \dim \ker(T) = \text{nullity}(T) \geq 5.$$

But then, using that $\ker(S) + \ker(T)$ is a subspace of \mathbf{R}^{10} , so that $\dim(\ker(S) + \ker(T)) \leq 10$, we have

$$\begin{aligned} \dim(\ker(S) \cap \ker(T)) + 10 &\geq \dim(\ker(S) \cap \ker(T)) + \dim(\ker(S) + \ker(T)) \\ &= \dim \ker(S) + \dim \ker(T) \\ &\geq 7 + 5 = 12 \end{aligned}$$

Subtract 10 from both sides of this to get $\dim(\ker(S) \cap \ker(T)) \geq 2$. As the dimension of the intersection is ≥ 2 there are linearly independent vectors $u, v \in \ker(S) \cap \ker(T)$. But then $Su = Sv = 0$ and $Tu = Tv = 0$. done.

Solution 2: Define a map $L: \mathbf{R}^{10} \rightarrow \mathbf{R}^3 \times \mathbf{R}^5 = \mathbf{R}^8$ by

$$Lx = (Sx, Tx).$$

Then for $x_1, x_2 \in \mathbf{R}^{10}$ and $c_1, c_2 \in \mathbf{R}$

$$\begin{aligned} L(c_1x_1 + c_2x_2) &= (S(c_1x_1 + c_2x_2), T(c_1x_1 + c_2x_2)) \\ &= (c_1Sx_1 + c_2Sx_2, c_1Tx_1 + c_2Tx_2) \\ &= c_1(Sx_1, Tx_1) + c_2(Sx_2, Tx_2) \\ &= c_1Lx_1 + c_2Lx_2. \end{aligned}$$

Therefore L is linear.

As $\text{Image}(L) \subseteq \mathbf{R}^8$ we have $\text{rank}(L) = \dim \text{Image}(L) \leq 8$. Therefore by the rank plus nullity theorem

$$10 = \dim \mathbf{R}^{10} = \text{nullity}(L) + \text{rank}(L) \leq 8.$$

This implies $\dim \ker(L) = \text{nullity}(L) \geq 2$. Thus there are two linearly independent vectors $u, v \in \ker(L)$. Then

$$Lu = (Su, Tu) = (0, 0), \quad Lv = (Sv, Tv) = (0, 0).$$

and we have $Su = Sv = 0$ and $Tu = Tv = 0$. done.