# The Nonlinearity of Quantum Dynamical Entropy

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#### Classical Mechanics

- (Phase space)  $(\Omega, \mathcal{B})$ , where  $\Omega$  is a locally compact Hausdorff space.
- (State space) (X, K), where X(K) consists of all countably additive, regular, real-valued (positive) Borel measures.
- (States)  $\mu \in K$ , where  $\mu$  is a probability measure.
- (Sharp Instrument)  $\mathcal{T}: \mathcal{B} \to \mathcal{O}$  given by

$$\mathcal{T}(E)\nu(A) = \nu(A \cap E)$$

for  $\nu \in S$  and  $A, E \in \mathcal{B}$ .

• (Measurements)  $\tau: X \to \mathbb{R}$  given by

$$\tau(\nu) = \int_{\Omega} d\nu = \nu(\Omega)$$

for  $\nu \in X$ .

• (Dynamics)  $T_f: X \to X$  is the Koopman operator given by

$$T_f(\nu)(A) := \nu(f^{-1}(A))$$

for  $f: \Omega \to \Omega$ ,  $\nu \in X$  and  $A \in \mathcal{B}$ .

# Kolmogorov-Sinai Entropy

A dynamical system is a quadruple  $(\Omega, \mathcal{B}, \mu, f)$  where

- $(\Omega, \mathcal{B}, \mu)$  is a probability space.
- $f:\Omega\to\Omega$  is a measurable map which governs the dynamics.

The partition dependent KS entropy is given by

$$h^{KS}(f,\mathcal{C}) = \lim_{n \to \infty} \frac{1}{n} H(\vee_{k=0}^{n-1} f^{-k}(\mathcal{C})).$$

The partition independent KS entropy is given by

$$h^{KS}(f) = \sup_{\substack{\mathcal{C} \in \mathcal{P}_{ar}(\Omega) \\ H(\mathcal{C}) < \infty}} h^{KS}(f, \mathcal{C}).$$

## Comparison to SZ Entropy

Given a dynamical system  $(\Omega, \mathcal{B}, \mu, f)$ , a partition  $\mathcal{C} \in \mathcal{P}_{ar}(\Omega)$ , and the remaining terms as defined for classical mechanics, we have

$$h^{KS}(f, \mathcal{C}) = h^{SZ}(T_f, \mathcal{T}, \mu, \mathcal{C}).$$

## Slomczynski-Zyczkowski Quantum Dynamical Entropy

Fix a phase space  $(\Omega, \Sigma)$ , a state space (X, K), an instrument  $\mathcal{T}: \Omega \to \mathcal{O}$ , a state  $u \in K$ , a measurement functional  $\tau: \mathbf{X} \to \mathbb{R}$  and a  $\tau$ -preserving map  $\Theta: X \to X$ . We can then define a probability measure,  $\mu^{(\Theta, \mathcal{T}, u)}$ , on  $(\Omega^*, \Sigma^*)$ , where  $\Omega^* := E^{\mathbb{N}_0}$  and  $\Sigma^* := \sigma(\cup_{n=0}^{\infty} \Sigma^n)$ , by

$$\mu^{(\Theta,\mathcal{T},u)}(C\left(\begin{smallmatrix}A_0&\cdots&A_n\\0&\cdots&n\end{smallmatrix}\right)):=\tau(\mathcal{T}(A_n)\circ\Theta\circ\cdots\circ\Theta\circ\mathcal{T}(A_0)u).$$

The partition dependent dynamical SZ entropy is given by

$$h^{SZ}(\Theta, \mathcal{T}, u, \mathcal{C}) = \lim_{n \to \infty} \frac{1}{n} \sum_{\substack{A_k \in \mathcal{C} \\ 0 \le k \le n-1}} \eta(\mu^{(\Theta, \mathcal{T}, \rho)}(C(A_0 \le A_{n-1}))).$$

The partition independent dynamical SZ entropy is given by

$$h^{SZ}(\Theta, \mathcal{T}, u) = \sup_{\substack{\mathcal{C} \in \mathcal{P}_{ar}(\Omega) \\ H(\mathcal{C}) < \infty}} h^{SZ}(\Theta, \mathcal{T}, u, \mathcal{C}).$$

## Hilbert Space Mechanics

- (Phase space)  $(\Omega, \mathcal{P}(\Omega))$ , where  $\Omega$  is a discrete space.
- (State space) (X, K), where X(K) consists of all self-adjoint (positive), trace class operators on  $\mathcal{H}$ .
- (States)  $\rho \in K$  such that  $tr(\rho) = 1$ .
- (LvN Instrument)  $\mathcal{T}: \mathcal{P}(\Omega) \to \mathcal{O}$  given by

$$\mathcal{T}(E)\rho = \sum_{i \in E} P_i \rho P_i$$

for  $\rho \in X$ ,  $E \in \Sigma$  and  $(P_i)_{i \in \Omega}$  a family of pairwise orthogonal projections summing to 1.

- (Measurements)  $\tau: X \to \mathbb{R}$  is the trace tr.
- (Dynamics)  $\Theta: X \to X$  is a unitary transformation given by

$$\Theta(\rho) = U\rho U^*$$

for  $\rho \in X$ .

## SZ Entropy is Nonlinear

Let all terms be defined as for Hilbert space mechanics such that  $|\Omega| = N$  for some  $N \in \mathbb{N}$ . If  $h^{SZ}(\Theta, \mathcal{T}, \rho) \neq 0$ , then

$$h^{SZ}(\Theta^n, \mathcal{T}, \rho) \neq nh_{\mathrm{dyn}}^{SZ}(\Theta, \mathcal{T}, \rho)$$

for all sufficiently large  $n \in \mathbb{N}$ . This is in contrast to KS entropy, which is linear in time.

#### Hadamard Walk

The Hadamard walk is the most well-studied coined unitary quantum random walk. It is given by a unitary transformation,  $\Theta$ , on the tensored Hilbert space  $\mathcal{H} = \mathcal{H}_C \otimes \mathcal{H}_P$ , where  $\mathcal{H}_C = \mathbb{C}^2$  and  $\mathcal{H}_P = \mathbb{C}^N$  for some  $N \in \mathbb{N}$ . To measure the position of the Hadamard walk, we can either use a LvN instrument,  $\mathcal{T}$ , of rank-1 projections with respect to the partition

$$C_V = \{\{|R,n\rangle, |L,n\rangle\}\}_{n=0}^{N-1}$$

or we can use a LvN instrument,  $\mathcal{V}$ , of rank-2 projections,

$$(P_n)_{n=0}^{N-1}$$
, with  $P_n = \mathbb{1}_{H_C} \otimes |n\rangle\langle n|$ 

with respect to the atomic partition,  $\mathcal{A}$ .

# Ambiguity in Measurement

Letting  $\rho = \mathbb{1}_H/2N$ , we find that  $h^{SZ}(\Theta^2, \mathcal{T}, \rho, \mathcal{C}_V) \neq h^{SZ}(\Theta^2, \mathcal{V}, \rho, \mathcal{A}),$ 

reflecting the sensitivity of a quantum system to measurement.

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### Connection to Entropy Rate

Let  $\mathbf{X}^{(\Theta,\mathcal{T},u)} = (X_n^{(\Theta,\mathcal{T},u)})_{n=0}^{\infty}$  be the stochastic process, with  $X_n^{(\Theta,\mathcal{T},u)}: \Omega^* \to \Omega$ , given by

$$X_n^{(\Theta,\mathcal{T},u)}(x) = x_n$$

for all  $x \in \Omega^*$  and  $n \in \mathbb{N}_0$ . Then  $\mu^{(\Theta, \mathcal{T}, \rho)}$  is simply the probability distribution function of  $\mathbf{X}^{(\Theta, \mathcal{T}, u)}$ .

## Discrete Phase Space

Whenever the phase space  $(\Omega, \Sigma)$  is discrete, we have that

$$h^{SZ}(\Theta, \mathcal{T}, u) = H(\mathbf{X}^{(\Theta, \mathcal{T}, u)}).$$

Fix a partition  $\mathcal{C} \in \mathcal{P}_{ar}(\Omega)$ . We then define the restricted stochastic process  $\mathbf{X}_{\mathcal{C}}^{(\Theta,\mathcal{T},u)} = (X_n^{(\Theta,\mathcal{T},u,\mathcal{C})})_{n=0}^{\infty}$ , with  $X_n^{(\Theta,\mathcal{T},u,\mathcal{C})} : \Omega^* \to \mathcal{C}$ , given by  $X_n^{(\Theta,\mathcal{T},u,\mathcal{C})} = i_{\mathcal{C}} \circ X_n^{(\Theta,\mathcal{T},u)}$ ,

where  $i_{\mathcal{C}}: \Omega \to \mathcal{C}$  is given by  $i_{\mathcal{C}}(\omega) = A \in \mathcal{C}$  whenever  $\omega \in A$ . The following holds:

$$h^{SZ}(\Theta, \mathcal{T}, u, \mathcal{C}) = H(\mathbf{X}_{\mathcal{C}}^{(\Theta, \mathcal{T}, u)}).$$

## Coherent States Instruments

Whenever the family  $(P_i)$  consists of rank-1 projections, the process  $\mathbf{X}^{(\Theta,\mathcal{T},\rho)}$  is a Markov process with transitions probabilities  $p_{i,j} = |\langle a_i|U|a_j\rangle|^2$  and initial distribution  $\mu(i) = \langle a_i|\rho|a_i\rangle$ , where  $P_i = |a_i\rangle\langle a_i|$ , for  $i \in \Omega$ . Therefore  $h^{SZ}(\Theta,\mathcal{T},\rho) = \sum_{j\in\Omega}\mu(j)\sum_{i\in\Omega}\eta(p_{i,j})$ .

#### **Undefined Terms**

symbol	meaning
$\mathcal{B}$	Borel $\sigma$ -algebra
$\mathcal{O}$	Operations
H	Entropy (rate)
$\mathcal{P}_{ar}\!(\Omega)$	Countable partitions
$\mathcal{P}(\Omega)$	Power set
${\cal H}$	Hilbert space
$\mathbb{N}_0$	$\mathbb{N} \cup \{0\}$
$C\left(egin{array}{ccc} A_0 & \cdots & A_n \ 0 & \cdots & n \end{array} ight)$	Cylinder set
$\eta$	$\eta(x) = -x \log x$