

**Exercise.**

Let  $f \in H(B_1(0))$  satisfy that

- (i)  $|f(z)| \leq 1$  for each  $z \in B_1(0)$
- (ii)  $f(0) = 0$ .

Show that

- (a)  $|f(z)| \leq |z|$  for each  $z \in B_1(0)$ ,
- (b)  $|f'(0)| \leq 1$ .

If, furthermore,  $|f(z_0)| = |z_0|$  for some  $z_0 \in B'_1(0)$ , show that

- (c) there exists  $c \in \mathbb{C}$  with  $|c| = 1$  such that  $f(z) = cz$  for each  $z \in B_1(0)$ .

Recall:  $B_1(0) := \{z \in \mathbb{C} : |z| < 1\}$ .

Remark: this exercise is known as Schwarz's Lemma.

*Proof.* Let  $f \in H(B_1(0))$  satisfy: (i)  $|f(z)| \leq 1$  for each  $z \in B_1(0)$  and (ii)  $f(0) = 0$ .

Define  $g: B_1(0) \rightarrow \mathbb{C}$  by

$$g(z) := \begin{cases} \frac{f(z)}{z} & , \text{ if } z \in B'_1(0) \\ f'(0) & , \text{ if } z = 0. \end{cases}$$

Note  $g \in H(B'_1(0))$  since  $f \in H(B'_1(0))$ . Also  $g \in C(B_1(0))$  since  $f \in H(B_1(0))$  and

$$\lim_{z \rightarrow 0} g(z) \stackrel{\text{by def of } g}{=} \lim_{z \rightarrow 0} \frac{f(z)}{z} \stackrel{\text{by (ii)}}{=} \lim_{z \rightarrow 0} \frac{f(z) - f(0)}{z - 0} \stackrel{\text{since } f \in H(B_1(0))}{=} f'(0).$$

Thus  $g \in H(B_1(0))$  since  $g \in H(B'_1(0)) \cap C(B_1(0))$  [cf., eg, Morea's Thm with Cauchy's Thm for triangles].

For  $0 < r < 1$ , applying the Max. Modulus Principle (Cor. III.1.6) to  $g \in H(B_r(0)) \cap C(\overline{B_r(0)})$ ,

$$\max_{z \in \overline{B_r(0)}} |g(z)| \stackrel{\text{Max Mod Princ}}{=} \max_{z \in \partial B_r(0)} |g(z)| = \max_{z \in \partial B_r(0)} \left| \frac{f(z)}{z} \right| = \max_{z \in \partial B_r(0)} \frac{|f(z)|}{r} \stackrel{\text{by (i)}}{\leq} \frac{1}{r}. \quad (1)$$

Letting  $r \nearrow 1$  in (1) gives

$$\max_{z \in B_1(0)} |g(z)| \leq 1. \quad (2)$$

(a) Note  $|f(z)| \leq |z|$  for each  $z \in B'_1(0)$  by the definition of  $g$  and the inequality (2). Clearly  $|f(z)| \leq |z|$  when  $z = 0$  since  $f(0) \stackrel{\text{by (ii)}}{=} 0$ .

(b) Note (b) holds since  $|f'(0)| \stackrel{\text{def of } g}{=} |g(0)| \stackrel{\text{by (2)}}{\leq} 1$ .

(c) Now assume furthermore  $z_0 \in B'_1(0)$  satisfies  $|f(z_0)| = |z_0|$ . Then  $|g(z_0)| = 1 \stackrel{\text{by (2)}}{=} \max_{z \in B_1(0)} |g(z)|$ .

So the max of the modulus of  $g \in H(B_1(0))$  is attained at  $z_0 \in B_1(0)$ . By the Max Modulus Principle,  $g$  is constant on  $B_1(0)$  so there is  $c \in \mathbb{C}$  such that

$$g(z) = c \text{ for each } z \in B_1(0). \quad (3)$$

Thus

$$f(z) = cz$$

for each  $z \in B'_1(0)$  by the definition of  $g$  as well as for  $z = 0$  since  $f(0) \stackrel{\text{by (ii)}}{=} 0$ .

Note  $|c| = 1$  since  $|g(z_0)| = 1$ . □